# The British Horseracing Authority Instructions for

# "Point-to-Point Steeple Chases"

In force for the current season

Issued by the British Horseracing Authority for the benefit of all Stewards & Officials whose responsibilities are laid down under the British Horseracing Authority Regulations for Point-to-Point Steeplechases.

There are additions and alterations since 2016 and these have been written in bold.

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# 1 FIXTURES (REG. 62)

#### **APPLICATION**

1.1 An application form will ordinarily be mailed to all Point-to-Point Secretaries by the end of June in every year. This application form must be completed and returned to the Point-to-Point Authority by the agreed date together with the Fixture Fee if a date for a Point-to-Point Meeting is to be considered by the Directors of the British Horseracing Authority.

A complete list of all Point-to-Point Fixtures for the coming season will be made available on the Point-to-Point National website and published in the Racing Calendar following agreement and subsequent to the Point-to-Point Authority (PPA) and British Horseracing Authority Board Meetings in September.

Secretaries are particularly asked to ensure that the choice of date given on the application form is the one that has been agreed locally at the Area Meeting of Point-to-Point Secretaries and submitted for approval at national level by the Point-to-Point Secretaries Association (PPSA). The names of the Hunts that have been agreed as Confined cannot be changed unless agreed by the Master of Foxhounds Association (MFHA). Secretaries may also wish to check whether the choice of date clashes with Flat and National Hunt Meetings in the immediate vicinity.

1.2 Deleted.

#### ABANDONED AND POSTPONED MEETINGS

- 1.3 Please note that if a meeting is
  - (a) Abandoned the full fixture fee still remains payable:
  - (b) Postponed and rearranged no additional fixture fee is payable;
  - (c) In the event of a re-arranged meeting, it is the Secretary's responsibility to liaise with the PPSA Area representative and agree an alternative date. Where applicable the Area representative should also liaise with his counterpart(s) in neighbouring Area(s). It is then the Area representative's responsibility to confirm details with the Point-to-Point Authority who must inform the Point-to-Point Executive at the British Horseracing Authority Office before the meeting can be sanctioned.
  - (d) Where there are one or more objections, the Area Secretary will liaise with the PPSA Chairman (or in their absence the Vice-Chairman) who will select two additional Committee members to adjudicate the objection. Such additional Committee members to be as far as possible, geographically neutral to the protagonists. The MAJORITY decision of this group will be FINAL.

## **TOTALISATOR FACILITIES**

1.4 Meetings must apply to the Horserace Totalisator Board for authority to run their own Tote. Enquiries should be directed to:-

> TOTE (Successor Company) Limited The Spectrum 56-58 Benson Road Birchwood Warrington WA3 7PQ

# BETTING AT POINT-TO-POINT MEETINGS - THE GAMBLING ACT

1.5 In respect of the Gambling Act 2005, Section 39, organisers are required to apply to their Local Council Authority for an "Occasional Use Notice". There is no formal application form or procedure so a letter will be appropriate. There is no need to apply for a "Premises Licence" as this is only required when there are eight or more fixtures per calendar year at the venue. The organiser must also inform their local Chief of Police of their application for an Occasional Use Notice.

# 2 PROGRAMMES (REGS. 70-73)

#### AREA RACE CONDITIONS FOR THE SEASON

2.1 Point-to-Point Secretaries are requested to send one draft copy of their programme of races direct to their Area Secretary for submission 'en bloc' to the Point-to-Point Racing Company ahead of British Horseracing Authority approval. All Race Conditions must be approved by the British Horseracing Authority before being printed.

#### **First Race Times**

The advertised time for the running of the first race should be arranged so as to allow for further races to be run at the end of the programme should any safety factors be exceeded and races be divided. It is not acceptable for the Stewards to instruct a ballot on the day (Instruction 4.4) because the meeting had started with not enough time being allowed for the potential division of races.

#### **RACECARDS**

- 2.2 The conditions of individual races, which are advertised in the National Point-to-Point & Hunter Chase Race Planner for the benefit of owners to make their entries, must be accurately transcribed into the racecard. In addition the racecard is to contain the following:
  - i) notices as shown in Regulation 70
  - ii) safety factors
  - iii) name of the owners (or if applicable the group ownership name including the name of one of the owners) and the horse
  - iv) the colours to be carried by the rider
  - the age, sex, colour, breeding and where possible the form of the horse
  - vi) the weight to be carried
  - vii) with the exception of Hunt Races, the name of the Hunt from which the horse's certificate was obtained
  - viii) acknowledgement to the Levy Board for the grant provided
    - ix) the trainer (if given on the entry form)
    - x) details of the horse and or rider sponsor (as applicable see Appendix L of the BHA Point-to-Point Regulations)

A copy of the Area's race conditions for the season must be available on the day of the Meeting for the benefit of all Officials concerned so that in case of a discrepancy between the Racecard and Area's race conditions, the conditions as stated in the Point-to-Point Racing Company's Point-to-Point & Hunter Chase Race Planner are adhered to as all entries made by owners have been based on these conditions. The Point-to-Point conditions contained within the Point-to-Point Racing Company's Point-to-Point & Hunter Chase Race Planner will be, for Point-to-Point purposes, the definitive conditions unless the British Horseracing Authority Point-to-Point Executive has authorised a change to the conditions in the Point-to-Point Racing Company's Point-to-Point & Hunter Chase Race Planner, where the revised conditions shall apply.

# 3 THE COURSE

#### NUMBER OF FIXTURES ON A POINT-TO-POINT COURSE

3.1 The number of Point-to-Point Meetings that are to be held over any one course is conditional upon a report from the Inspector of Courses who takes into account the interval between meetings, the nature of the ground, the personnel and equipment available, and the ability of the fences to withstand more than one day's racing.

#### POINT-TO-POINTS ON LICENSED RACECOURSES

- 3.2 Applications to hold Point-to-Point races on licensed racecourses will be subject to the following conditions:-
  - (a) All Point-to-Point fences should be completely separate from those fences used for races under the Rules of Racing.
  - (b) No cars should be parked on any part of an existing turf Flat or Steeple Chase track.
  - (c) Provided that the racecourse is agreeable and by doing so it did not damage the existing track there should be no restriction on the use of the parade ring, saddling boxes and security area. The British Horseracing Authority General Instruction on the use of racecourse stables between meetings must, however, still be observed.
  - (d) If any running rails are moved all exposed spigots must be removed or totally covered.

#### PRE-INSPECTION OF COURSES

3.3 Courses will be inspected in advance as well as on the day of the races. The name and address of the Inspector allocated to each meeting will be supplied to the Fixture Secretary after the Fixture List has been arranged.

The Clerk of the Course at a Point-to-Point Meeting will be required to produce all fences in reasonable time before the day of the Meeting. The Inspector appointed for that Meeting must be invited to make an inspection of the course at that time so that there will be ample opportunity for any action to be taken and alterations made on his advice where necessary. Depending on the condition of the course on his first visit, the Inspector will decide whether an additional visit is necessary.

If he decides that this is so, the Inspection will occur one week to three days before the meeting takes place. He will in any event attend again on the day of racing and he will send his report to the British Horseracing Authority Point-to-Point Executive. Point-to-Point Committees are advised to take advice on the building of their fences from a professional fence-builder who is employed by a racecourse holding steeple chase fixtures under Rules. All fences on any one course should, as far as possible, be uniform and consistent; in particular on those courses where both portable and permanent fences are used.

As stated in Instruction 8.1 (A) a Course Inspector cannot act as a Steward on a Point-to-Point course where he is the Course Inspector. In addition to this, where a Course Inspector is offered another Raceday Officials duties on a course where he is the Inspector, he must consider the potential conflict of interests and seek clarification from the BHA Point-to-Point Executive. Similarly, Course Inspectors should not be a member of a Hunt Committee staging a fixture on the course that he / she inspects.

When a Meeting is abandoned or postponed, the Inspector must be informed immediately. Failure to do this may cause an unnecessary journey being made and the organisers of the meeting will be responsible for paying the Inspector's travelling expenses.

The charge for the attendance of the Inspector will be £50 per visit plus car mileage at the rate of 45p per mile and his account will be sent direct to the Point-to-Point Department on the standard form provided.

## **CONSTRUCTION OF FENCES (REG. 80)**

3.4 Detailed recommendations on the construction of permanent fences are set out below. Inspectors are asked to ensure that these recommendations have been carried out to their satisfaction before any Meeting is held over a Point-to-Point course. Attention is drawn to Point-to-Point Regulation 80.

Should there be plans to modify any fences away from the traditional structure, the British Horseracing Authority Inspector of Courses must be consulted in good time ahead of the meeting.

If there are any particular points which need clarification, the British Horseracing Authority Inspectors of Courses are prepared to give any assistance they can. They visit all National Hunt courses regularly during the season and if given adequate warning may be able to meet Point-to-Point Inspectors or Clerks of Courses to discuss points of detail. Please contact the BHA Point-to-Point Executive for details. British Horseracing Authority Inspectors should always be informed when a course intends to use a new fence builder. See Appendix A for fence construction diagrams.

#### (a) Height of Fence

Fences shall not be less than (1,295mm.) 4ft 3ins. in height, measured from take-off side to the highest point (A-B) except in the case of a water jump or where the nature of the ground justifies any variation.

#### (b) Plain Fences

A plain fence should be built on a base of about (1,676mm.) 5ft 6ins. (C-D) and the slope of the fence should reduce the thickness at the top to about (205mm.) 12ins. (E-F) which should be of fine birch. (See Appendix A in Section 10). The base measurement is calculated as the distance from the take-off board, or apron to the point on the landing side vertically below the overhang of the birch.

#### (c) Open Ditches

The width of the fence at the top should be about (610mm.) 2ft (L-M) and the width of the ditch (1,524mm) 5ft, measured from the front edge of the frame on the take-off side to the front of the guard rail (O-P). (See Appendix A in Section 10). The frame rail of the fence on the approach side must be obscured so that horses approaching the ditch do not see two parallel rails (the guard rail and the frame rail) and the material used should not overhang the ditch by more than (457mm) 18ins.

Where portable open ditches are used, the turf should either be dug out or covered with sand or earth.

#### (d) Frames / Advertising Hoardings

No frames should be higher than (559mm) 24ins. From ground level (J-K). Advertising hoardings (either canvas or rigid) must not be attached to fences unless they are securely fixed and below frame height. There must also not be string attached or tied to the birch above the height of the frame.

#### (e) Guard Rails

- (i) Open Ditch It is recommended that the rail is between 12ins and 18ins high and must be painted matt orange. The boards beneath the rail (in cases where a turf facing is not used) must be painted matt orange. To prevent shine a gloss finish must not be used.
- (ii) Plain Fence Where guard rails are used, they MUST be painted matt orange. A gloss finish must not be used. Dimensions of 4ins. (102mm) by 2ins. (51mm) are recommended with the sharp edges rounded off. The top of the guard rail should not be higher than 25ins. (635mm) (i.e. half the height of the fence) from ground level, and must be recessed into the line of the fence (G-H).

The Padding of Guard Rails, where used, is compulsory. Fence builders should cover all guard rails with tight fitting and well secured foam padding (also painted orange). For the avoidance of doubt, the take-off boards on open ditches must also be padded.

N.B Guard rails are not compulsory. However, should a Fixture Organiser wish to omit guard rails in their fences (ordinarily when using spruce) they must first seek approval from their British Horseracing Authority Inspector of Courses.

#### (f) Take-Off Boards

These are compulsory and must be painted matt orange. (254mm) 10ins. by (51mm) 2ins. is the recommended minimum size of which (51mm) 2ins. should be either let into the ground or turves placed between the ground and the board. There must be no gaps between the ground level and the bottom of the take-off board. In addition, to ensure clear visibility of the take-off board it may be necessary to strim along the front of the board.

#### (g) Aprons

It is recommended that birch, broom or spruce is used. Gorse is not acceptable. In order to maintain uniformity all plain aprons must consist of the same material. Open ditch aprons may consist of other suitable material as agreed with the British Horseracing Authority Course Inspectorate.

#### (h) Wings

Wings must be provided and except in the circumstances detailed below must be made of plastic.

The design and type of wing support must be approved by the Inspector of Courses. The supports should be constructed from rounded timber and be no more than 3" in diameter with the support behind the leading edge of the wing being preferably padded. Under certain circumstances (e.g. high winds) a support in the form of a T-bar can be used mid-way along the wing. This can be constructed from rounded timber or plastic and must be no more than 4ft in height and 3" in diameter.

The recommended height of the wings where they join the fence is about 6ft. and the length not less than 18ft.

When a fence is built in the line of an existing bank or wall, wings need not be provided, but the bank or wall for a distance of 15ft. on either side of the fence, must be, or made up to be, not less than 6ft. in height. (See "Gaps Beside Fences" below).

Wings should be sited where possible such that they are no more than 3ft out from the perpendicular.

#### (i) Width of Fences

Unless otherwise agreed with the British Horseracing Authority Inspector of Courses the overall width of fences (inclusive of bosses) in the case of first fences on any circuit should not be less than 14 yards in width, and every other fence not less than 10 yards in width.

#### (j) Gaps Beside Fences

Every fence must have a gap adjacent to it on one side through which the field may race in the event of the fence being deemed unsafe to jump. The gap should be no less than 5 yards wide with no barbed or naked wire left within 15 yards of the gap, nor shall any vehicle be parked within that distance of the gap, or fence. The gap and angle of adjacent wing must be positioned to give the least deviation from the line of running and should also be roped off. THE RACING SURFACE THROUGH THE GAP MUST BE COMPARABLE TO THAT OF THE MAIN PART OF THE COURSE. It is recommended that such gaps should be on the outside of the obstacle.

#### (k) Area Around Fences

Where fences are built in an existing fence or hedge line, there shall be no barbed or naked wire left within 15 yards (14 metres) of the fence on either side, nor shall any vehicle be parked within that distance of any fence.

Where fences are sited within close proximity of the car parks (i.e. Home straights etc.), the Clerk of the Course must ensure that there is a sufficient lay-by area between the wing of the fence and the perimeter of the car park area. (See "Gaps Beside Fences" above). It is strongly recommended that all public areas immediately before and after a fence are double railed/roped on the inner to prevent the crowd from standing (or cars parking) too close to the racing line.

## PORTABLE FENCES (SECTIONAL FENCES)

3.5 On those courses where portable fences are used, the design must be approved by the British Horseracing Authority Inspector of Courses. Should meetings consider the introduction of portable fences on their courses, the Clerk of the Course must first of all obtain the necessary approval from the British Horseracing Authority Inspector of Courses.

Care must be taken to ensure that the portable fences are consistent with those permanent fences already sited on the course. Instructions on the construction of portable fences are available from the manufacturers and should be strictly adhered to. It is important to ensure that the adjoining sections are bolted together at the base, and then secured either by means of metal/steel hoops or wooden stakes on the landing side (not above timber it is supporting) to avoid any movement. When fences are refurbished, it is essential that they are made up to the required standard.

# LAYOUT OF COURSES

## 3.6 (a) Course Modification

Plans to alter the course away from the known circuit, require consultation with the Local Course Inspector in good time ahead of the meeting and if necessary further consultation should take place with the British horseracing Authority Inspector of Courses.

#### (b) Position of Start

There should not be an excessive run up to the first fence. A distance, where practicable, of between (90 metres) 100 yards and (110 metres) 120 yards is recommended.

#### (c) Marking of Course

Where it is necessary to fence any part of the course to prevent the public from encroaching on to the track during the course of a race the uprights used to support the ropes or tapes should be of wood, rounded to minimise the chance of injury to horse or rider. Organisers must consult their British Horseracing Authority Inspector of Courses if they wish to use any material other than the above. The use of metal stakes is not satisfactory. It is strongly recommended that as much of the home straight as possible is

railed to provide a well-defined line for tired (and possibly drifting) horses towards the end of the race.

Public car parking should be organised in such a way that it is not possible to park immediately adjacent to running rail or ropes/tapes which define the racing line. (See also section 6.3).

#### (d) Bends

Bends should be clearly marked with flags or boards which may be supported by black and white hurdles, plastic rail or round bales. The use of a single round bale to denote a bend is to be avoided. In each case the supports must be secure with the markers positioned so that they do not interfere with oncoming horses/riders.

The use of roped bends to mark the inside of the racing line is not permitted. Where rope is essential, course markers must be used to keep runners off roped bends. Clerks of Courses are recommended to consult their British Horseracing Authority Inspector of Courses should they wish to source plastic running rail.

Bends and course markers should always be supported by a bundle / faggot of birch or padding (i.e. foam) attached to their outside.

#### (e) Extra Course Markers

Fixture Organisers are advised to retain a number of spare course markers in the event that bad weather necessitates "dolling out" certain false or waterlogged patches of the track.

# (f) Marking of Course Site

Critchley and Severn plastic are not acceptable materials for use anywhere on the Point-to-Point course site.

N.B. Not all of the requirements highlighted in Sub-Instructions 3.4, 3.5 and 3.6 need to be complied with in the case of Hunt Members races being run over natural country.

#### NUMBERS BOARD

3.7 A numbers board must be provided and suitably positioned to be clearly visible to the public and be of an appropriate size to advertise the maximum number of runners and riders according to the Safety Factor, colour changes, overweights, blinkers, tongue straps and the going.

#### CONSTRUCTION OF PARADE RINGS

3.8 It is recommended that the parade ring is large enough to accommodate the number of horses equivalent to the maximum safety factor allowing not less than 16ft (4.83m) per horse, and is double railed with child resistant fencing. Properly fixed orange/blue plastic mesh material can be used for this purpose, if a metal crowd barrier is being used to define a parade ring, it must be fronted by an inner rope at least two metres inside it. Where a winners/unsaddling enclosure is incorporated into the parade ring, sufficient additional space must be provided over and above the 16ft per horse. Besides Officials, only owners and riders with horses participating in the race should be allowed access to the parade ring. A sign confirming that children under the age of 12 (or older if stipulated by Health & Safety requirements and / or the Fixture Organisers) are prohibited from entering the parade ring must be installed.

#### PROCEDURE FOR MOUNTING IN PARADE RING

- 3.9 The following procedure is recommended.
  When the signal to mount is given, the horses:
  - (a) shall be made to stand still with their heads towards the centre of the Parade Ring and riders will walk immediately to where their horses are standing and will mount there, or
  - (b) will continue to proceed around the Parade Ring and will be mounted at the walk.

Horses should not be lead or ridden across the middle of the parade ring. When the rider has mounted, the horse may be walked round the parade ring, in the same direction as the horses were walking before they were mounted, until it is time to go down to the start.

#### SCHOOLING OVER FENCES

3.10 The practice of allowing horses to be schooled on a course after the completion of a race meeting is only permitted (regardless of subsequent meetings due to be held), with the permission of the land owner and at the discretion of the organising committee.

However, it is the organising committee's responsibility to ensure that adequate medical and veterinary cover is in place and that both the course and fences are put back properly in readiness for the next meeting.

#### WINNERS/UNSADDLING ENCLOSURE

3.11 Individual (i.e. partitioned) Stalls for the first four horses in each race are not recommended. An "open plan" area for these horses is a better alternative. Where provided, the stalls must be made sufficiently wide for the horse's comfort and to minimise the risk of connections, photographers etc. from being kicked or squeezed against the stall partition.

#### COURSE WATERING/HARD GROUND

3.12 Organisers are strongly encouraged to liaise with their raceday Stewards and British Horseracing Authority Inspector of Courses if the going is likely to be Hard (i.e. the ground is impenetrable with a stick of not less than ½ inch diameter tip). If the ground is considered to be officially "Hard" the meeting MUST be abandoned / postponed.

Before plans are made to water a course, Organisers MUST ensure they have obtained a water extraction licence where it is necessary to do so. To maximise the efficiency and consistency of any watering carried out, organisers should take account of the following "best practice" quidance:

- Every effort must be made to achieve an overall consistency of ground conditions of the racing surface/bypass areas and to avoid any rutting thereon from vehicles during application of water.
- 'Spiking' the ground, if possible, will assist in the penetration of the water.
- If the water is to be dispensed from a bowser then it is best to dispense it sideways from the bowser.
- Organisers should take care that watering does not continue so close to the first race time that the conditions become very slippery. Advice should be taken prior to deciding whether to water on the raceday itself.

## COURSE REINSTATEMENT AND MAINTENANCE

3.13 It is strongly recommended that the course is reinstated or "put back" within 24hrs-48hrs of a meeting taking place - especially when the venue stages more than one fixture during the year. In these cases "treading in" and the use of a Cambridge roller (conditions permitting) are recommended. The British Horseracing Authority Inspectorate can provide general advice on sports turf equipment.

Organisers must contact their British Horseracing Authority Inspector of Courses prior to implementing any large-scale de-compaction work that they are intending to carry out. This includes the use of any "earthquake" machine or "shakeaerator".

# **4 ENTRIES (REGS. 90-95)**

#### **ENTRY FORMS/SCRUTINY OF ENTRIES**

4.1 It is essential that Organisers' entry forms contain a reference to the owner agreeing to be bound by the Regulations for Point-to-Point Steeplechases.

The British Horseracing Authority Point-to-Point Executive does not examine the qualification of horses for any races but will assist Secretaries and Receivers of Entries in clarifying any points over which there is any doubt. Receivers of Entries should check, as far as possible, the eligibility of the horse for the race in question. The responsibility for making an entry correctly (and ensuring it has been received) lies with the person making that entry.

The Receiver of Entries should know that an owner may enter or run a horse under an assumed name only if it is incorporated into one of the following titles:

"The ....... Family"
"The ...... Friends"
"The ...... Partners"
"The ...... Group"
"The ...... Club"

When entering or running horses under one of the above titles one of the owner's name must be given after the title (e.g. The ......Club (Mr J Smith). In addition any assumed name must not be offensive and must not have any commercial implications. If the Entries Secretary is in any doubt as to whether or not to accept an entry under a group name the Point-to-Point Executive should be consulted on 0207 152 0049. The responsibility for making a correct entry under a group name lies with the person making the entry.

#### **HUNTER CERTIFICATES**

4.2 Hunter Certificates must be registered at the Racing Calendar Office (Weatherbys) by noon on a Friday, except when it is a Bank Holiday when it shall be Thursday, to qualify the horse concerned to be entered in Point-to-Point races closing on or after the following Saturday.

The Point-to-Point Secretary will be sent a list of all the horses that are qualified to be entered at his meeting, and with the exception of Hunt Members races, ONLY THE ENTRIES FOR HORSES WHOSE NAMES APPEAR ON THIS LIST ARE TO BE ACCEPTED. The list will be posted to reach the Point-to-Point Secretary by the time the entries close and will, therefore, enable any owners whose horses are not registered to be notified immediately. Any enquiries relating to this list are to be referred

to Weatherbys. The owner, for the purpose of the meeting is the individual whose name the horse is entered under, on the Entry Form.

Masters must issue Hunter Certificates to all those owners wishing to enter their horses in a Hunt Members Race. Owners must be reminded that the Hunter Certificate must accompany their entry for this race.

#### **DIVISION OF RACES AT ENTRY**

4.3 The Entries Secretary must contact the Stewards of the Meeting if it is considered that the number of entries received for a race is likely to entail a greater number of horses starting than the Safety Factor allows. They may then instruct the Entries Secretary to divide such a race. The division should be made, prior to the printing of the Race Card, by randomly drawing the entries by lot into two equal divisions, except that if any owner or trainer (if indicated on the Entry Form and/or Race Card) has two or more entries they shall be, as far as possible, kept apart. Entry Secretaries must not alter the make-up of divisions to suit riders if contacted directly by riders. The prizes for each division of the race shall be the same as those advertised for the original race.

# DIVISION OF RACES AT DECLARATION (REGULATION 113) & BALLOTING PROCEDURES

4.4 If, on the day of the meeting the number of declared runners exceeds the Safety Factor, the Stewards shall order a division to be carried out. The division should be made by randomly drawing the declarations by lot into two equal divisions and these shall be run consecutively. However, in exceptional circumstances (i.e. with the declarations only just exceeding the safety factor and where the Stewards have already decided that any second division may result in the non-completion of the racecard), the Stewards may choose to "ballot out" (by randomly drawing lot(s) of the horse(s) declared that have Hunter certificates registered with the host area) the necessary horses so that only one "division" need be run but with the maximum number of runners as governed by the safety factor. The second "division" would then not be run. This ensures that as few owners as possible are subsequently disappointed by not getting a run. Organisers cannot state in their race conditions that balloting will definitely occur. Where divisions occur on the day of racing, the deadlines for declarations are to be put back to the appropriate later time.

# DIVISION OF RACES ON THE DAY (REGULATION 111 (ii)) - RIDERS PREFERENCES

4.5 If on the day of the meeting it is anticipated that the number of declared runners in a race is likely to exceed the Safety Factor, a rider can be declared to ride two or more horses with the anticipation of riding one horse in each division. If a rider is declared for more than one horse, the rider must, at the time of the subsequent declarations, state their order of preference to the Declarations Clerk and inform the owners accordingly.

The procedure for the double declaration of riders is outlined in Instruction 8.6. (Declarations Clerks).

# 5 THE MEETING

#### **GENERAL REMARKS**

All Stewards and Officials must be in possession of or have access to a current copy of the British Horseracing Authority Regulations for Point-to-Point Steeple Chases, these Instructions and any directives which concern them that are issued from time to time. Each fixture secretary will receive four copies of the Instructions for Point-to-Point Steeple Chases, and five copies of the Regulations for Point-to-Point Steeple Chases and these must be distributed accordingly. Additional copies are available on request from the British Horseracing Authority Point-Point Executive. Alternatively the Regulations for Point-to-Point Steeple Chases are accessible on the British Horseracing Authority website or the Point-to-Point Racing Company's National website: http://www.britishhorseracing.com

http://www.britishhorseracing.com https://www.pointtopoint.co.uk

The Regulations lay down certain specific duties and responsibilities of Stewards, Clerk of the Course, Judge, Clerk of the Scales and Receiver of Entries, and other requirements which affect them. It is the responsibility of the organising committee to ensure that all persons acting in any official capacity in connection with the organisation and running of any meeting are properly briefed as to their duties and areas of responsibility. With this in mind, fixture secretaries MUST forward copies of the Regulations and Instructions to their key officials as soon as they have been sent them for the forthcoming season.

Fixture secretaries are expected to provide Officials with all forms necessary for the day's racing with the exception of: Official Stewards Enquiry forms; Doctor's reports forms; Veterinary report forms and Point-to-Point Course Inspectors' forms.

### ADMISSION CHARGES FOR BOOKMAKERS

5.2 The attention of Point-to-Point Organisers is drawn to the following:-

Under the provisions of the Betting Act of 1963, where a Totalisator is in operation, it is provided that: 'the persons having the management of such racecourse shall provide a place where bookmakers may carry on their business and to which the public may resort for the purpose of betting, and that the charge to a bookmaker and to any assistant accompanying him, for admission to an enclosure on the racecourse for the purpose of the bookmaker's business shall in the case of the bookmaker, not exceed five times the amount, and in the case of an assistant not exceed the amount of the highest charge made to members of the public for admission to the enclosure'.

#### WITHDRAWAL OF DECLARED RUNNERS

5.3 It is essential that when any horse is withdrawn the representative of the Totalisator and bookmakers be informed and an announcement made for the benefit of the public in order that betting on that horse can cease immediately.

#### **WEIGHING IN**

The Clerk of the Scales shall not weigh in a rider for any horse until he receives notification of the first four placed horses. This information should be relayed by the Judge by radio to the Clerk of the Scales who will repeat the message back to the Judge. N.B The Stewards have at their discretion the option to order all riders to weigh in.

#### **BROADCAST RUNNING COMMENTARIES**

5.5 The Clerk of the Course should ensure that the commentator ceases his commentary JUST before the horses pass the winning post. In close finishes he should in no circumstances give out on the Public Address system his version of the placings, thereby pre-empting the decision of the Judge. Neither should he make any other comment before, during or after a race which may wrongly interpret or pre-empt the actions of any official on duty.

## ANNOUNCEMENTS/COMMUNICATION

Announcements should be made to convey racing information to the general public and should not, except in exceptional circumstances, be used as a means of contacting or passing on information to raceday officials. It is strongly recommended that all key officials should be provided with a radio communications system and are fully briefed as to how to use them.

Whilst not definitive, key officials requiring radios would include: Stewards, Clerk of the Course, Fixture Secretary, Clerk of Scales, Starter, Judge, Doctors, Ambulance Crew, Vets, Recovery Vehicle and Horse Ambulance Drivers. In addition, fence attendants may need a radio if, due to the topography of the course, their fence is potentially "unsighted" and the designated person tasked with carrying out the stop race procedure. Horse catchers should also be provided with a radio if they do not possess a mobile phone.

Public Address Systems and Speakers should not be deployed in such a way that the noise is liable to "spook" or upset horses even at normal broadcasting sound levels.

#### **USE OF VIDEOS**

- 5.7 Should a commercial video operator wish to operate at a Point-to-Point meeting he should:-
  - (a) Liaise with the Clerk of the Course as to where the unit may be located.
  - (b) Ensure that the unit is not located 'head on' or in line with the finishing post.
  - (c) Ensure that in the case of an objection or a Stewards Enquiry viewing facilities are switched off until the outcome of the enquiry is made known.

Video evidence may only be used at the Stewards' discretion. The Stewards should note that video footage from one camera angle only can be misleading, particularly in cases of possible interference, and it should therefore only be used for clear cut incidents (e.g. a horse taking the wrong course or a weight cloth falling off). If the Stewards use video evidence, viewing facilities to the general public (if available) should remain switched off until the outcome of the enquiry is made known. Stewards need to consider the potential lack of privacy whilst viewing such footage.

# FORM/BETTING INFORMATION

5.8 It is strongly recommended that Area Secretaries coordinate the retention of weekly national Point-to-Point form within their Area so that this may be used by the raceday Stewards when considering an enquiry under Regulations 125-128.

Furthermore, Fixture Organisers are strongly encouraged to nominate an individual (a "Stewards' Assistant") whose duties at the meeting will include briefing raceday Stewards on i) Stewards Enquiries that have occurred earlier in the season across all Areas; ii) the odds for each horse and providing details of any significant moves (either "shortening or lengthening") in the betting market before each race.

NB: As a rule of thumb a significant move is considered to be any instance where a horse's odds have halved or where those of one of the market leaders have doubled.

## RIDERS REPORTING TO A DOCTOR AFTER A FALL

5.9 Fixture Organisers are asked to nominate an individual whose duties at the meeting will include recording the details of all fallers and unseated riders in every race. This will assist the doctor so that he/she is fully aware of which riders need to present themselves for medical inspection. Riders who have had a fall and have not sought out the Doctor for an assessment must not be weighed out for any subsequent rides on the day, and will be liable to a fine.

# INSPECTION PROTOCOL AND POSTPONEMENT/ ABANDONMENT OF MEETING

5.10 Communication should take place between Organisers and the Senior Steward ahead of the fixture. An inspection should be called if any part of the course is unfit for racing (e.g. Frost, water logging or hard ground). An announcement should be made ahead of an inspection with a follow up announcement with the result.

If the course is unfit for racing but the view is that it may be suitable by the start of the fixture; the announcement should make it clear that a further inspection is required to ascertain if Stewards are satisfied that racing can take place.

No announcement should indicate racing is to take place unless the Stewards are satisfied that this is the case; and that no first formal inspection occurs less than two hours before racing has been scheduled to start (unless a sudden downturn in weather necessitates such an ad hoc inspection). The above announcements should be made by local answer phone message and/or on a dedicated telephone line (e.g. Talking Point).

If Stewards are satisfied that postponement / abandonment is necessary, the local Course Inspector must be informed.

Instruction 1.3 highlights the process for rearrangement of a postponed fixture.

If a meeting is called off, Fixture Organisers must announce the postponement/abandonment without delay.

If a rearranged date is being sought organisers should follow the PPSA approved process highlighted in section 1.3 prior to formally confirming any new date.

# 6 HEALTH AND SAFETY

#### INTRODUCTION

6.1 All Point-to-Point Organisers must be aware of the DCMS Guide to Safety at Sports Grounds ("The Green Guide") as the Organisers are ultimately responsible for compliance with legislation or government guidance relating to Health and Safety issues. Chapter 18 of the "Green Guide" makes specific recommendations in relation to First Aid and Medical provision for spectators. Organisers are strongly encouraged to compile an up to date Health and Safety plan. The plan should include risk assessments and highlight (preferably pictorially) the division of responsibilities of key organisers/officials.

All employers and public liability insurances should be prominently displayed.

Care needs to be taken to clarify who is responsible for the racing itself, and who is responsible for the control of the overall event and site on the day.

#### THE PUBLIC

6.2 Point-to-Point Organisers must ensure that adequate procedures are in place for the safety of the public. It is suggested that members of the public and unauthorised staff should at all times be segregated from horses moving between the horse box park, race track, parade ring (which should also be provided with a child resistant fence and have access points suitably manned) and unsaddling enclosures if applicable.

All children under 12 years of age are to be excluded from the parade ring/unsaddling enclosure and a sign to this effect must be displayed. Organisers may increase this minimum age at their discretion.

#### THE COURSE

6.3 In respect of the course itself it is suggested that adequate protection be provided for both the public and the horse and riders. How this is achieved will no doubt vary depending on such factors as location, proximity to public areas and the degree of risk to riders from obstacles and/or natural features (e.g. rivers) around the course.

Organisers must ensure that there are proper control measures in place at all access/crossing points where the horses and public can interact e.g. cars driving into the infield, public crossing points on horsewalks etc. Track surfaces must be inspected before each meeting to ensure they are as level as possible. Inequalities in the surface, such as ruts or rabbit holes can prove dangerous both to horse and rider and must be eliminated.

#### LOADING AND UNLOADING OF HORSES

An area for the safe loading and unloading of horses must be provided in a clearly defined horse box park.

#### **MEDICAL RESOURCES**

Medical Resources must be in accordance with these Instructions, prepared in consultation with the British Horseracing Authority Chief Medical Adviser, and contained in this booklet. Included is a requirement that at least two doctors and two Ambulances must attend each race meeting. At least one ambulance must be a "Front-line" paramedic ambulance staffed with a paramedic - note the requirements of 8.7c)(i). In the case of "employees" at a meeting (riders, hunt staff, caterers, bookmakers, estate workers, grooms etc.), the organisers of the Point-to-Point are responsible under the Reporting of Injuries, Diseases and Dangerous Occurrences Regulations 1995 (RIDDOR), to notify where appropriate and report certain accidents to the Health and Safety Executive.

#### **ORGANISERS' VEHICLES**

6.6 Fixture Organisers should also ensure that they or their agents comply with legislation or government guidance relating to Health & Safety issues with regard to the transportation they use at the venue on a raceday.

This may include the wearing of helmets and/or non-transportation of passengers on ATV "Quad bikes".

## LOOSE HORSES

6.7 The actions of loose horses are impossible to predict. However, horses that have fallen, unseated etc. may show a tendency to run back towards the horsebox area or horse entrance/exit to the course. If the public have access to these areas, fixture organisers should ensure that they are adequately protected. Organisers need to consider the adequacy of the venue's perimeter security in relation to loose horses and nearby public highways. The proximity of natural features (e.g. rivers) also needs to be considered.

Horse catchers provided by the organisers should be properly briefed as to their raceday function and, if possible, should aim to prevent loose horses from running back towards the oncoming field. Horse catchers should be equipped with an officials radio or mobile phone.

# 7 HORSES AND RIDERS

### **PASSPORTS**

#### 7.1 Procedure for Checking

All Stewards and Officials are advised to acquaint themselves with the procedures.

- (a) The British Horseracing Authority Veterinary team will carry out random checks during the season.
- (b) Owners attention should be drawn to the requirements of Regulation 36(iii) which deals with those horses participating in the Hunt Members race only and have not registered their Hunter Certificate at the Weatherbys Racing Administration Office.
- (c) The British Horseracing Authority Veterinary Officer will inspect the vaccination and markings sections of the passport of selected horses declared to run.
- (d) Every owner who fails to produce a passport, or produces a passport or vaccination certificate with incorrect vaccination details, will be reported to the Stewards by the Veterinary Officer either directly or through the Clerk of the Course/Secretary.
- (e) The Stewards will hold an enquiry and interview each owner concerned and the Veterinary Officer who has checked the passport.
- (f) The Stewards should take the following action:-
  - (i) If in the opinion of a Veterinary Officer, the horse has received no vaccinations at all against Equine Influenza or has received any vaccination against Equine Influenza within the 7 days previous to the race, the owner shall be fined £150 (See Regulation 38(i)) and the horse shall be withdrawn (See Reg. 152 (vii) & (viii)).
  - (ii) If an owner is unable to produce a passport, or the vaccination section of the passport has not been endorsed as required under Regulation 36(ii), he will be fined £100 (See Regulation 38(ii)). The horse may run providing the owner or his authorised agent is prepared to sign a declaration, provided by The British Horseracing Authority Veterinary Officer, that he has received the passport, the horse has been properly vaccinated and that the horse has not been vaccinated against equine influenza on the day of the race or on any of the 6 days prior to the day of the race. The passport must be sent to the British Horseracing Authority Office, addressed to the Point-

to-Point Executive to arrive within 72 hours of the meeting taking place. The passport will then be endorsed and returned. Where there is a passport irregularity, as under Regulation 38(ii), this must be rectified and sent to the British Horseracing Authority Office, addressed to the Point-to-Point Executive. The passport will then be endorsed and returned.

- (g) If an owner says that the passport has been sent to the Point-to-Point Executive for inspection and has not yet been returned or received back, he must be asked to sign a formal statement to this effect and then the horse may run.
- (h) All passports that are checked must be signed by the Veterinary Officer on the Inspection by Racing Authorities page. The date and meeting must be included as well as any remarks concerning vaccination queries.
- All fines cheques payable to the British Horseracing Authority are to be collected on the day and sent to the Point-to-Point Executive.
- (j) On those occasions where it is discovered after a race that there are errors or omissions in the horse's passport such that it should not have run, the Stewards must refer it to the British Horseracing Authority Executive, where the Disciplinary Panel will hold an enquiry. It is a technical objection and the Point-to-Point Stewards do not have the power to alter the result whether it concerns a horse in the placings or 'also rans'.

#### TYING DOWN OF HORSES' TONGUES

- 7.2 (a) When a horse is to run with its tongue tied the owner is responsible for indicating to the Declarations Clerk if they wish the horse to be withdrawn if the tongue strap cannot be fastened or is shed on the way to the start. It is the Starter's responsibility to take a final decision as to whether or not any horse should run in these circumstances, but, in reaching that decision he will take note of any such notification by the owner of this horse.
  - (b) On every occasion when a horse is to run with its tongue tied, the owner is responsible for showing the horse, with its tongue tied, to the Veterinary Surgeon on duty on the course before it is allowed to proceed to the start. Stewards are asked to take appropriate action upon a report from the Veterinary Surgeon. Disciplinary action will be taken against any owner who fails to comply with this notice on each occasion that his horse runs with its tongue tied.
  - (c) When no declaration of tongue strap has been made a tongue strap must not be worn by the horse in the Parade Ring, on the way to the start or during the race. In the event of non-compliance

the horse should be allowed to run but the owner must be fined not less than £75.

- (d) Horses should not run with tongue straps, or other similar devices, which encircle the horse's tongue and are attached either directly or indirectly to the bridle.
- (e) Veterinary Surgeons will take note of the bitting of every horse brought into the parade ring.

#### **TUBED HORSES**

7.3 The British Horseracing Authority does not consider it a safe practice for a tubed horse to run in any form of Martingale. Should a tubed horse wearing a Martingale be presented at the start the Starter shall remove the Martingale before the race. A tubed horse may not run unless the plug in the tube has been removed.

#### **ADVERTISING**

7.4 No quarter sheet or rug used in the Parade Ring on any course may bear the name or initials of the owner or his representative in letters larger than 4 inches high. No other form of advertisement will be permitted either on the horse, its rider, its clothing, or its attendants, except that (a) Point-to-Point Organisers may provide sponsored number cloths in line with the criteria within Appendix L of the BHA Point-to-Point Regulations; (b) horses that have been formally sponsored by businesses under the Point-to-Point Authority's Horse Sponsorship Scheme (HSS) may carry rug and sheet sponsorship, and their attendants may carry the same sponsorship logo on clothing (sweatshirts, polo shirts, jackets) and baseball caps; owners' colours may carry sponsorship on the collar and chest sites at all times in line with the criteria within Appendix L of the BHA Regulations; (c) riders formally sponsored under the Point-to-Point Authority's Rider's Sponsorship Scheme in line with the criteria within Appendix L of the BHA Regulations; and (d) after the race, Race Sponsors' paddock sheets and rugs may be used.

# **QUALIFICATION OF RIDERS (REG. 50-55)**

7.5 (a) Riders Qualification Certificate (RQC)

The RQC application paperwork is available from the National Point-to-Point website (www.pointtopoint.co.uk) via the Owners & Riders section in a downloadable format, or from the Point-to-Point Authority office <a href="info@p2pa.co.uk/01285-841920">info@p2pa.co.uk/01285-841920</a>. When any declaration to run is <a href="made-all-riders will have to provide proof of their qualification under Regulation 50. This must be in the form of an RQC for the current season, signed by the Rider to the effect that he agrees to be bound by the current Regulations. The Certificate will also state in what respect the rider is eligible (e.g. as a Member, Subscriber etc.). If the rider is to ride in any race for which his Certificate does not state his eligibility, he must submit

written proof of his qualification to ride which has been signed by the relevant Hunt Secretary. IT IS VITAL THAT A RACEDAY OFFICIAL APPOINTED BY THE ORGANISERS (E.G. DECLARATIONS CLERK) CHECKS ALL RQC's. AMATEUR OR IRISH LICENCES ARE NOT ACCEPTABLE.

Regular Serving Members of Her Majesty's Forces or members of Clubs, Associations or Societies must also provide evidence as to their qualification for races open to such organisations.

On those occasions when a rider has left his Certificate behind the declaration to run can only be accepted if the rider signs a declaration (see Appendix H) that he is qualified to ride in the race in question. The necessary declaration, to be signed by the rider, should be provided by the Declarations Clerk.

Disciplinary action will be taken against any rider who signs a false declaration.

#### (b) Riders Qualification Certificates for Hunt Members Race

Clerks of the Course must ensure that Riders, who hold a Riders Qualification Certificate valid for the Hunt Members Race or Members Race Across Natural Country only, surrender this "one-off" Certificate to the Declarations Clerk.

#### (c) Riders Medical Record Books

Riders Medical Record Books are issued by the British Horseracing Authority and remain the property of the British Horseracing Authority at all times.

Medical Record Books are to be left with the Declaration Clerk until the rider has finished riding for the day. They must only be returned when the Point-to-Point Doctor has confirmed that no medical entry is required.

Declarations Clerks must ensure that in the Medical Record Book the rider is not subject to a medical suspension period on the day of racing (Sub-Regulations 55(iii) and (iv)) and has been passed as fit to ride. On those occasions when a rider has left his Medical Record Book behind the rider shall not be permitted to ride. Any rider not able to produce an MRB MUST notify the BHA Chief Medical Adviser by 9am on the day of the race by calling 07788 567440 to allow enquiries to be made to see if dispensation to ride can be given. No consideration will be given after this time.

N.B. A rider may only hold one Riders Qualification Certificate and one Medical Record Book issued by the British Horseracing Authority.

#### (d) Declaration Forms

On the form provided for declaring runners under Regulation 111 (see Appendix I), there shall be space provided for the rider's qualification to be stated and a reminder that proof of that qualification in the form of a Riders Qualification Certificate must also be produced.

## SKULL CAPS (REG. 115 (ii))

- 7.6 The only approved pattern of skull caps for riding in races is one which: - meets (BS) EN 1384: 2012\* or PAS 015: 2011.
  - carries a CE marking;
  - is in serviceable condition.
  - \*(BS) EN 1384: 2012 will be removed as an acceptable standard on and after 1 January 2017.

As the helmet is designed to absorb the energy of any impact by partial destruction, any skull cap which has been subjected to a severe impact or has been worn by a rider suffering concussion will not be regarded as being in serviceable condition and should be discarded. The skull cap must be of the correct fitting for the individual rider, the harness must be correctly adjusted and the chin-strap fastened at all times when mounted on a horse. The chin strap must pass under the jaw and be attached to the harness by a quick release buckle. Metal hooks are expressly forbidden. Skull caps must not have a chin cup, cradle or draw lace.

For any queries on Skull Cap specifications you should contact the British Horseracing Authority Chief Medical Adviser on 0207 152 0138.

Organisers staging a Point-to-Point Area's first meeting of the season must arrange for all skull caps to be checked at their fixture.

# **USE OF SPECTACLES AND CONTACT LENSES**

7.7 In the interests of safety, the British Horseracing Authority, having taken expert medical advice, has decided that no person shall ride under these Point-to-Point Regulations wearing spectacles or lensed goggles.

In the case of people wishing to ride in contact lenses, the British Horseracing Authority directs that the lenses must be of the soft type.

## WHIPS (REG. 13 (xi) & 117)

- 7.8 Only whips which fall within the following specifications are approved:-
  - (a) A maximum length, including the flap, of 68 centimetres
  - (b) A minimum diameter of 1 centimetre The only additional feature which may be attached to the whip is a flap. If a flap is attached it must fall within the specifications below:
  - (c) A maximum length of flap from the end of shaft of 10
  - (d) A maximum width of flap of 4 centimetres, with a minimum width of 2 centimetres
  - (e) The flap from the end of the shaft must not contain any reinforcements or additions

- (f) There shall be no binding within 23 cms of the end of the flan
- (g) The contact area of the shaft must be smooth, with no protrusion or raised surface, and covered by shock absorbing material throughout its circumference such that it gives a compression factor of at least 6mm;
- (h) The flap must have similar shock absorbing characteristics to that of the contact area;
- (i) The overall weight must not exceed 160 gms.

Clerks of the Scales will from time to time check the whips used by riders to ensure that they fall within the approved specifications. These checks will be carried out at the time of weighing out and any rider found with a whip which does not conform will be advised that if he carries that whip during a race he will be in breach of Regulation 117. (For notes on Use of the Whip seesection 8.1 (H))

#### **SPURS**

7.9 The British Horseracing Authority will not permit spurs of any type to be used in any race.

Stewards of Meetings are asked to watch for the use of any other type when riders are either weighing out or in the parade ring.

## **BLINKERS**

7.10 Owners are required at declaration to declare if their horse is to wear blinkers or any combination of eye shield, hood, visor, eyecover or sheepskin cheek pieces. Clerks of Scales, Declaration Clerks and Stewards in particular are asked to take note of the requirements of Regulations 24(iii) and 119.

#### **BODY PROTECTORS**

7.11 For any queries on Body Protector specifications you should contact the British Horseracing Authority Chief Medical Adviser on 0207 152 0138. Body Protectors must not be modified in any way and must be in a serviceable condition.

All riders are required to wear a Body Protector which meets the European Standard (BS) EN 13158: 2009 or CSN EN 13158: 2009. There is a 2lb weight allowance given for the Body Protector.

Organisers staging a Point-to-Point Area's first meeting of the season must arrange for all Body Protectors to be checked at their fixture.

# 8 STEWARDS AND OFFICIALS

The duties of various Officials are categorised under specific headings but it is recommended that Officials read the whole of Section 8 in order to appreciate the teamwork and co-operation necessary to conduct a successful day's racing.

# 8.1 STEWARDS (Regs. 10 -16)

# (A) APPOINTMENT & FACILITIES

Every panel of Point-to-Point Stewards should be constituted as follows:-

- The number of members of the panel should, where possible, be six.
- The Chairman of the Panel must be appointed before the day's racing and indicated as such on the racecard. The Chairman of the panel should not be a member of the Organising Committee.
- As many members as possible should have had recent experience of riding under the Rules of Racing or in Point-to-Point Steeplechases.
- As many as possible should have experience acting as a Steward on a licensed racecourse.
- It is recommended that the Chairman of the Panel has recent experience
  of acting as a Steward on a licensed racecourse and has attended a
  Point-to-Point Stewards Seminar within the last three years. If any one
  member of the panel has recent experience as a Steward on a licensed
  racecourse, he should normally be appointed Chairman of the Panel.
- A Master should not be appointed a Steward at his own meeting.
- If a Steward has an interest in a particular race (e.g. if he, his spouse, parents, sons or daughters, authorised agents or riders agents have an interest in any of the horses in the race), he should not act for that race and should not remain in the Stewards' tent during the course of any enquiry resulting from that race.
- It is not advisable that close family members act on the same enquiry.
- Stewards are not permitted to ride in any races at the Point-to-Point meeting they are acting at.
- A Course Inspector cannot act as a Steward on a Point-to-Point course where he is the Course Inspector, and should not be a member of the Hunt Committee staging a fixture on the course that he/she inspects.

It is compulsory for all Point-to-Point Stewards (with the exception of newcomers - of which there can be two per panel of six) to have attended a British Horseracing Authority Point-to-Point Stewards Seminar within the last three years and have officiated twice in one season. Newcomers must attend a Point-to-Point Stewards Seminar in the same year that they acted as a Newcomer if they wish to continue to Steward at Point-to-Points.

A Stand or Wagon must be provided for the exclusive use of the Stewards and other key officials.

A Room or area in a tent must be set aside in which Stewards can hold enquiries in private. Open-sided areas are not appropriate.

# (B) DEPLOYMENT OF STEWARDS

The following principles should be adhered to whenever possible:-

 The Chairman should arrive early to walk the course with the Clerk of the Course to confirm the state of the going.

> NB: If racing is in any doubt because any part of the course is unfit for racing (e.g. frost, waterlogging, hard ground etc.) an inspection must be called. It is essential that there is close organiser / Chairman of Stewards liaison in the run up to a fixture. The timing and result of any inspection should be announced on a local answerphone message facility and / or a national dedicated telephone line (e.g. Talking Point). It is strongly recommended that Inspections, or the possibility of inspections, are advertised as early as possible (e.g. the day before the fixture) and that no first formal inspection occurs less than two hours before racing has been scheduled to start (unless a sudden downturn in weather necessitates such an ad hoc inspection). If the consensus is that the meeting is likely to go ahead even though the course is not raceable at the time, a further inspection(s), must be announced and held until the Stewards are satisfied that the course is raceable. Fixture organisers must ensure when informing any person or body or answering any enquiries into the likelihood of racing, that they make the situation clear. No announcements or messages indicating that racing will definitely go ahead must be made until the Stewards have officially confirmed this to be the case.

- The Chairman for the day is responsible for the deployment of the Stewards, who should also arrive in good time to acquaint themselves with the course and the Officials of the meeting. It is recommended that the Chairman organises a rota of duties so that the Stewards are not obliged to perform the same role from the same location for every race on the card. Organisers should produce a race by race rota template sheet for the Stewards to complete before racing.
- There should always be at least one Steward in the Parade ring prior to each race.

- A Steward should be present in the unsaddling enclosure and at the weigh in after each race.
- All Stewards should report to the Stewards' tent after each race.
- One Steward should attend the start for at least one race during the day.
- One Steward should concentrate particularly on the rear of the field to monitor possible infringements of Regulations 125-128 (failure to obtain the best possible place), and any failure to pull up exhausted horses.
- A Steward should be located 'head on' in the finishing straight for each race and if necessary other Stewards located at advantageous points so that the whole race can be seen. This could include 'head on' turning out of the back straight or at any point on the course where interference may be anticipated. These "field" Stewards should be equipped with radios so that an announcement to hold a Stewards Enquiry, if necessary, can be made as soon as possible.

# (C) STEWARDS' POWERS

Regulations 11 - 16 of the British Horseracing Authority Regulations for Point-to-Point Steeple Chases set out the powers that Stewards can employ to ensure that racing is conducted properly. They form the foundation for all decisions that Stewards are likely to take during race meetings. The main points of these powers are summarised below:

#### Stewards of a meeting are able to:

- make or vary arrangements for the conduct of the
  meeting or any race run at it; including dividing a race
  on the day if the Safety Factor is exceeded but excluding any variation
  whatsoever to the minimum medical provision on the day.
- abandon a day's racing or any races or to Reg 13 (ii) postpone any races;
- leave out or alter any fences in the circuit for safety reasons; Reg 13 (iii) but as a guide no more than 50% should be omitted on the first circuit.
- prevent from running any horse which is not qualified;
   Reg 13 (v)
- order the examination of any horse and withdraw such horse if any person prevents it from being examined;
   Reg 13 (vi)
- exclude or eject persons;
   Reg 13 (vii)
- enquire into and adjudicate upon the conduct Reg 13 (viii) of all persons on the racecourse;

- reduce, on raceday only and in exceptional Reg 13 (x) circumstances, in consultation with the Clerk of the Course and the Course Inspector the Safety Factor in each category of race
- prohibit a rider from using a particular whip;
   Reg 13 (xi)
- prohibit a horse (withdraw) from running on medical grounds after vet's opinion received, or decide whether a horse should be permitted to come under starters orders in more than one race on the day (if, e.g., it had refused in an earlier event)
- prohibit the use of any equipment which, in their opinion, is unsuitable, unsafe or ineffective;
- withdraw a horse where they consider through any cause that it will be unable to start without there being an unacceptable delay to the start of the race;
- prohibit a horse from running where they have reason to be concerned by its unruly behaviour.

  Reg 13 (xv)
- impose fines up to a particular limit; Reg 14 (i)
- report matters to the Reg 13 (iv) and Reg 14 (ii)
   British Horseracing Authority;
- suspend riders until the end of the meeting;
   Reg 15

Matters relating to betting disputes should not be entertained.

# (D) WHEN TO HOLD AN ENQUIRY

It is sensible to hold an enquiry when:-

- a possible breach of Regulations takes place;
- an incident occurs which gives grounds for the possible altering of placings;
- there is possible speculation over the running of a race;

The following should, in particular, cause an enquiry to be held:-

- riding misdemeanours (e.g. whip, interference);
- a horse not running on its merits;
- discrepancies in running;
- a breach reported by Officials.

# (E) THE CONDUCT OF A STEWARDS' ENQUIRY - CHECKLIST WHEN TO HOLD AN ENOUIRY

#### **ENQUIRY PROCEDURE**

Following an objection made under Regulation 140(iv), or otherwise through Regulation 141(iii), the Chairman of Stewards must be notified immediately that there is cause to convene a Stewards' enquiry.

Where placings may be affected or there is risk of a disqualification, an announcement must be made over the public address system that the Stewards are holding an enquiry, and the public are advised to retain all betting tickets until the result of the Stewards' enquiry is announced. Furthermore, the Steward present during weighing in must ensure that no announcement of "weighed in" is made over the public address system until authorised to do so by the Stewards.

The Chairman must determine his panel of a total of 3 Stewards who will adjudicate in the enquiry. The following should be taken into consideration when drawing together the panel:

- Stewards with evidence central to the enquiry of the incident should not sit on the Stewards' panel. Instead they should be called upon as a witness.
- If the Steward has a pecuniary or other interest in the race, they should not act on the enquiry.
- It is not advisable that close family members act on the same enquiry.

Alongside the person(s) subject of the enquiry, the following people should be considered to attend the enquiry:

- the Stewards' Assistant (if you have one)
- the Clerk of the Course if possible, and certainly for any course related enquiries, e.g. Taking the wrong course, interference etc
- the Vet should the offence be related to use of the whip, failing to pull up a tired /exhausted horse etc
- Witnesses as and when necessary
- Owner and / or trainer, should a novice rider be involved in the enquiry.

The Chairman of the Stewards shall call in the person(s) subject of enquiry and at first instance introduce the acting Stewards and explain the presence of any other Steward who maybe in the room but not adjudicating. Any other person called upon as a witness or to consult, should also be introduced.

At the outset, the Chairman shall then read out the grounds for the enquiry or objection, and for some enquiries under Regulation 141 (iii) inform the riders where the possible interference took place.

- where applicable, the appropriate Regulation should be quoted.
- Notes should be taken of all proceedings, and particularly important if it is evident that the enquiry relates to a potentially serious misdemeanour and / or is likely to result in an appeal to the British Horseracing Authority's Disciplinary Panel.
- At no point during this time should the Stewards make any comment on their own view of the incident.

Each witness should be examined.

An opportunity should then be given to the person against whom any allegations are made to cross examine.

The Stewards may raise such questions as they wish at any time and reexamine following statements from riders / witnesses.

The person / people who are subject of an enquiry must be given the opportunity to call witnesses. Video evidence does not have to be used however may be viewed in private by the Stewards at their discretion. Please note that using only one camera angle may sometimes be misleading and Stewards should never be placed under pressure to watch a video replay.

## **CONSIDERATION AND DECISION**

On completion of evidence, all other persons leave the room except the panel of 3 Stewards sitting on the enquiry and the Stewards' Assistant.

Stewards should then consider their findings.

The Stewards' Assistant would be invited to draw attention to relevant matters. E.g. whether the accused had previously been cautioned or fined for the same or similar offence during the course of the current season.

No further evidence may be heard without the accused being re-called.

#### **MAJORITY DECISION OF STEWARDS PREVAILS**

Principle decision options: -

- Impose recommended penalty up to laid down maximum.
- Suspension of rider for rest of meeting (may be combined with imposed penalty).
- Record explanation and, if satisfied, take no further action.
- Issue a caution or warning, having NOTED explanation. In noting the explanation, should important further evidence be presented the enquiry may be re-opened.
- Refer to the British Horseracing Authority

Persons involved would then be brought back and informed of decision.

If accused appears aggrieved at decision, remind him/her of appeals procedure (see Regulations 146-149).

Stewards' enquiry should be drafted and signed by the Stewards presiding at the enquiry (use templates to help).

Always ensure that the results of the Stewards' enquiry are announced, via the Clerk of the Scales, over the public address system so that the public are aware that the Stewards have enquired into a misdemeanour / horse performance. Therefore there is transparency and the public will be satisfied that action has been taken.

NOTE - Decisions affecting placings must be announced at earliest opportunity after time elapsed when objection could be made.

The Steward enquires should then be sent to the Point-to-Point Authority without delay by post / fax / email and, in any event, original copies should always be sent in the post.

# (F) RIDING OFFENCES -CONDUCT OF ENQUIRIES UNDER REGULATION 141 (III) AND OBJECTIONS UNDER REGULATION 140 (IV)

The Stewards should study the implications of Regulation 123 - see section (G) on page 36.

- The rider(s) involved should be called in. The Chairman will introduce the acting Stewards and explain the presence of any other Steward who maybe in the room but not adjudicating.
- The Chairman will then read out the grounds for objection or, in any enquiry under Regulation 141 (iii), inform the riders where the possible interference took place. NB: the purpose of announcing an enquiry under Regulation 141 (iii) is that it holds up the official weighed in and official result announcement in all enquiries where the placings may be altered. The Stewards should not at this stage make any comment on their own view of the incident.
- The Chairman will then ask the objector or apparently aggrieved rider to state his case, followed by the other riders.
- The Stewards may ask such questions as they wish. The riders should then be asked if they wish to make any final comments after which everyone except the Stewards sitting on the enquiry should leave the room.
- If, for instance, the enquiry/objection is interference related, the Stewards must decide by whom the interference was caused and follow the sequence of events outlined in the following paragraph "Procedure to be Followed" and the diagram on page 39.

#### PROCEDURE TO BE FOLLOWED

Having established that interference has taken place and who has caused it, the Chairman must ask the following questions of his Stewards:

#### Was it dangerous riding?

NOTE: Rider causes serious interference by purposely interfering with another horse or rider, or by riding in a way which is far below that of a competent and careful rider and where it would be obvious to such a competent and careful rider that riding in that way was likely to endanger the safety of a horse or rider.

NB: Where interference occurred it is deemed to be serious if the nature of the incident was such that it placed in serious jeopardy the safety of any horse or rider.

Was it careless riding?

NOTE: Lesser interference caused by failing to take reasonable corrective action or by misjudgement, or inattention, including a manoeuvre.

## Was it improper riding?

NOTE: Rider purposely interferes with or intentionally strikes another horse or rider. This may include riding that would be considered dangerous but for the fact that it did not cause serious interference.

#### Was it accidental?

(i.e. the rider will have taken reasonable steps to prevent the interference from occurring, or the interference would have been due to circumstances beyond the rider's control e.g. horse hangs or runs down a fence without warning.)

If it was accidental:

Did it affect the result of the race?

NOTE: If the Stewards consider that the result was affected, the placings must be altered.

- In all enquiries the rider/s should then be called in and informed of the Stewards' decision.
- If an offence has been committed, the rider should be informed of the appeals procedure. (Regulations 146-149).
- The decision will then be notified on the appropriate proforma to the Clerk of the Scales by the Clerk of the Course. No other person may be informed of the decision until an announcement has been made over the Public Address System.

# (G) RIDING OFFENCES - NOTES ON REGULATION 123

The British Horseracing Authority Board construes the terms "dangerous, careless or improper" in relation to riding offences as follows:

#### Dangerous Riding

A rider is guilty of dangerous riding if he causes serious interference by either purposely interfering with any horse or rider, or by riding in a way which is far below that of a competent and careful rider and where it would be obvious to such a competent and careful rider that riding in that way was likely to endanger the safety of a horse or rider.

#### Examples might be:

- Riding across to prevent a horse from going "up the inner";
- Attempting to force a horse off the track e.g. at the wing of a fence;
- Deliberately barging a way between two horses.

#### Careless Riding

A rider is guilty of careless riding if he fails to take reasonable steps to avoid causing interference or causes interference by misjudgement or inattention, including when manoeuvring for position.

#### Examples might be:

- Horse drifts into another without rider straightening it up;
- Horse hangs into the whip but the rider does not take sufficient action to straighten it up;
- Horse hangs in a bend without rider taking any action.

#### Improper Riding

A rider is guilty of Improper riding if he **intentionally** strikes other riders or horses and may also include other forms of misconduct in the course of riding, such as riding that would be dangerous but for the fact that <u>it</u> did not cause serious interference.

#### Examples might be:

- Rider intentionally interferes with another horse or rider <u>but does</u> not cause serious interference;
- Rider rides across to intentionally cause interference <u>but is not</u> deemed serious interference;
- Rider attempts to interfere with or intentionally strike another horse or rider with his whip, but does not cause serious interference.

#### DETERMINING THE RESULT FOLLOWING INTERFERENCE

The Chairman should ask the panel:

## DID THE INTERFERENCE IMPROVE THE PLACING OF THE HORSE CAUSING IT?

In arriving at their decision and, mindful of the relevant guiding principles below, the Chairman should ask the following questions:

1. Where did the incident take place in relation to the winning post?

- 2. How were the horses involved in the interference going at the time of the incident?
- 3. How serious was the interference i.e. how much momentum did the sufferer lose and/or how much ground was lost?
- 4. If the sufferer had had an uninterrupted run to the line, might it have finished in front of the interferer?

If NO - order placings to remain unaltered If YES i.e. there is some doubt - proceed to question five

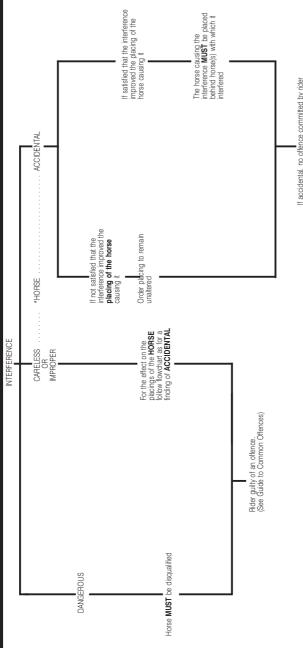
5. How easily did the interferer beat the sufferer?

HAVING CONSIDERED THOSE FACTORS RELEVANT TO THE INCIDENT IN QUESTION, IF THE STEWARDS ARE SATISFIED THAT THE INTERFERENCE DID IMPROVE THE PLACING OF THE INTERFERER IN RELATION TO THE SUFFERER(S), THE PLACINGS SHOULD BE ALTERED. IF THE PANEL IS UNABLE TO CONCLUDE ONE WAY OR THE OTHER, THE RESULT SHOULD STAND. GENERALLY SPEAKING THE LONGER THE PANEL DISCUSS WHETHER THE PLACINGS SHOULD BE ALTERED, THE LESS LIKELY IT IS THAT THEY SHOULD BE. IF THE PANEL IS UNABLE TO CONCLUDE ONE WAY OR OTHER THE RESULT SHOULD STAND.

## GUIDING PRINCIPLES FOR DETERMINING WHETHER INTERFERENCE HAS IMPROVED PLACINGS

- Dangerous Riding the placing(s) must be altered as the interferer must be disqualified.
- Careless, Improper or Accidental if the Stewards are satisfied that the interference improved the placing of the horse causing it, the placings must be altered.
- The benefit of doubt should go to the horse which finished in front
- d) Stewards should have in mind that interference is likely to have impeded the sufferer in some degree and therefore a reversal of placings will often follow where there is only a short head between horses, especially when the sufferer is rapidly covering ground.
- Stewards must take into account how the horses involved were going at the time of the incident.
- f) Stewards must take into account the momentum and ground lost by the sufferer imagining that it had an uninterrupted run to the line.
- g) Stewards must NOT make allowance for any effect on the horse causing the interference.
- Stewards must take into account the ease with which the interferer beat the sufferer.
- If a horse is carried off its intended line it will probably not have lost as much ground as it might appear.

# REGULATION 123 - INTERFERENCE OPTIONS



"Where in cases of careless or improper riding or accidental interference more than one horse is interfered with either in turn as a result of the same occurrence or simultaneously, each is a separate incident by the horse causing the original interference for which separate placing assessments are to be made.

# (H) USE OF THE WHIP - APPENDIX F OF THE REGULATIONS

The whip should be used for safety and encouragement only.

TThe Stewards shall hold an enquiry into any case where they are concerned that a Rider may have used his/her whip contrary to Appendix F.

#### Improper riding

The following are examples of uses of the whip, which may be regarded as improper riding for the purposes of 123 (ii).

- Example 1: Using the whip on a horse
  - with the whip arm above shoulder height;
  - rapidly without regard to their stride (that is, twice or more in one stride):
  - with excessive force:
  - without giving the horse time to respond.
- Example 2: Using the whip on a horse which is
  - showing no response;
  - out of contention;
  - clearly winning;
  - past the winning post.
- Example 3: Using the whip on a horse in any place except
  - on the quarters with the whip in either the backhand or forehand position;
  - down the shoulder with the whip in the backhand position;
  - unless exceptional circumstances prevail.
  - Example 4: Using the whip on a horse with excessive frequency.

Use of the whip may be judged to be improper in other circumstances.

#### Procedure

Horses may be subject to an inspection by a Veterinary Surgeon after the race. An Owner or authorised representative should remove or adjust rugs or sheets for the purposes of any inspection.

The Veterinary Surgeon will report to the Stewards every horse, which is wealed or injured.

An Owner or authorised representative should attend any enquiry into a wealed or injured horse trained by him and, in the event of a finding that the Rider is in breach of one of the examples in Appendix H above, may be liable to Disciplinary Action pursuant to Appendix F (which requires owners to give pre-race instructions to riders).

#### **Restrictions and Penalties**

- The whip may be used with a maximum number of 8 hits permissible throughout the race.
- A hit is defined where a rider appears to hit the horse whilst their hand is not on the reins, regardless of how, where, or with what force the whip is used.
- Where a rider is in breach for improper use of the whip, cautions will not be given.
- Riders must be acquainted with Appendix F of the British Horseracing Authority's Regulations (pages 141-142) for guidance on use of the whip in Point-to-Points.
- Penalties for excessive use of the whip are tiered as follows:

9-15 hits: £100 minimum 16-20 hits: £150 minimum

21 + hits: £250 minimum or refer

#### Other Whip Breaches

Hitting horse:

rapidly, without regard for stride i.e. twice or more in one stride:

£75 minimum (3 or more hits)

with whip arm above shoulder height: £75 minimum (2 or more hits) with excessive force: £100 minimum (1 or more hits) without giving horse time to respond: £75 minimum (3 or more hits) showing no response: £75 minimum (3 or more hits) out of contention: £100 minimum (3 or more hits) £75 minimum (2 or more hits) clearly winning: past the winning post: £75 minimum (2 or more hits) in the incorrect place: £75 minimum £100 minimum out of annoyance:

Please note that riders may also be suspended for the remainder of meeting in conjunction with the fine.

If a rider is in breach of any of the above offences and furthermore has wealed his / her horse, this should be treated as an aggravating feature and an additional minimum penalty of £100 should be added to the above penalties.

Where a rider is found in breach for a combination of whip offences, Stewards are directed to fine a cumulative sum for the amount that each breach is worth. For example: If in breach for both excessive frequency (10 times) and whilst out of contention, the rider may be fined £100 minimum for excessive frequency (lower tier fine) + £100 minimum for out of contention = £200 minimum fine.

#### **Subsequent Whip Breaches**

For 2nd offences (i.e. repeat whip offenders) - the fines issued for any whip offence will be DOUBLED.

For 3rd offences - the fines will be trebled (i.e. treble the amount of the associated fine for the latest whip breach) OR straight BHA Referral at the Stewards' discretion.

#### Owner / Owner's Representative Liability

For all whip offences involving novice riders, Stewards will ask the owner (or owner's representative) to accompany the rider to the Stewards' enquiry.

If they are found to have given inadequate or no instructions to the rider with regard to use of the whip, or otherwise instructions which if obeyed could or would lead to violation of the whip restrictions, disciplinary action shall also be taken against the owners or person giving the riding instructions.

#### (I) HAZARDS AT FENCES - REGULATION 122

The Stewards attention is drawn to the procedures for dealing with hazards at fences covered under Instruction 8.9, "FENCE ATTENDANTS" starting on page 66. Where during the course of a race the field is directed around a fence in an emergency, the Stewards must satisfy themselves that all horses completed the correct course (see Regulation 122(iv)). Where any rider has jumped a fence at which the direction markers have been deployed the Stewards must hold an enquiry under Regulation 140(iv) to consider whether the rider took all reasonable steps to avoid it. The rider may be guilty of an offence and in any event the horse shall, on objection under Regulation 140(iv), be disqualified UNLESS THE STEWARDS ARE SATISFIED THAT THE PROVISIONS OF APPENDIX AA OF THE POINT-TO-POINT REGULATIONS APPLY.

#### (J) OBTAINING THE BEST POSSIBLE PLACING - REGULATIONS 125-128 AND APPENDIX S OF THE REGULATIONS

In order to maintain the integrity of Point-to-Point racing it is vital that questions are asked by way of Appendix S of the Point-to-Point Regulations or that an enquiry under Reg 125 is held when a horse does not appear to have been run on its merits. Stewards should not be deterred from holding an enquiry because they consider it unlikely that they will be able to prove a breach of Regulation 125, 126, 127 or 128. These enquiries are not 'time sensitive' but Stewards should ensure the rider and owner (or his representative) are warned that they will be required to attend.

#### WHEN TO HOLD AN ENQUIRY

REGULATION 125 DOES NOT ALLOW EXCEPTIONS FOR HORSES WHICH DO NOT OBTAIN THE BEST POSSIBLE PLACING AND THEREFORE THE STEWARDS SHOULD ALWAYS INITIATE AN ENQUIRY ON THE DAY OR ASK QUESTIONS RATHER THAN LEAVING IT TO THE STEWARDS OFFICIATING AT A FOLLOWING MEETING WHEN THE HORSE RUNS. IN HELPING TO DECIDE WHETHER OR NOT TO HOLD AN ENQUIRY THE PANEL SHOULD CONSIDER THE FOLLOWING QUESTIONS:

- Was the horse asked for timely, real and substantial effort?
   If the answer to this question is NO an enquiry should be held. If YES
- Was it ridden to obtain the best possible placing?
   If NO again an enquiry should be held.

#### HAVING DECIDED TO HOLD AN ENQUIRY

Questions relating to distance/ground/instructions etc. need to be asked of the rider and owner (or his representative) to establish the reasons for the way the horse was ridden.

NB. If the owner is unable to attend the enquiry, his representative must attend Stewards' Enquiries on his behalf. If the representative is not aware of the riding instructions given, the Stewards will progress the enquiry as to whether the owner has ensured that adequate instructions were given for the purposes of sub-Regulation 125 (ii) on the basis of the evidence of the rider as to what instructions were given to him.

#### HAS A BREACH OF THE REGULATIONS BEEN COMMITTED?

Prior to determining whether or not the rider and/or owner (or his representative) are in breach of Regulations 125 - 128 the Stewards will again consider the following questions:

- Was the horse asked for timely, real and substantial effort?
- Was it ridden to obtain the best possible placing?

If the answer to either of those questions is NO, was the explanation given by either the rider or trainer (or his representative) an acceptable reason for the horse not being asked for sufficient effort or ridden to obtain the best possible placing?

If the answer to either question remains NO, the rider is in breach of Regulation 126, 127 or 128.

With regard to the owner (or his representative), he will be in breach of sub-Regulation 125 (ii) if the Stewards are not satisfied that he either gave adequate instructions or gave instructions to the rider which if obeyed could or would prevent the horse from winning a race or obtaining the best possible placing. He will also be in breach if the rider is found in breach of Regulation 127 unless he is able to satisfy the

Stewards that instructions had been given to the rider to enable the horse to obtain the best possible placing and the rider failed to comply with those instructions, and otherwise was in no way to blame for the horse's false running.

#### WHEN IN BREACH

If the Stewards find that a breach has been committed by the rider, it is necessary to categorise the offence as this will assist in arriving at the appropriate penalty. The following questions need to be considered (The Categorisation chart on page 47 must be followed):-

- 1) Was the rider in breach of Regulation 126 (negligence)? IF NOT
- 2) Was the rider in breach of Regulation 127 (intent to conceal)? IF NOT

it must be a breach of Regulation 128 (failure to take reasonable and permissible measures) by the rider.

Having decided which Regulation the rider is in breach of and the category of offence, the Stewards will need to decide the penalty for the rider and if appropriate, the owner (or his representative).

#### WHEN NOT IN BREACH

If the Stewards decide that no breach has been committed or are unable to conclude the enquiry the following options are available and must be referred to in the Stewards' report of the enquiry:-

- Noting all explanations i.e. EXPLANATIONS SHOULD NEVER BE ACCEPTED ON THE DAY
- Referral to 75 High Holborn for viewing (if available) or receiving reports on horse's previous runs
  - a marked improvement in performance (see below)
  - concerns are expressed about performance last time out.
- Adjourned enquiry
  - vital witness is not present.

#### IMPROVEMENT IN PERFORMANCE

If a winning horse shows much improved form (i.e.: after a run where no enquiry was held, or following a 'noted' explanation) the Stewards should hold an enquiry and either further note the explanation or refer the matter formally to the British Horseracing Authority for further investigation.

#### (K) APPEALS

Where a rider or owner appears to be aggrieved at a decision taken by the Stewards he must be advised that there is an appeal procedure. Accordingly, he should be advised to contact the British Horseracing Authority Point-to-Point Office on 0207 152 0049 if he wishes to make a written appeal to the British Horseracing Authority. Regulations 146-149 refer.

#### (L) GUIDE TO COMMON OFFENCES

The maximum penalties which Stewards may impose are:-

- A fine of £600 except where a maximum fine is laid down in the Regulations for the breach of any particular Regulations.
- 2. Suspension of a rider for the rest of the meeting.

Where the Stewards consider it necessary or when they feel that their powers are insufficient to deal with a specific case they may refer it to the British Horseracing Authority.

Second and third offences only relate to the current season. Stewards should always ask the "Offender" if he/she has being cautioned/fined for a similar offence during the season. This can then be cross-checked at the British Horseracing Authority Point-to-Point Office.

All fines must be collected at the meeting and forwarded to the Fixture Secretary, who shall forward them to the British Horseracing Authority Point-to-Point Executive.

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#### RECOMMENDED PENALTIES FOR COMMON OFFENCES

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Regulations

Offences	Regulations	Scale of Penalty
PRE-RACE		
Passport Unavailable	35 (ii)	Fine £100 ask Owner to confirm that horse's vaccinations are correct and instruct him to forward passport to BHA Point-to-Point Dept. within 3 working days
Vaccinations.	38 (i)	Fine £150 if not vaccinated at all, vaccinated on day of race, or any of 6 days prior to the day of the race.
	38 (ii)	Fine £100 If vaccinations section of passport is not completed as required under Regulation 36(ii)
Failure of declared rider not to come under Starter's Orders	114 (vi)	£100 unless circumstances are acceptable to the Stewards
Horses not qualified to run under Reg 111(iv)	111 (iv)	refer to the British Horseracing Authority
Failure to run horse after declaration	111 (v)	Fine of £155 or refer to the British Horseracing Authority
Late arrival of rider to weigh out	114 (i)	£50 upwards
Late in Parade Ring (Horses)	120 (i)	£50 upwards
Unauthorised advertising	Appendix L	1st Offence - caution 2nd Offence - £75

Number Cloth incorrect or not carried	118	£50
Skull Caps	115(ii), Appendix C	1st Offence - £50 2nd Offence - £100
Body Protectors	115(iv), Appendix O	1st Offence - £50 2nd Offence - £100
Whips not complying with specifications	117	1st Offence - £50 upwards 2nd Offence - £100
Reins not correctly attached to bridle	115 (v)	Fine £50 upwards
Saddling errors	115 (iii)	Fine £50 upwards
Oversize Calkins	116	Fine £50
Oversize Nailheads	116	Fine £50
Failure to run horse in declared item, or horse in a non-declared item	119 (ii) (a)(b)	Fine £75
Running in tongue strap when not declared, or failure to run in declared tongue strap and reason not acceptable	119(ii) (c)(d)	£50 upwards
Unruly behaviour of horse	26 (vi)	On first occasion informal warning to owner 2nd occasion - Owner to be informed: "Future similar behaviour will render the matter liable to be reported to the British Horseracing Authority"
		3rd occasion - Refer the matter to the British Horseracing Authority
Misconduct at start.	26 (x), (xi)(e)	£75
DURING RACE		
Riders continuing in race (including following remounting). Taking the wrong course. Jumping fence when direction markers are in place	122/126	Fine £75 upwards Mandatory disqualification unless provisions of Appendix AA of Regulations apply.
Rider disregards yellow stop race flag	122 (vi)	Fine £75

Riding Offences 123
(a) Interference - Careless,
dangerous and
improper

1st Offence - fine £75 upwards 2nd Offence - suspend for remainder of meeting & fine £150 upwards 3rd offence - Refer

# NB: IF DANGEROUS, MANDATORY DISQUALIFICATION AND RECOMMEND THAT THE FINE IS DOUBLED.

(b) Improper Use of the Whip	Appendix F 123	See (H) Use Of The Whip on page 41 (fine applies to owner too if
		appropriate - see end of section 8.1(H)
Failure to pull up/dismount from exhausted/lame horses	123 Appendix V	Fine £150 upwards
Schooling	127	
Horses running with intent to conceal	127	
III-judged riding	126	see table on page 49
Failure to ride out for first place due to ill judgement or negligence, or mistaking the winning post	126	See table on page 49
Failure to ride out for a place	126	
Earplugs removed	Appendix X	If by Rider Fine £50 If by Rider on Instruction by Owner also fine Owner £50
Failure to weigh in	130 (i) & (iv)	Mandatory disqualification of horse Fine - Winner £150 - £600 or Refer. If wilful Refer. Second or Third £60 - £125 Fourth £40
Failure to draw correct Weight	115 (iii), 131 (iii), (iv)	If explanation not acceptable, Fine Owner £130 - £600. Mandatory disqualification
Weighing in at 4lb or more overweight	131	£50 upwards (Do not disqualify)
Failure to report to the Point-to-Point Doctor after a fall	55 (i)	Fine £70
Improper Conduct	170 (ii), (iii)	£90 - £600 or Refer

	DIN IN SUCCESSION OF THE SUCCE	LACING - CATEGORISATION OF OFFENCE
POTENTIAL OFFENCE	SUBDIVISION OF POTENTIAL OFFENCE	APPROPRIATE ACTION (First offence during previous 12 months).
Regulation 126 (Negligence) Jockeys	<li>Failing to ride out approa the finish by dropping ha a horse that would have t placed first, second, third,</li>	een £600 depending on seniority of rider or refer. If wilful, refer
	ii) Mistaking the race distan- either riding a finish a cirr early or failing to ride a fi	uit too
	iii) Taking the wrong course.	<ul> <li>£75 upwards. Mandatory disqualification too unless turning back and riding the correct course.</li> </ul>
	<ul> <li>iv) III-judged race. Rider onl to ask for an effort too lat result of serious misjudge or inattention.</li> </ul>	e as a
Regulation 127 (Intent)	i) Deliberately preventing a from winning.	norse i) Refer
	ii) Purposely not riding out a that would otherwise have	*
	iii) Horse which has intention been asked for sufficient	
	<ul> <li>iv) Horse which appears cap reaching a challenging po but which intentionally is asked to do so.</li> </ul>	sition representative) £250 - £600
	<ul> <li>Using the racecourse as training ground i.e. School and/or Conditioning. Hors usually first time out or re after a lengthy absence.</li> </ul>	ling representative: First offence - £90. e Second offences - £185 upwards.
Regulation 128 (Failure to take reasonable and permissible measures)	<ol> <li>Rider fails to take all reas and permissible measure throughout the race.</li> </ol>	, , , , , , , , , , , , , , , , , , , ,

Note: Normally a comparable penalty should be imposed on rider and owner (or his representative) where both are found in breach of the Regulations. In addition, whilst details of the fines which should be imposed on an owner who has been found "in breach" are mentioned in the above table, the owner must always be fined under sub-Regulation 125(ii). This would not apply where the owner was riding the horse concerned.

# BREACHES OF THE REGULATIONS FOR WHICH HORSES MUST BE DISQUALIFIED BY THE STEWARDS OF THE MEETING.

- Failure to weigh in (Regulation 130) unless part (iv) of the Regulation applies
- Taking the wrong course unless turning back and riding correct course (Regulation 122 and 126)
- 3. Failure to draw correct weight (Regulation 131)
- 4. Dangerous riding (Regulation 123)
- 5. Remounting to continue in the race (Regulation 122(v))

#### **CLERKS OF THE COURSE**

- 8.2 The Clerk of the Course shall make all the necessary arrangements, as directed by the Organising Committee, to ensure that the Point-to-Point course is fit for racing on the day, as per the Instructions. Furthermore:
  - a) Safety Factors. The safety factors are to be determined with the Course Inspector in time for the publishing of the National Planner. Pre-determined Safety Factors which have been agreed with the British Horseracing Authority must not be increased on the day of racing.
  - b) State of the Going. The state of the going should be confirmed and displayed on the numbers board having walked the course with the Chairman of the Stewards' Panel. The going description must comply with the terminology currently used under the Rules of Racing. (i.e. Hard, Firm, Good to Firm, Good, Good to Soft, Soft, Heavy).
    - N.B. IT IS STRONGLY RECOMMENDED THAT WATERING SHOULD TAKE PLACE TO AVOID HARD GROUND. IF THE GROUND IS CONSIDERED TO BE OFFICIALLY HARD THE MEETING  $\underline{\text{MUST}}$  BE ABANDONED / POSTPONED.
  - c) Briefing. The Clerk of the Course has the responsibility at the beginning of the day to brief all Stewards, Officials, Fence Attendants, Doctors, Vets, Paramedics and voluntary Ambulance crew on the procedures for marking hazards at fences, in directing the field around them and the emergency procedure for stopping a race. The briefing MUST include a practical demonstration at a fence with the local British Horseracing Authority Course Inspector in attendance. The Clerk of the Course must ensure that:
    - (i) the correct equipment for the marking of hazards and alerting the emergency services is placed at each fence in sufficient time for the local Inspector and Stewards to view when walking the course prior to racing, and that the Black and Fluorescent yellow chevron direction markers point towards the correct side of the fence for the field to pass in an emergency;
    - at fences where direction markers are deployed arrangements are made for either himself, his Assistant or a Steward to be present where possible;

- (iii) service vehicles do not use the racing surface (including run-throughs) as a service road except in the case of an emergency. Service vehicles must be parked well away from the racing line and not immediately after a fence, where they could pose a hazard to a rider pulling up or regaining control of his mount after a blunder etc. In addition, they should be parked on the opposite side to that which a fence is to be bypassed.
- (iv) a direction marker and a chequered flag, together with the notice for the marking of hazards at fences, are displayed in the Weighing Room/Tent.
- a clear plan of the course, indicating at each fence which side the field will pass in an emergency, is also displayed in the Weighing Room/Tent.
- (vi) medical treatment facilities are located adjacent to the weighing tent/jockeys' changing tent.
- (vii) extra course markers are available as necessary in the event that bad weather requires "dolling out" to avoid false or waterlogged patches of the track.
- (viii) a yellow stop race flag(s) and whistle are available, and a notice for the stop race procedure is displayed in the Weighing Room/Tent.
- d) Medical Personnel The Medical personnel shall be briefed as to their location and duties by the Clerk of the Course, as well as the Senior Point-to-Point Doctor. The Clerk of the Course will ensure that the course allows access to ambulances throughout, or alternatively provide suitable locations to give ambulance coverage for the whole course. The Clerk of the Course is also to ensure that a tractor, capable of towing an ambulance, is available in case of difficult conditions. This tractor is not to be used routinely to tow ambulances during racing in bad conditions. If the ambulances are unable to reach all parts of the course unassisted racing should be abandoned.

The Clerk of the Course shall ensure that a Doctor:

- attends the Weighing Room/Tent prior to racing to review all Medical Record Books passed onto him by the Declarations Clerk and to examine any riders who have an outstanding "red entry" (i.e. riders who have been declared unfit to ride);
- (ii) watches racing from the Stewards' stand, or similar to check for fallen riders;
- (iii) attends the Weighing Room/Tent at a pre-arranged, preferably signposted, location after each race to examine all fallen riders:
- (iv) has a vehicle to transport him to any part of the course when his services might be required as indicated by a flag;
- (v) and his team have a minimum of four radios between them, two for the doctor and two for the ambulances.
- e) Veterinary Personnel The Clerk of the Course shall ensure that vets;
  - have access to all parts of the course or have suitable locations provided to give veterinary coverage for the whole course;

- do not leave the course until all horses have been accounted for after the last race, and all requests for veterinary attention have been dealt with;
- (iii) have a recovery vehicle with driver and that screens are present; In practice screens should be provided. (e.g. for the unsaddling enclosure, horse ambulance, veterinary Surgeons' vehicle and paddock);
- (iv) have a horse ambulance (in exceptional circumstances a suitable trailer) with towing vehicle and driver, experienced in handling horses.
- (v) are provided with ABUNDANT SUPPLIES OF FRESH,

  COOL WATER (positioned adjacent to the parade ring,
  unsaddling enclosures, last obstacle(s) and horsebox park)
  with which to treat horses potentially suffering from heat
  exhaustion. These supplies may be provided in the form of
  hosepipes or filled water butts.
- (vi) have a minimum of 3 radios between them.
- f) Starter's Assistant The Clerk of the Course shall brief the Starter and Recall man, particularly in relation to the passage of information on the withdrawal of any horse, and provide each with a yellow flag.
- g) Stewards' Enquiries The Clerk of the Course or his nominated representative may be present at all Stewards' Enquiries. The Clerk of the Course or his nominated representative should inform the Clerk of the Scales, (on the appropriate proforma) of any decision on an objection. Should a rider or owner wish to appeal, the procedure should be explained to him by the Stewards or Clerk of the Course (Regulation 147).
- Communication Systems The Clerk of the Course must arrange the distribution of handsets (as provided by the Organising Committee) to all relevant Point-to-Point officials - see section 5.6.
- Race Timing It is recommended that The Clerk of the Course is to appoint an individual who is to be responsible for timing the race.
- The Clerk of Courses must discuss the stop race procedure with the Course Inspector and BHA Inspectorate prior to a fixture.

When there is a major hazard ahead which is unavoidable and, in the opinion of the Clerk of the Course, necessitates stopping a race, a yellow Stop Race flag must be deployed and waved by a pre-determined person on the instruction of the Clerk of the Course. In addition, the person(s) waving the flag(s) should blow a Fox 40 whistle to ensure riders are aware of his presence. Clerks of the Course are responsible for the specific operating procedures and training that they put in place to optimise the deployment of yellow Stop Race flag(s). These procedures must be clearly highlighted in all relevant documentation compiled, circulated and displayed by the Clerk.

Note: It is possible that situations may arise during which riders see both types of flag being intentionally waved by different racecourse personnel at the same time. In such cases, the yellow Stop Race flag always takes precedence.

#### **JUDGES**

8.3

The Organising Committee must ensure that the Judge has an assistant judge and the Judge has at least a wagon to stand on, with weather protection if possible. The wagon is to be for the sole use of the Judge and must be sited at least 12 yards (if possible 20 yards) back from the winning line. Furthermore, the Organising Committee shall seek to provide the Judge with additional elevation should he request it. A table near the Clerk of the Scales should also be provided in the Weighing Tent for the Judge.

Judges may refer to their own video evidence in the same manner as conferring with the assistant judge, the footage must not be used to determine the placings of a finish. Stewards should be made aware when such equipment is in use and video equipment must not be used in place of a judge's assistant.

- (a) The Judge is responsible for placing the first four horses past the winning post and giving the distances between the 1st and 2nd and 2nd and 3rd horses. Occasionally, in a Hunt Members race for example, he may also be required to place the first farmer past the post. In addition, on occasions where the Judge considers that there may be a disqualification(s) at the conclusion of a race (e.g. runners appear to have taken different routes, or a rider's weight cloth has fallen off during the race) he should place such additional horses past the post as he sees fit. This will enable the Stewards to officially promote e.g. the 5th, 6th etc. horses in the event of a disqualification. The Judge is also responsible for recording the official race times on his return and will arrange for the time-keeper to be alongside him throughout the race.
- (b) The suggested procedure in Appendix B has been devised to minimise the risk of the Judge making a mistake.
- (c) The procedure is based on the use of colours and their interpretation into numbers. In a similar way a commentator interprets colours into horses' names.
- (d) The method used by British Horseracing Authority Judges is to list colours by numbers through the spectrum from white to black so that it is easy to look at the correct place in a list to identify a runner. Main body colours are used as far as possible as caps are liable to blow off and number cloths are often deceptive.
- (e) The detailed and recommended procedure is described in Appendix B. An example of a Judge's result card is illustrated in Appendix J.

(f) The Judge must ensure that a complete list of entries and colours has been sent to him by the Point-to-Point Secretary and that a pad of Judge's Result Cards has also been provided not later than the day before the meeting.

#### **Declarations**

(g) When declarations have closed 45 minutes before each race a duplicate copy must immediately be available to the Judge and Stewards.

#### **Colour Changes**

(h) The Clerk of the Scales must inform the Judge of any colour change noted as he weighs riders out.

#### Results

- (i) In the interests of the public, the Judge must get the result of a race as horses pass the post announced by the Broadcaster as quickly as possible. It is therefore strongly recommended that the Judge is provided with a radio handset.
- (j) In any case it is essential that the Judge's official result card, duly signed, is passed to the Clerk of the Scales who signs it when weighing-in is complete and at the end of the day hands all result cards to the Secretary.

#### **STARTER**

#### 8.4 (a) Before the day of Racing

- (i) The Organising Committee shall make the necessary arrangements to provide a Starters' Assistant and a Recall Flag man (Yellow Flag man). The Starter should contact the Organising Committee well before the day of racing to see if these arrangements have been made. The Assistant's duty is to check, and if necessary, tighten girths or adjust equipment when requested. He is also useful in leading up reluctant horses and generally assisting any rider in difficulties.
- (ii) It is helpful if the Clerk of the Course puts down a sawdust or chalk line on the ground (or uses two distinctive poles) at the start. This clearly defines the limit beyond which runners may not go before the race actually starts i.e. Flag fall.
- (iii) It is also helpful to the Starter if he has a rostrum. Any stable platform, raised only a few feet above the ground, enables the Starter to see and be seen much better than if he is on the ground.
- (iv) The Starter should be aware of whether or not a 'flip' start, or any other design of starting gate approved by the British Horseracing Authority is in use.

#### (b) The day of Racing

- (i) The Starter should check he has the correct time.
- (ii) The Starter should arrive on the course in plenty of time; (e.g. an hour and a half before the first race).

- (iii) Immediately on arrival the Starter should report to the Clerk of the Course. This will satisfy that official that his Starter has arrived and will give the opportunity to pass on any special information e.g. method of getting to and from the start; or action to be taken in the event of a horse being withdrawn before coming under Starter's orders (see Para. (f) on Page 54).
- (iv) The Clerk of the Course will provide the Starter and Recall man each with a yellow flag, and a radio handset should also be provided.
- (v) A 'flip' start, or other design of starting gate approved by the British Horseracing Authority should be tested before racing starts.

#### (c) At the Start

- (i) Before leaving the Weighing Tent, the Starter will be provided by the Clerk of the Scales with a Declaration Sheet (see Appendix C (1)). The Starter will indicate these numbers on his race card. Once at the start, the Starter should also double-check that the appropriate horses are carrying the headgear that they have been declared in to
- (ii) The Starter should arrive at the Start in good time; e.g. a quarter of an hour before the first race and ten minutes before the subsequent races. If an approved starting gate is being used the Starter must ensure it is set up properly for each race.
- (iii) The Starter should satisfy himself that the 'Re-call' man knows his duty which is as follows:He should normally take up his station with his back to the first fence (any local peculiarities of the course must be taken into account) with his yellow flag lowered. When the Starter raises his flag prior to starting the race, the 'Re-call' man raises his flag above his head and keeps it there until the Starter lowers his flag to start the race, when the 'Re-call' flag is immediately lowered and the 'Re-call' man leaves his station to avoid the oncoming horses. The 'Re-call' man must on no account leave his station or lower his
- (iv) When the runners arrive at the start, the Starter will satisfy himself that all are present.

flag until the signal for the start has been given.

(v) Before he starts the race, it is advisable for the Starter to tell the riders exactly what he intends to do e.g. 'I will raise my flag above my head and call you into line. The signal for the start is the lowering of my flag. If you cross the line before I lower my flag, I shall be obliged to re-call you'.

#### The Start

- (d) (i) When all runners are ready the Starter should check his watch to see that the advertised time for the race has arrived.
  - (ii) He should then glance up the course to see that it is clear and that the 'Re-call' man is in position.

- (iii) He then walks to his starting post or mounts his rostrum, calls the runners into line and raises his flag, putting the field under "Starters Orders".
- (iv) When he is satisfied that a fair start can be achieved he lowers his flag smartly. A start should ordinarily not be effected if the runners approach the start at anything other than a walk or a "jig-jog".
- (v) The Starter can then return to the Weighing Room/Tent to prepare for the next race.
- (vi) Starters should be aware that where an approved starting gate is in use the procedures relating to the use of the flag (see above and Regulation 26) must still also be adhered to.
- (vii) Turning back. If the Starter has told all Riders to take a turn back, they must go back as far as the start, line up, and a standing start will be effected. The Starter may allow a Rider or Riders to take a voluntary turn if it assists in starting the race.

#### Horses held at the Start

(e) If the Starter gives permission for a horse to be held at the start, such horses must be led up behind all other runners or on the outside of the course well clear of all other runners, as the Starter may think fit.

#### Withdrawing horses

(f) As indicated previously the Starter should ascertain from the Clerk of the Course when, how and to whom he should communicate the fact that he has withdrawn a horse which has arrived at the start lame or for any reason cannot take part in the race. It is strongly recommended that this announcement should be made via radio handset.

The announcement needs to be made in clear, simple tones as soon as the horse has been withdrawn and must indicate whether the runner was under Starter's Orders at the time.

If a written message is to be sent, it should be simple e.g. No. 17 PATCHWORK - withdrawn NOT under Starter's orders.

If the Starter is not within earshot of the broadcasting system, he should allow a reasonable time for the announcement to be made before starting the race. Once under Orders, only the Starter can decide whether or not a horse should be withdrawn.

When a horse is fitted with a tongue strap the declarations sheet will inform the starter if the owner wishes his horse to be withdrawn if the tongue strap cannot be fastened or is shed on the way to the start. It is the starter's responsibility to take a final decision as to whether or not any horse should run in these circumstances, but in reaching the decision he will take note of any such notification by the owner.

8.5

#### (g) The Starter Should:-

- (i) Be firm and decisive in word and action.
- (ii) Never appear to be in a hurry.
- (iii) Not allow riders to pressure him into starting the race before he is satisfied. If they 'break' before he is satisfied, the Starter should keep his flag firmly up and Recall them.
- (iv) Give every horse a fair chance to get into line. If somebody is 'stuck' he should be given a chance to get going, perhaps with the help of the Starter's Assistant. On the other hand, riders who deliberately hang back cannot expect him to hold things indefinitely for their benefit. Only the Starter can decide how much lee-way can be given in any particular case.

#### **CLERK OF THE SCALES**

The Organising Committee must ensure that the Clerk of the Scales has an accurate (i.e. recently calibrated) pair of weighing scales to work with. The scales must be placed on a firm level surface and checked on site in advance of the meeting. CLERKS OF THE SCALES ARE REMINDED OF REGULATION 115 (IV) AND THAT ALL RIDERS WHEN WEIGHING OUT MUST REGISTER AT 2LB MORE ON THE SCALES THAN THE OFFICIAL WEIGHT THEY ARE DUE TO CARRY, TO ACCOUNT FOR THE WEARING OF THE APPROVED BODY PROTECTOR.

- (a) It is essential that the Clerk of the Scales arrives at the Course in plenty of time on the day of racing. This will enable him to deal with any problems and/or queries as to weights or qualifications before the work of the day.
- (b) Lined paper on a clip-board and ruled as suggested in Appendix C can facilitate the Clerk's duties. The purposes of the columns are indicated and when used in conjunction with the race-card will enable an accurate record of the day's events to be available at any time in the future. Block capitals should be used throughout.
- (c) When the declaration sheet for the race (45 minutes beforehand) becomes available, the names of the riders are to be written on the prepared paper. A copy of the sheet is to be made available to the Judge.
- (d) All items carried on the horse's head i.e. blinkers, hood, visor, sheepskin cheek pieces, eyeshield or eyecover are excluded from scale, but must be a) declared and b) present (With the exception of sheepskin cheek pieces) when the rider weighs out. Also excluded from scale are breast plates, breast girths, martingales and neck straps.
- (e) The Return of the day's racing as required by Regulation 61 should be clear and concise. (See Appendix D).
- (f) The Clerk of the Scales must inform the Judge of any colour change noted as he weighs riders out.

(g) The Clerk of the Scales should carry out occasional checks on riders' whips to ensure they comply with Appendix E of the Pointto-Point Regulations.

#### **DECLARATIONS CLERK**

- 8.6 The Organising Committee must ensure that the Declarations Clerk is in possession of all the forms necessary (Appendix C(1), C(2) H and I) to carry out his duties and has a table and chair which he can work at.
  - (a) It is essential that the Declarations Clerk arrives at the course in plenty of time on the day of racing. This will enable him to ensure that the Organising Committee has provided him with all the forms necessary (Appendix C(1), C(2), H and I) to carry out his duties.
  - (b) It is the responsibility of the Declarations Clerk to accept declarations to run. In doing so he should note the extras to be carried (e.g. blinkers, visors, tongue straps etc.). If a horse is due to run with a tongue strap the owner must notify the Declarations Clerk if the owner wishes his horse to be withdrawn if the tongue strap cannot be fastened or is shed on the way to the start. The owner's wishes should be included on the Declarations Sheet.
  - (c) THE DECLARATIONS CLERK MUST ENSURE THAT EACH RIDER HAS A CURRENT MEDICAL RECORD BOOK (MRB) AND A RIDERS QUALIFICATION CERTIFICATE (RQC). If a rider is unable to produce a current RQC the rider must sign a declaration (Appendix H) that they hold a valid RQC. Where the MRB cannot be produced, the rider shall not be permitted to ride.
  - (d) The Declarations Clerk must retain the rider's MRB until each rider has finished riding for the day. THE DECLARATIONS CLERK MUST IDENTIFY THOSE MRB'S WHERE THE RIDER IS UNDER MEDICAL SUSPENSION AND REFER THEM TO THE POINT-TO-POINT DOCTOR WHO WILL EXAMINE THE RIDER CONCERNED. MRB's must only be returned when the Point-to-Point Doctor has confirmed that no medical entry is required.
  - (e) The Declarations Clerk should ensure he is familiar with the procedure described below for allowing riders to be declared to ride two or more horses in any race when it is anticipated the safety factor will be exceeded and the race will be divided on the day.

#### **PROCEDURE**

(i) When taking declarations the Declarations Clerk may find it helpful to complete the declarations table as per Appendix C(2). When a declaration is made the Declarations Clerk should scan down the list of previously declared horses and check for any rider that has already been declared. If the rider has been declared for another horse the rider should be asked to state which horse will be ridden (the preferred horse) if the race is not subsequently divided.

- (ii) The declared rider will then have the responsibility of informing the respective owners of his order of preferred horses, and if the race is not divided he will then ride his first preference.
- (iii) Before declarations close the owner, or the owner's representative, of a non-preferred horse, shall then state to the Declarations Clerk whether, in the event of the race not dividing, the horse will be withdrawn or an alternative rider will be substituted.
- (iv) The owner or owner's representative shall inform the Declarations Clerk of the name of the alternate rider. The alternate rider will only be able to be nominated as an alternate for one horse and must not have been declared for another horse in the race.
- (v) At the closing of declarations, if the safety factor is exceeded then the race will be divided, in the manner described in Instruction 4.3. The declared rider will ride the horses for which declared unless such horses are in the same division, in which case the non-preferred horse would either be ridden by the named alternate rider, or withdrawn as per stated to the Declarations Clerk before declarations closed.
- (vi) In the event of the race not being divided, the non-preferred horse will either be withdrawn or the named alternate rider will be the declared rider of the non-preferred horse.
- (vii) In the event of a declared horse being the non-preferred ride and the owner not nominating an alternate rider, the declaration will be invalid and the horse not allowed to run.
- (viii) If the declarations table (Appendix C(2)) has been filled out, on completion of the declarations, the declarations sheet (Appendix C(1)) should be completed and distributed as outlined in (f) below.
- (f) When the declaration sheet has been completed the Declarations Clerk must make a copy available to the Stewards, Starter and Clerk of the Scales, who in turn will make a copy available to the Judge.

#### 8.7 MEDICAL ARRANGEMENTS

a)

NO LATER THAN THREE MONTHS PRIOR TO THE POINT-TO-POINT,
THE FIXTURE SECRETARY WILL APPOINT A SENIOR POINT-TO-POINT

DOCTOR to take charge of the medical arrangements at the Point-to-Point course. On his/her appointment the Senior Point-to-Point Doctor is to be provided with the Medical Briefing Pack that is sent to all Fixture Secretaries by the Point-to-Point Authority before each season. This will

enable the Senior Point-to-Point Doctor in conjunction with the fixture secretary to:

- carry out a risk assessment (with particular reference to the training of the doctors, the number of paramedics and ambulances and the number of First aid Attendants required)
- prepare standing orders (with particular reference to the Guide to Safety at Sports Grounds - Chapter 18 - 2008)
- iii) prepare a Major Incident Emergency Plan.

#### b) Role of the Senior Point-to-Point Doctor

- (i) It is the Senior Point-to-Point Doctor's responsibility to ensure that the medical arrangements (Emergency vehicle and carry on equipment, medical staff, ambulances and communications) on the course are of a suitable standard, comply with the current year's "Instructions for Point-to-Point Steeple Chases" and that the qualifications and expertise of the doctors are sufficient to deal with the problems likely to be encountered.
- (ii) The Senior Point-to-Point Doctor must ensure that a full briefing of all medical personnel (including the voluntary services - St Johns personnel & Red Cross) is carried out at least 45 minutes before the first race.
- (iii) The Senior Point-to-Point Doctor has absolute discretion in relation to declaring a meeting unfit to proceed due to falling below minimum requirements set out within the Instructions for Point to Point Steeple Chases. If at any stage, the Senior Point-to-Point Doctor considers that it would be unsafe to continue, (e.g. a doctor or paramedic leaves the course with the paramedic ambulance to take a seriously injured individual to hospital), he/ she will inform the Stewards of the Meeting and racing will cease immediately.
- (iv) The Senior Point-to-Point Doctor must ensure that Point-to-Point Doctors wear an identifying armband at all times.
- (v) The Senior Point-to-Point Doctor shall not leave the course until all riders in the last race have been accounted for and the permission of the Clerk of the Course for withdrawal of Medical Services has been obtained.
- (vi) The Senior Point-to-Point Doctor must ensure that all medical personnel sign Medical Report Form B to indicate their attendance. (Reference Instruction 8.7(g)).
- (vii) The Senior Point-to-Point Doctor must ensure that a Doctor: is available at a pre-arranged location (preferably signposted and near the scales) within the medical tent or close to the the weighing room/tent after races to check riders who had a fall and to authorise the release of Medical Record Books belonging to riders who have finished riding at the fixture and wish to leave the course (see 5.9). Fixture organisers are asked to nominate an individual (Medical Runner) to record the details of all fallers and unseated riders in every race and to relay this information to the senior Doctor. This will assist the Doctor so that he/she is fully aware of which riders need to present themselves for medical inspection. Doctors are urged to report to the raceday Stewards any rider who does not present themselves as necessary (see Regulation 55 (i)).

- (viii) It is essential that the Senior Point-to-Point Doctor:
- Within three hours of the fixture ending, sends a text message to the BHA CMA (07788 567440) informing him of any Red Entries or confirming there were none.
- 2. Returns both completed Medical Report Forms (A and B) to the British Horseracing Authority Chief Medical Adviser WITHIN 24 HOURS (Reference Instruction 8.7(g)). They can be FAXED or scanned and emailed to the BHA Medical Department on: 0207 152 0136, medical@britishhorseracing.com. A hard copy will also be required. The forms are available for download from Downloads area on the National Website www.pointtopoint.co.uk and will have additionally been sent to the Fixture Secretary eight weeks prior to the fixture.

#### c) Doctors and Paramedics

(i) All doctors must be physically and psychologically capable of carrying out all the duties required of a Point-to-Point Doctor at a Point-to-Point and are expected to be in current clinical practice, to be registered with the GMC and to have appropriate medical malpractice insurance.

Appropriately Trained and Qualified (AT & Q) doctors are expected to be regularly dealing with acute trauma and resuscitation. Other doctors who have additional training in trauma/resuscitation (e.g. PHEC) within three years of undertaking Point-to-Point duties will also be accepted as " AT & Q ".

A Paramedic is an individual currently registered with the HCPC and in regular active service as a Paramedic.

- (i) The minimum requirement for the Point-to-Point is two appropriately trained and qualified (AT & Q) doctors and one paramedic with an appropriately equipped front line paramedic ambulance.
- (ii) Alternatively, 1 experienced senior AT&Q Point to Point Doctor, can be accompanied by 2 paramedics (as defined above) each in a fully equipped front line vehicle.
- (iii) Alternatively, 1 experienced senior Point-to-Point Doctor, can be accompanied by 3 paramedics (as defined above) two of which with fully equipped front line vehicles and one with front line carry-on bag with access to a four-wheel drive vehicle.

#### d)(1) Ambulances

- All courses must have one front line paramedic ambulance available on course throughout racing.
- (ii) If the front line paramedic ambulance is sent off course, a replacement vehicle must be equipped and used. At all times no less than one recognised front line paramedic ambulance must be on the course for racing to continue.
- (iii) In addition to the front line paramedic ambulance, a second ambulance is required to be on course throughout racing which may be provided by the voluntary services.

N.B. When 2 doctors who are not AT&Q (see (c) (i) above) are on duty, the second ambulance must be staffed by a paramedic and carry appropriate drugs and supplies of equipment for him/her to carry out his/her duties effectively.

The second ambulance must be fully equipped with airway equipment, cervical collars and a scoop stretcher.

If a Long Wheel Base Land Rover or similar vehicle is used as a spare Ambulance or to transport the Point-to-Point Doctor to a casualty then this must be similarly equipped with airway equipment, cervical collars and a scoop stretcher.

At least one ambulance must be capable of transporting an injured rider directly to hospital (i.e. not a 4 x 4 low top vehicle).

- (iv) All Ambulance Drivers must be instructed as to the absolute necessity of keeping their vehicles off the Course during the running of a race unless specifically instructed to do so by the Senior Point-to-Point Doctor and at all times obeying the instructions of the Clerk of the Course and Point-to-Point Doctors.
- (v) Ambulance Drivers and First Aid Personnel must be briefed prior to every meeting as to their location and duties by the Clerk of the Course in conjunction with the Senior Point-to-Point Doctor. Ambulance attendants should be with their vehicle and have the engine running while a race is in progress. The ambulance must be facing the direction in which it is required to travel in case of emergency (i.e. the vehicle MUST NOT be required to reverse before setting off to attend a fallen rider).
- (vi) The following flags should be used when:
  - Doctor required red and white chequered flag
  - (ii) Ambulance required white flag
- (vii) It is essential that the Clerk of the Course, in conjunction with the Senior Point-to-Point Doctor ensures that the course allows ambulances to have access to the whole course without being towed by a tractor.

N.B. The intention of the above ((c) and (d (i)) requirements in respect of front line paramedic ambulances and paramedics is to ensure that when a rider is seriously injured he/she will receive at leastthe same level of attention as that received by anyone suffering from a serious accident or illness (e.g. major car crash, heart attack) which results in a 999 call.

The Point-to-Point Secretary may contract with the NHS or with a private ambulance service to provide services on the raceday. In either case, the ambulance provider must confirm in writing that:-

- i) they have read and understood the current Point-to-Point Instructions.
- ii) they can and will comply with all aspects of the Instructions.
- iii) the paramedics are suitably qualified in line with c (i) above.
- iv) the ambulances are equipped with all the supplies, drugs and equipment detailed in APPENDIX K.
- v) they are familiar with the route from the Point-to-Point venue to the nearest NHS A&E Department.

N.B The name and registration number of all paramedics <u>must</u> be specified to Fixture Organisers in writing. Unfortunately, the British Horseracing Authority is unable to provide a register of suitable private paramedic ambulance providers. Such private providers are not formally regulated and as a result any such register would

soon become obsolete and potentially misleading. When using a private paramedic ambulance service it is therefore vital that organisers obtain written confirmation of i)-v) above.

#### d)(2) Air Ambulances

- (i) Point-to-Point Doctors should request air ambulance evacuation, through a standard 999 call, whenever it is considered appropriate. This may be warranted on the basis of a prolonged transit time to hospital by road or by the serious nature of the injuries (head injury, spinal injury etc.).
  - N.B. Point-to-Point Doctors should be aware that road ambulances are required to take an injured jockey to the nearest hospital whereas air ambulances can transfer injured riders to an appropriate specialist centre capable of dealing with complicated trauma.
- (ii) If an air ambulance is despatched to transport an injured jockey, the following procedures should be adopted:-
  - a) a landing site close to the injured jockey should be identified which is flat and away from trees and FAR FROM OVERHEAD CABLES (power lines, telephone wires etc.).
  - this area should be cleared of spectators.
  - all animals should be removed from the landing site (horses and dogs as far as practical).
  - when the air ambulance approaches, an individual wearing a fluorescent jacket should stand facing the landing area with his/her arms held aloft
  - the individual should not move or rotate as the helicopter crew need to have a fixed mark to aim at.
  - N.B. Regional NHS Paramedics working in any area with existing air ambulance cover should be familiar with this procedure and would normally be in charge of co-ordinating the transfer.

#### e) First Aid Arrangements

- (i)There must be a first aid tent suitably equipped with a bed and necessary blankets located adjacent to the weighing room/tent.
- (ii) Whenever possible there must be at every obstacle jumped at least one trained individual with a currently valid First Aid Certificate and being either Qualified Ambulance personnel, Red Cross or St. John personnel, nurses or members of the Fire Service. When it is not possible to cover every fence, because of local recruitment difficulties, the Senior Point-to-Point Doctor may agree alternative arrangements which ensure the safe conduct of racing. The Senior Point-to-Point Doctor has some discretion in this area but may be required to explain to the British Horseracing Authority Chief Medical Adviser the adequacy of the revised arrangements. NB This requirement for First Aid personnel at fences is independent of any need for Fence Attendants under 8.9 of these Instructions.

- f) The Clerk of the Course shall be responsible for ensuring that a Doctor:-
  - (i) attends the Weighing Room/Tent prior to racing to review all Medical Record Books passed on to him by the Declarations Clerk and examine any riders who have an outstanding "red entry" (i.e. riders who have been declared unfit to ride).
  - (ii) watches racing from the Stewards Stand or similar position to check for fallen riders
  - (iii) attends the Weighing Room/Tent at a place clearly marked after each race to examine all the fallen riders and to be available should his/her services be required
  - (iv) is provided with a vehicle to transport him/her to any part of the course when his/her services are required, as indicated by a flag.
  - and his team have a minimum of four radios between them, two for the doctors and two for the ambulances.
  - (vi) has an area close to the Weighing Tent/jockeys' changing room in which he can treat riders.

#### g) Point-to-Point Doctors Reports

The signature of all medical personnel attending a Point-to-Point is required on the Point-to-Point Doctor's Report Form B. An entry for all riders reporting to the Point-to-Point Doctor must be made on the Point-to-Point Doctor's Report Form A and should also include those riders who fell or were injured and failed to report to the Point-to-Point Doctor.

All riders who fail to report to the Point-to-Point Doctor following a fall MUST be reported to the Stewards of the meeting.

Both these forms will have been sent to the Fixture Secretary prior to the start of the Point-to-Point season. At the end of racing, both Forms A and B must be signed by the Senior Point-to-Point Doctor and returned under confidential heading to the British Horseracing Authority Chief Medical Adviser within 24 hours.

#### h) Medical Record Book (MRB) Entries

#### Passing a Rider as fit to ride

Point-to-Point Doctors are to examine all riders who have:

 an outstanding "red entry" (i.e. have been declared unfit to ride) in their MRB

When a Doctor has made his decision as to whether such a rider is fit to ride he must record this information in the "RECORDABLE ACCIDENTS" section of the rider's MRB.

#### Please Note:

A Rider who has been declared unfit to ride by a Point-to-Point Doctor can be passed as fit by:

- A Racecourse Medical Officer on a raceday, or
- A Point-to-Point Doctor on a raceday, or
- The British Horseracing Authority Chief Medical Adviser

This does NOT apply to concussion. Under no circumstances can a Point-to-Point Doctor pass a rider who has an outstanding concussion "red entry" in their MRB. Furthermore, where the injury was a fracture or dislocation the rider must also provide supporting documentary medical evidence to the Chief Medical Adviser that it has subsequently healed, before he can be passed fit.

A Rider who has been declared unfit to ride by a Racecourse Medical Officer can **only** be passed as fit to ride by:

- A Racecourse Medical Officer on a raceday, or
- A Point-to-Point Doctor on a raceday (providing the injury concerned is not a fracture, dislocation or concussion) or
- The British Horseracing Authority Chief Medical Adviser

Any rider who suffers a fracture, dislocation or concussion on a British Horseracing Authority licensed racecourse (as opposed to a Point-to-Point racecourse) may only be declared fit by:

- The British Horseracing Authority Chief Medical Adviser.
- A Racecourse Medical Officer on a raceday on the instruction of the Chief Medical Adviser.

MRB's are to be left with the Declarations Clerk until the rider has finished riding for the day and the Point-to-Point Doctor has confirmed that no Medical Entry is required.

#### Declaring a Rider as Unfit to Ride

If, during the course of the day's racing, a Point-to-Point Doctor wishes to record that he has examined a rider who has injured himself and is unfit to ride, he must include details of the injury and write "UNFIT TO RIDE" in RED INK in the "RECORDABLE ACCIDENTS" section of the MRB and record this on Form A. No period of medical suspension must be specified.

It should be clearly understood that this report in no way breaches Medical Confidentiality and is an essential component of the returns which are required by the British Horseracing Authority.

WHERE A POINT-TO-POINT DOCTOR HAS BEEN UNABLE TO EXAMINE A RIDER WHOM HE KNOWS TO HAVE FALLEN, THIS MUST ALSO BE REPORTED TO THE STEWARDS OF THE MEETING AND RECORDED ON THE REPORT FORM A.

All riders who have fallen MUST report to the Point-to-Point doctor in the weighing room/tent immediately. Failure to comply with Sub-Regulation 55(i) will result in a fine of £70 being imposed on the rider concerned.

#### i) Concussion and Other Serious Head Injuries

A Point-to-Point Doctor is the only official able to give an immediate assessment of the severity of any head injury. Depending on his/her diagnosis, the doctor may transfer the injured rider directly to hospital or may decide to review the individual on site.

The assessment for all riders who suffer head trauma (and not concussion) MUST include the standard BHAAC protocol (British Horseracing Authority Assessment of Concussion - see Appendix L).

The BHAAC includes the administration of 8 "Gateway Questions" and any rider who fails to answer ALL 8 questions correctly must be considered to have concussion and be reviewed using the complete BHAAC protocol.

Having completed the BHAAC protocol, any rider diagnosed as having concussion will be suspended from riding until s/he is cleared by the British Horseracing Authority Medical Department.

A RED ENTRY must be placed in the appropriate section of the riders MRB immediately - with any other relevant information (e.g. "Concussion - unconscious 4 mins").

Since the 1st January 2006 Point-to-Point riders cannot be declared as fit to ride by a Point-to-Point Doctor following concussion.

Where there is any doubt or concern about severity of head injury, the Point-to-Point doctor is to send the rider to hospital immediately.

#### j) Medical Problems

Any problems or items requiring clarification by the Clerk of the Course or Senior Point-to-Point Doctor should be referred to:

Chief Medical Adviser, BHA Medical Department 75 High Holborn London WC1V 6LS Tel: 0207 152 0138

Mobile: 07788 567440

E-mail: jhill@britishhorseracing.com

#### **VETERINARY ARRANGEMENTS**

- 8.8 (a) Provision of Services
  - (i) A minimum of two veterinary surgeons MUST be appointed; One at least three months prior to the Point-to-Point to act as the Senior Veterinary Surgeon and to take charge of all the Veterinary Arrangements. Veterinary Surgeons must
  - be members of the Royal College of Veterinary Surgeons with current, valid professional indemnity insurance;
  - Have a full-time clinical involvement which includes a significant amount of regular treatment of horses.
  - Have been qualified for at least five years with a broad experience of equine practice;
  - Have attended an appropriate approved course in Equine Emergency Care within the last 5 years.
  - Be physically and mentally capable of carrying out all the duties required of a Veterinary Surgeon on a point-to-point course.

Advice on appropriate levels of clinical involvement for Point-to-Point duties and information on courses in Equine Emergency Care are obtainable on the Association of Racecourse Veterinary Surgeons Website (www.arvs.org.uk). The British Horseracing Authority Equine Science and Welfare Department can be contacted on 0207 152 0090.

The Senior Veterinary Surgeon is to ensure a minimum level of equipment is available to deal with casualties, which should include:

- Adequate materials for Robert Jones dressings
- Gutter and/or commercial splints
- Materials for chemical euthanasia
- Intravenous fluids
- Oxvaen

- (ii) Before racing commences the following must be present:
- At least 2 veterinary surgeons, each provided with a radio handset.
- A recovery vehicle with driver and screens. The recovery vehicle should have a working winch, ropes, and, a separate 4x4 towing vehicle suitable for the ground conditions. The recovery vehicle should have a covered body.
- Additional sets of screens available in key locations.
- A horse ambulance (or in exceptional circumstances a suitable trailer subject to advice from the Senior Veterinary Surgeon) with towing vehicle and driver experienced in handling horses. Separate vehicles and drivers must be provided for the recovery vehicle and the horse ambulance.

Clerks of the Course have been advised that they should provide abundant supplies of cool fresh water around the parade ring/unsaddling enclosure, horsebox park and adjacent to the last obstacle, should the need arise to treat a horse suffering from heat exhaustion. The supplies may take the form of hosepipes or filled water butts.

- (iii) Each fence Steward must have the required orange flag which should be used to summon veterinary assistance.
- (iv) It is recommended that the Clerk of the Course, in conjunction with the Senior Veterinary Surgeon, ensures that the course allows suitable vehicles (four wheel drive) for veterinary staff to have access throughout, or provide suitable locations to give veterinary coverage for the whole course.
- (v) The Veterinary Surgeons, horse ambulance and recovery vehicles must be deployed to ensure the most rapid response to equine casualties. The Senior Veterinary Surgeon should ensure he has a map of where the best veterinary referral centre is in relation to the Point-to-Point course. Where practical a Veterinary Surgeon should be present at the start for each race.
- (vi) At least one Veterinary Surgeon must attend the Weighing Tent at a place clearly marked after each race to be available should their services be required. Veterinary Surgeons must alert the Stewards if they notice that the use of the whip has drawn blood or produced a weal on any horse after the race. The Stewards must also be alerted if a horse has been marked in the wrong place but is uninjured.
- (vii) One Veterinary Surgeon must be available in the parade ring prior to each race.
- (viii) The Senior Veterinary Surgeon must not leave the course until all horses in the last race have been accounted for, and the permission of the Clerk of the Course for withdrawal of veterinary services has been obtained.
- (ix) Veterinary Surgeons should wear a distinctive vest/ armband during racing.
- (x) Calls for veterinary assistance should not be made over the open PA system. Veterinary Surgeons should be issued with radios with which they can communicate with each other and with the Clerk of the Course.

(xi) The Senior Veterinary Surgeon must complete an injury report from, supplied by the British Horseracing Authority Senior Veterinary Officer and return it to the British Horseracing Authority Point-to-Point Office when the meeting has finished.

#### (b) Destruction of Horses

At least two suitable portable screens shall be provided behind which it will be possible for a veterinary surgeon to destroy an injured horse out of sight of the public. One screen should be carried as part of the equipment of the recovery vehicle, and one should be carried as part of the Veterinary Surgeons vehicle. A set of screens should always be available for use in the paddock. It is also recommended that screens are in situ at the winners' enclosure and at fences adjacent to the crowd. Veterinary surgeons should be instructed to keep the screen up until the horse is loaded into the recovery vehicle, but it should be made clear that absence of the screen must in no case hold up the humane despatch of an injured horse. Service/Recovery vehicles can be parked in such a way as to maximise the screening of horses. The method of euthanasia must be left to the attending Veterinary surgeon taking into account the welfare of the horse and the safety of assistants and/or onlookers e.g. in the home straight. If the horse is to be shot it is strongly recommended that a sound moderated weapon be used. Veterinary arrangements should be such that it is not impossible to deal efficiently with two separate incidents in the same race.

It is strongly recommended that organisers cover all costs involved when on-course euthanasia occurs.

#### FENCE ATTENDANTS

- 8.9 (a) The Clerk of the Course shall be responsible for ensuring that all Fence Attendants have attended a practical demonstration of bypassing procedures at a fence and are fully aware of their duties before the start of racing. They must be conversant with the Instructions on the Point-to-Point Fence Cards (See Appendix G). It is suggested that these cards are laminated and pinned to the fences so that First Aid Personnel and Fence Attendants are aware of the Instructions.
  - (b) There must be two Fence Attendants at every fence on the course that is jumped more than once during the running of a race. Fence Attendants should ordinarily have no other duties during racing, and it should be noted that First Aid Attendants do not constitute Fence Attendants.
    - Fence Attendants should be issued with distinctive vests / armbands which must be worn at all times during racing.
  - (c) The Fence Attendant will ensure that at the fence assigned to him there is:-
    - Two Direction Markers indicating which side of the fence the horses are to be directed as shown in the diagram (page 71).

- One black and white chequered flag to alert riders to a hazard ahead as shown in the diagram (page 71).
- One red and white chequered flag to alert the Doctor to the fence
- iv) One white flag to alert an ambulance to the fence
- v) One orange flag to alert a vet to the fence

# IT IS RECOMMENDED THAT THE PURPOSE OF EACH FLAG SHOULD BE WRITTEN ON THE FLAGPOLE.

(d) The Fence Attendant will ensure that no unauthorised persons plant Direction Markers in fences when a horse or rider has fallen or a fence is not to be jumped. The responsibility for planting Direction Markers is to be that of the person who mans the fence. The Direction Markers must be planted as soon as possible after there is an obstruction.

When the Direction Markers are not in use they must be stored away from the fence and with the legs pointing downwards. It is not acceptable to lean them against the fence or the wings.

- (e) Fence Attendants' duties if the fence is unsafe to jump (e.g. injured horse/rider, broken fence/ wing etc.) are as follows:
  - Plant the sets of Direction Markers in the top of the fence opposite the obstruction, to indicate to riders that the fence must not be jumped and in order that loose horses are directed away from the obstruction.
  - ii) Use the black and white chequered warning flag to alert riders to a hazard ahead. The Fence Attendant must not endanger himself and should position himself about 70 yds. in front of and on the opposite side of the fence to that which the runners are directed (see page 72).
  - iii) Once a fence has already been bypassed during the course of a race, the Direction Markers should remain in situ until the end of the race. This avoids any confusion/danger on the final circuit(s) in the event of the obstruction being cleared as the runners approach and leaving the Fence Attendant with a potentially split-second decision as to whether there is enough time to remove the Direction Markers

NOTE: The Direction Markers should not be put in place whilst some horses have still to jump the fence on the same circuit of the course, unless it is absolutely safe to do so.

(f) There must be a plan of the course in the Weighing Room/Tent, indicating which side of the fences horses will be directed in the case of an obstruction, together with a Direction Marker and a chequered flag.

#### 9 CONCLUSION OF THE MEETING

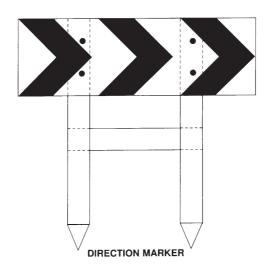
#### RETURN OF RACE CARD (REG.61(iv)(i))

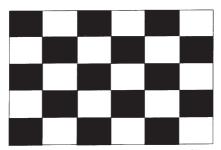
9.1 Immediately following the meeting a marked race card showing all the runners, weights carried, placed horses, (and the distances between them), riders, overweights and race times (see Appendix D), must be sent to the Point-to-Point Authority, prior to despatch to the British Horseracing Authority. Written reports of all enquiries held and objections lodged must be submitted on the official form, together with any completed RQC declarations (Appendix H) and cheques (payable to the British Horseracing Authority) for any fines imposed.

# POINT-TO-POINT DOCTOR'S MEDICAL REPORT FORMS (A and B)

9.2 The medical report forms <u>MUST</u> be returned to the British Horseracing Authority Medical Department <u>WITHIN 24</u> hours of the meeting under separate cover by the Point-to-Point Doctor (See Instruction 8.7(g)).

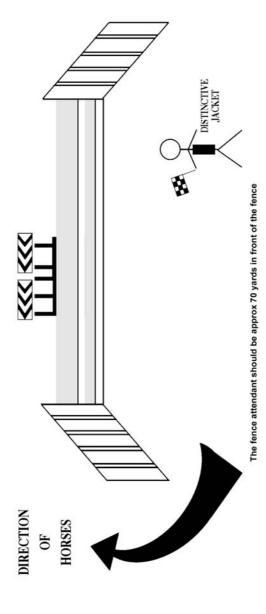
They can also be faxed direct to the Medical Department 0207 152 0136. It is strongly recommended that a copy of the forms are kept in case they fail to arrive with the British Horseracing Authority Medical Department.



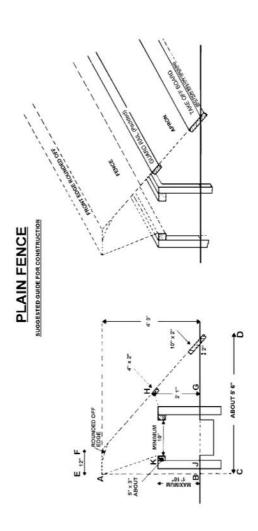


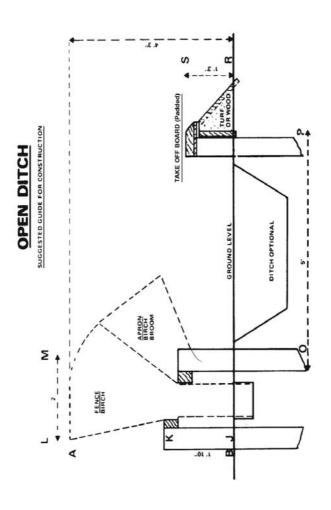
**BLACK & WHITE CHEQUERED FLAG** 

70



# APPENDIX A





#### APPENDIX B: DETAILED JUDGING PROCEDURE

**Note:** if the judge considers that he has time to sort out runners and colours in the time available before each race, ignore paras. b & c.

#### Before the Meeting

- (a) Obtain a suitable hard-back book.
- (b) If supplied with entries before the meeting it is recommended that a list of colours through the spectrum is made by using the associated number starting with white and ending with black, of ALL ENTRIES. Taking a piece of scrap paper, down the left hand side list the predominant colours (e.g. White, Pink, Yellow, Orange, Red ......Navy, Black etc.) Refine the list (e.g. Gold, Light Green, Dark Green, Emerald) in the appropriate order. Use abbreviations if preferred (e.g. 'Grass' for light green Bottle for dark green). Anything goes as long as it reminds the judge of the colour. Run through the entire list entering the number on the card against the nearest colour for each race.

Colo	urs	Racecard Number
e.g.	SKY BLUE	17 - 31 - 35
	PINK	27
	YELLOW	20 - 41
	ORANGE	15
	RED	19 - 22 - 23

#### At the Meeting

(c) As soon as declarations are available take them. Ring the declared runners on your list.

Colours	Racecard Number
e.g. SKY BLUE	(17) - 31 - (35)
PINK	<b>27</b> )
YELLOW	20 - (41)
ORANGE	15
RED	19 - 22 - 23

(d) With reference to the racecard and any changes notified by the Clerk of the Scales enter the numbers of the declared runners in your book starting from the top (with white through to black) and against them write the colour, mark, nickname or owners name by which you can easily remember and identify them.

e.g.	17 SKY BLUE	/(to indicate sash)
	35 SKY BLUE	(body)
	27 PINK	1/2 (to indicate pink halved
		with another colour)
	41 YELLOW	GREY HORSE
	22 RED	= (to indicate hoop)

(e) Go into the Paddock and recognise these colours nicknames etc. making adjustments as necessary. If the judge sees a grey horse make a note of it.

#### At the Start and during the Race

- (f) Read the race and delete fallers.
- (g) At the distance decide on the colours you will be concerned with at the finish.
- (h) Judge the 1st, 2nd, 3rd and 4th past the post with the official distances and note them in your book (NB See also section 8.3(a)).

#### **Distances**

Deadheat
Shorthead
Head
Neck
Half a length
Three quarters of a length
One length
One and a quarter lengths
One and a half lengths
Two lengths
Two and a half lengths
Three lengths
Three and a half lengths

Four lengths Five lengths Six lengths Seven lengths Eight lengths Ten lengths Eleven lengths Twelve lengths Thirteen lengths Fourteen lengths Fifteen lengths Twenty lengths Twenty five lengths Thirty lengths Distance Same

## APPENDIX C(1) DECLARATION SHEET

# TIME OF RACE:

Number of Runner.	Placing by Judge.	Rider's Name.	Weight.	Extras carried Blinkers, Tongue Strap etc.	Owners Preference if horse unable to start with declared tongue strap (Run/Be Withdrawn)	Extras for Number Boards of weights carried, Col. Changes etc.
RESULTS: 1st	2nd .	RESULTS: 1st 2nd 3rd		Winning Time	Distance	

## APPENDIX C(2) TABLE TO ASSIST DECLARATIONS CLERK IN DOUBLE (OR MORE) DECLARATIONS OF RIDERS

ion									П	1
Divis		L,					,			
Finalised Declared Rider (after Decs Closed)										
Withdrawal of Horse if Race not Split?										
Alternate Rider if Race Divided										
Rider's 3rd Preference										
Withdrawal of Horse if Race not Split?										
Alternate Rider If Race Divided										VIDED. 2 <u>T</u> DIVIDED
Rider's 2nd Preference										RACE IS DI
# 8										1 ##
Rider's 1 Preferen										ERS WHER
Declared Rider										KEY: COLUMN 1: THE RIDERS WHEN THE RACE IS DIVIDED. COLUMN 2: THE RIDERS WHEN THE RACE IS <u>NOT</u> DIVIDED
No. of Runner										KEY: COLUMI COLUMI
	Declared Rider's 1st Rider's 2nd Alternate Rider if of Horse if Rider's 3nd Alternate Rider if of Horse if Rider Side Divided Race not Split?	Declared Rider's 1st Rider's 2nd Alternate Rider if of Horse if Preference Race Divided Race not Split?  Split?	Declared Rider's 1st Rider's 2nd Alternate Rider of Horse II Preference Race Divided Race not Split?  Split?	Declared Rider's 1st Rider's 2nd Alternate Rider if of Horse II Rider's 3nd Alternate Rider if of Horse II Rider's 3nd Alternate Rider if of Horse if Spilit?	Declared Rider's 1st Rider's 2nd Alternate Rider if of Horse if Preference Race Divided Race not Split?  Split?  Split?	Declared Rider's 1st Rider's 2nd Alternate Rider of Horse if Preference Race Divided Race not Split?    Preference Race Divided Race not Split?   Preference Race Divided Race not Split?	Declared Rider's 1st Rider's 2nd Alternate Rider if of Horse if Preference Race Divided Race not Split?  Split?  Split?	Declared Rider's 1st Rider's 2nd Alternate Rider if of Horse if Preference Race Divided Race not Preference Race Divided Race not Split?	Declared Rider's 1st Rider's 2nd Alternate Rider if of Horse if Preference Race Divided Race not Split?  Split?  Split?	Declared Rider's 1st Rider's 2nd Alternate Rider of Horse if Preference Race Divided Race not Split?    Preference Race Divided Race not Split?   Preference Race Divided Race not Split?

7:0	5.11 44,6	54				
SIX	TH RACE 1.55pm	001	9-2	1	DECLARE BY	1.10pm
WEI	GH OUT BY 1.40pr 7⊙7 ⊂ €	T)	3.80 D	£ £9.8	SAFETY FAC	TOR 18
	FRON	T E E	AGRIC	by ULTURE	LTD.	
	THE	OPE	EN MAI	DEN RA	CE	
	£150 to the	winner, a	n Runciman (	and £50 to	the third	
	Men	nentoes ik	the winning	Owner and Rid lleryman (IRE)	er	
1	CARRIAGE RIDE (	eester)			0. 11	12-05
	Ad by The Therm (LICAL) Co.	enterenti 6	Gleason (USA),	,		M. Hilton
6645	Mr Martin Hilton - Belvoi Blue, white chevron an 3-6 Moderate maiden; 591 6	d stars of th to Gosca	n sleeves, blu Rock at Cottenh	e cap, vvhite st om 6 Dec; used to fi	ars ront run; g/f-hvy (r1.	2 w0)53
	CRACK AT DAWN 8 b or Br.g. Insen (USA) - Mr Michael Gates - Wary					12-05
5p50		ite diabo. to Gunna Be	lo, white cap A Devil at Kento	n 29 Nov; lacks finis	hing pace; g-soft (r	w0)76
3	DOUBLE PAST	ale Plazer	(Strong Gale)			12-05
-266	The Percy's Punters Partners Dark blue and white st	ship (Mr A. ripes, wh	Wakeham) - M lite cap, black n.d 48l 5th to Go	iddleton (Bob Wo : star scar Rock in Cotteni	odhouse) ham maiden 6 Dec (i	M. Seston 1 w0)56
4/	ÉREE FROM WAG					12-05
	9 ch.g. Denel (FR) - Arcti	c Gem (Ri	akaposhi King) nkland) - Graf	ton (Derek Frank	dand) Tom	ELLIS
-p2p	Black and emerald gree emerald green cap, bla pp- inconsistent maiden; 21	ck spots 2nd to Inch	adoney Boy at Ho	rseheath Feb*09; er	ror-prone jumper (r1	3 w0)63
5						12-05
	LEE GAP FAIR (III 8 b.g. Bob Back (USA) - Mr Ray Newby - Suffolk	(Alex Emi	r's Gale (IRE) (: piricos)	Strong Gale)		J. Owen
606-	Purple, orange cross b	eits, hooj I 4th to Gle	ned steeves, p nbower Woods at	surple cap : Godstone (2m4f) N	far'08; absent since	(r2 w0)60
6	MADE WELCOME	(7a)				11-12
	8 ch.m. Most Welcome - Mr P. P. Hall - W. Norfolk	- Maid of	Morven (Broad	dsword (USA))		B. Rivett
ррЗ	Mr P. P. Hall - W. Norfolk Black, yellow sash, pin Half-sis to poor pointer	k and bla Border Chie	nck hooped st of; 111 3rd to Nok	eeves Imover at Fakenham	(members) Apr'08	r3 w0)60
7	MOISVR ARISHAR 5 ch.g. Anshan - Aoife L	u (IRE)				12-05
	Mr H. Hill - Cambridge \	Jniv		USA))		M. Smith
4p4	White, mauve hoop, si pp- Ex-Irish pointer; mode	leeves an st 4th in ma	el cap iden at Lingstowi	n Mar'09; seems on	e paced; g-g/s (r0 v	y0)66
28	WONKERTY TUN	KERTY		>		12-05
2.	6 b.g. Silver Patriarch (II Mr Neville Ender & Mr F	RE) - Orph Peter Ranc	ian Annie (Gui Iall - Middleto	n (Bob Woodho	use)	M. Seston
90-	White, royal blue spot Novice pointer; 2! 2nd	s and sie to Leader B	eves, white a lue at Cot'ham (2.	rmiets, red cap m4f) 6 Dec; takes ki	een hold; should wit	(r1 w0)78
9	WR JOHNSON (II	RE)				12-05
	MR JOHNSON (IR 6 b or Br.g. Runyon (IRE Mr Michael Gates - War	wicks	. I			J. England
p2p	Emerald green and wi p-p3 Ex-trish pointer; 22i 3i	rd to Leade.	r Blue at Cottenh	am (2m4f) 6 Dec; a	ects on soft going (r	2 w0)66
200	PECANWOOD (IF	RE)	anel and notice	. (1541)		12-05
•	7 ch.g. Bluebird (USA) - Lady Susan Watson - M Emerald green and ro puf/ Third season pointer;	liddleton	ukcy (sti rekai	i (USA)) Id areon rinave	e and can	M. Walford
p-p	puf/ Third season pointer;	half-bro to	Something Simp.	le; yet to finish; sh	own speed for 2m2	f (r7 w0)NR
41	SHE'LL BE ALRI	GHT (7	a) mui (Nicholas 6	iin		11-12
	SME'LL BE ALRI 5 ch.m. Zahran (RE) - B. Mr D. Smith (Saul) - B. Pink, black stars, black Second season mare.	erkeley (Ju	ille Houldey)	s, nink cap, bla	ick stars	D. Manseli
pp	Second season mare	: n.j.w & p.	u after 2m4f in n	aidens at Upton &	Woodford last Apri	
تال	SUPREME HENI	RV	iala ABEL (Cume	ame Leader		12-05
4	SUPREME HEMI 6 b.g. Kayf Tara - Best Mrs Yvonne Goss - Far Purple, beige armiet: 33b-7 Big gelding; moderat	mers Bloc	odhounds			J. Goss
pi	33b-7 Big gelding; moderat	te maiden; r	nd 501 7th to Lea	der Blue at Cottenha	om (2m4f) 6 Dec; g-l	
13	TUDOR BUCK (	RE)	uekskin (FR))			12-05
. *c	9 b.g. Luso - Tudor Do Mr D. Line & Mirs L. Hi White, maroon cross -pOpp - Ex-novice hurdler; s	arvey - Py belts, ha hown little	tchley (David I lived sleeves, since good 3rd o	ine) maroon and w ever 2m3f at Exeter	vhite quartered Mar'08; gls-hvy (ri	T. Lane cap (w0)51
11-						12-05
	<ol> <li>VICTOR BRAVO 6 b.g. Old Vic - Move Mr J. D. Callow - Albri Hingley and Callow O</li> </ol>					
r	Red and grey (halve -pp- Third season pointer	d), red ca ; yet to fini	ip sh a race; in touc!	n for 2m4f at Chadd	lesley on 3rd outing	(r3 wt)NR
24						12-05
-	5 b.g. Witness Box (U Mr D. P. Constable - N	(SA) - Cap V. Ledbury	ital Gold (IRE) / (Zoe Hammo	<i>(Capitano)</i> nd)	R.	BULTON
=	ICS Ltd Red, black chevrons	, black sl	eeves, red sta	ers, black cap	v'09: acts on firmis	h (r0 wr0) 76
2	σ-p52- Ex-trish pointer; 11	≥00 to tM(	, , owers in mcn)	concy marcer ma	,, 011 1111113	

## APPENDIX E: REGULATION 123 - STEWARDS' EXPLANATION FOR JOCKEYS 'IN BREACH'

Race _	
Jockey	Horse
	aving considered the incident, we find you are in breach of Regulation 123 nd guilty of:
2. Of	fence
a)	<b>DANGEROUS RIDING</b> in that you caused serious interference by purposely interfering with:
b)	CARELESS RIDING in that you:i) failed to take reasonable steps to avoid causing interference, namely:ii) caused interference by misjudgement/inattention, when:
c)	IMPROPER RIDING in that you purposely interfered with (or struck) another horse or rider, but without causing serious interference when:
3. Ac	tion
Lo	ocation
Inc	cident
_	
_	
4. Re	seult .
A)	is disqualifiedN.B.
	LY APPLIES if you find the rider guilty of dangerous riding. cings revised as follows:  1st
	2nd
	3rd
5. Fir	ne

## APPENDIX F: COMMON STEWARDS ENQUIRY ANNOUNCEMENTS AND NOTICES

#### Announcements of Enquiries relating to Regulation 123 (interference)

- Stewards Enquiry. The public are advised to retain all betting tickets until the result of the Stewards' enquiry is announced.
- b. The Stewards are holding an enquiry under Regulation 141(iii) into possible interference involving PICT (e.g. the winner) and SCOT (e.g. after the second last fence). The public are advised to retain all betting tickets until the result of the Stewards' enquiry is announced.

Following the a) and b) announcements, the Steward present during weighing in should ensure no announcements are made over the public address system denoting "weighed in", until the Announcer has been authorised to do so by the Stewards.

- c. Here is the result of the Stewards' enquiry The placings remain unaltered following the enquiry into possible interference after (e.g. the second last fence). The Stewards found that PICT, ridden by X, had interfered with SCOT, ridden by Y, that the interference was accidental but had not improved PICT's placing.
- d. Or, here is the result of the Stewards' enquiry. The revised placings are as follows:- First PICT, second SCOT, third BRITON and fourth CELT. The Stewards found that SCOT ridden by Y, had interfered with PICT, ridden by X after (e.g. the second last fence) and that it was caused by careless riding. They fined Y £75.

#### 2. Use of the Whip (Appendix F of the Regulations)

a. The Stewards called before them X, the rider, and Y, the owner (or trainer) of PICT, the winner, and enquired into the rider's use of the whip from the second last fence. Having heard their evidence the Stewards found the rider in breach of Regulations Appendix F headed "Use of the Whip" in that he had used his whip (e.g. with unreasonable force and frequency). They fined him £75.

#### Running and Riding on Merits/Improvement in form (Regulation 125-128)

 a. The Stewards held an enquiry under Regulation 127 into the performance of PICT, the 5/4 favourite ridden by X and owned by T (as compared with its previous run at R on .....) The Stewards heard evidence from the owner and jockey and noted their explanation that ....

The following are possible alternatives to Paragraph b above:

- The Stewards heard evidence from the owner and jockey and considered that PICT had not run on its merits as it had intentionally not been put in the race or asked for an effort at any stage. They found the rider in breach of Regulation 127 and fined him £250. They also found the owner in breach of Regulation 125(ii) and fined him £250.
- The Stewards heard evidence from the owner and the jockey, and being unable to conclude the enquiry without reference to other evidence, which was not then available to them, referred the matter to the British Horseracing Authority for further investigation.
   (When potentially important information is unavailable).

NOTE: Under Appendix S of the Regulations when a Steward has approached the connections of a horse to ask if they are able to account for its running and following the reply being reported to the Stewards, but a full enquiry not held, the Stewards should still record the details on the official Stewards form and announce any findings.

#### 4. Riding Offence Notice (Regulation 141(iii))

The Stewards held an enquiry under Regulation 141(iii) into possible interference on the run in. They found that the winner X, ridden by Y, had interfered with A ridden by B, placed second. They considered that the interference was accidental, but had not improved the placings of X. They therefore ordered the placings to remain unaltered.

#### APPENDIX G: DUTIES AND AUTHORITY OF

#### **FENCE ATTENDANTS**

#### **EQUIPMENT**

**FLAGS** 

1. DOCTOR Red & White Chequered DOCTOR required
2. AMBULANCE White AMBULANCE required
3. VET Orange VET required
4. WARNING Black & White Chequered WARNING TO RIDERS

**DIRECTION MARKER X 2** 

BLACK BOARD WITH DISTINCTIVE FLUORESCENT YELLOW CHEVRONS

DISTINCTIVE VEST/ARMBAND
TO IDENTIFY THE FENCE ATTENDANTS

#### **DEALING WITH FALLEN HORSES/RIDERS**

- 1. If the fence is obstructed by a fallen horse or rider or deemed unsafe to jump (e.g. Broken Guard Rail) during the race, IMMEDIATELY place the DIRECTION MARKERS in the top of the fence opposite the centre of the hazard. These DIRECTION MARKERS signal to the riders that they must not jump the fence. The yellow chevrons on the marker must be pointing towards the side of the fence the field is to pass. Ensure that there are no obstructions, members of the public or vehicles, on the part of the course beside the fence where the horses and riders are to pass.
- If a DOCTOR, AMBULANCE or VET is required wave the appropriate flag.
- 3. Use the BLACK AND WHITE CHEQUERED FLAG to signal to the riders as they approach the fence, that there is a hazard ahead. Wave the flag in a figure of eight motion. Stand on the opposite side of the fence to that which the runners are going to pass and wave the flag above your head. You should position yourself around 70yds before the fence, however must have regard for course topography and crowd congregation in ensuring that you are seen by all riders, whilst also not putting yourselves or participants in danger.
- Once a fence has already been bypassed during the course of a race, the Direction Markers should remain in situ until the end of the race.

N.B. It is NOT recommended that these procedures are implemented whilst some horses have still to jump the fence on the same circuit of the course, unless it is absolutely safe to do so.

When the Direction Markers are not in use they must be stored away from the fence and with the legs pointing downwards. They must not be leant against the fence or the wings.

#### ISSUED BY THE BRITISH HORSERACING AUTHORITY

#### **SAFETY AT FENCES**

THE FENCE ATTENDANTS, IDENTIFIED BY THEIR DISTINCTIVE VESTS/ARM BANDS, HAVE THE AUTHORITY OF THE STEWARDS OF THE MEETING AND ARE FULLY BRIEFED ON THE PROCEDURES AND ARRANGEMENTS FOR DEALING WITH ALL INCIDENTS AT FENCES.

THE PUBLIC MUST KEEP OFF THE COURSE AT ALL TIMES AND MUST NOT INTERFERE IN ANY WAY WITH ANY RACE OR THE ACTIVITIES OF THE FENCE ATTENDANTS OR THE EMERGENCY SERVICES (DOCTOR, AMBULANCE, VET).

ISSUED BY THE BRITISH HORSERACING AUTHORITY

#### **APPENDIX H**

### RIDERS QUALIFICATION CERTIFICATE DECLARATION

DATE:-
MEETING:-
RACE:-
NAME OF HORSE DECLARED TO RIDE:-
I. I certify that I hold a valid Riders Qualification Certificate issued by the Point-to-Point Authority having qualified with the
with the requirements of the British Horseracing Authority Regulations for Point-to-Point Steeplechases and that I have paid the required premium to the Point-to-Point Riders Insurance Scheme. (NB. This declaration will be checked by The British Horseracing Authority. Should it transpire that you do NOT hold a current Riders' Qualification Certificate, you will be liable to a British Horseracing Authority enquiry, a fine and a lengthy suspension from race riding).
SIGNED RIDER
PRINTED

#### **APPENDIX I**

## DECLARATION TO BE LODGED WITH THE DECLARATIONS CLERK NOT LESS THAN THREE-QUARTERS OF AN HOUR BEFORE THE TIME FIXED FOR THE RACE

I declare the under-mentioned horse as a runner in accordance with Regulation 111 of British Horseracing Authority Regulations for Point-to-Point Steeplechases. I also agree to be bound by the British Horseracing Authority Regulations for Point-to-Point Steeplechases. Name of Race..... Rider's Name ..... Tick to confirm that Jockey has a Rider's Qualification Certificate for the Tick if horse runs in Hood Blinkers Eyeshield Eyecover Visor Cheek Pieces Tongue Strap If the tongue strap cannot be fastened or is shed on the way to the start the horse is to RUN . . . . . . . . . BE WITHDRAWN . . . . . . . . (tick as applicable). (Being Owner, or Authorised Agent) Parts 1. and 2. (below) of the counterfoil to be filled in by the person making the declaration and returned to him signed by the Declarations Clerk. Name of Race....... 

# Judges Result Card

Hunt Point-to-Point Races						
(DATE)						
1st RACE						
1st						
2nd						
3rd						
4th						
WON BY In the time of						
Distance between 2nd and 3rd						
Signed Judge						
WEIGHED IN:						
Signed Clerk of the Scales						

#### **APPENDIX K (August 2007)**

#### **EQUIPMENT AND SUPPLIES TO BE CARRIED IN AMBULANCES**

The following inventory lists the minimum level of equipment and supplies to be carried by EVERY Paramedic ambulance on duty at Point-to-Point meetings. It is a requirement that all medical staff using this equipment will be fully trained in the appropriate use of the equipment and drugs listed. The Senior Point-to-Point doctor will be responsible for ensuring that this equipment is present and that all sterile items and drugs are in date. Any shortfall in the provision of equipment or drugs must be rectified before the start of racing or racing must be postponed until all these requirements are met in full.

#### A. Stretchers, spinal boards and splints

- 1. A set of box splints or vacuum splints
- 2. Cervical collars disposable adjustable semi-rigid collar(s) adult and paediatric
- 3. Femoral traction splint (e.g. Kendrick)
- 4. Pelvic splint (e.g. SAM Sling)
- 5. Scoop stretcher with head immobilisers and immobilisation straps
- 6. Vacuum mattress

#### **B. Airway Equipment**

- 1. Bag valve mask device (disposable)
- 2. Electronic suction unit (portable) plus disposable Yankauer and flexible suction catheters
- 3. Oropharyngeal airways (sizes 0, 1, 2, 3 and 4)
- 4. Nasopharyngeal airways (sizes 6, 7 and 8)
- 5. Supra-glottic airways sizes 3,4,5 plus fixation device (if required)
- 6. Non-rebreathing oxygen masks
- Transfer monitor to include ECG, NIBP, SaO2, capnography. If not available a separate ETCO2 monitoring device is needed in the vehicle used for hospital transfer
- 8. Nebuliser Masks
- Portable oxygen and flow meter system capable of supplying up to 15 litres/ minute for no less than 30 minutes, with one fully charged, reserve cylinder in addition to any vehicle mounted supplies.
- Entonox or nitronox (nitrous oxide 50%/oxygen 50%) kit + one fully charged reserve cylinder

#### C. Vascular Access Equipment and supplies

- 1. Crystalloid intravenous fluids minimum 2 litres
- 2. Giving sets crystalloid minimum 4
- 3. Hypodermic needles (minimum of 12 in a range of sizes 18g, 21g, 23g, 25g)
- 4. Intravenous cannulae 2 of each size 14g, 16g,18g, 20g, 22g
- 5. Cannulae dressings
- 6. Intraosseous vascular access system
- 7. Sharps box
- 8. Syringes (minimum of 12 in a range of sizes 2ml, 5ml, 10ml and 20ml)

#### D. Oral medication

1. Aspirin 300mg

#### E. General Equipment

- 1. Dressings and bandages
- 2. Haemostatic agent/dressing
- 3. Haemorrhage control tourniquet e.g. CAT

- 4. Defibrillator (preferably an AED) with 2 sets of chest leads/pads
- 5. Gloves (non-latex)
- 6. Pulse oximeter
- 7. Sphygmomanometer
- 8. Stethoscope
- 9. Triangular bandages or sling
- 10. Venous tourniquet
- 11. Ice packs
- 12. Blood glucose testing equipment

#### F. Drugs

- 1. Adrenaline (Epinephrine) 1:10,000 injection for I/V use (10ml x 5)
- 2. Adrenaline (Epinephrine) 1:1,000 injection for I/M or S/C use ( x 2)
- Amiodarone Hydrochloride 300mg injection or 5mg/kg (by I/V injection from a pre-filled syringe or diluted in 20ml glucose 5%) (x1)
- Anti-emetic injection (practitioner's choice e.g. ondansetron 4mg/2ml or prochlorperazine 12.5 mg (x2)
- 5. Atropine sulphate injection (minimum 600mcg) (x2)
- 6. Benzodiazepine for rectal, buccal or intranasal administration (x2)
- 7. Benzodiazepine injection (e.g., midazolam, DiazemulsÛ) (x2)
- 8. Benzylpenicillin 600 mg injection (x2)
- 9. Chlorphenamine 10mg injection (x2)
- 10. Glucagon injection 1mg/ml (If stored at room temperature, it has a maximum shelf life of 18 months and the date on which the product ceased to be refrigerated must be clearly marked on the outside of the pack. The pack must be discarded when the expiration date is reached, or after 18 months; whichever is sooner (x1)
- 11. Glucose infusion 10% (1 x 500ml) (x1)
- 12. Glucose 40% oral gel (x1)
- 13. Glyceryl Trinitrate (GTN) spray 400mcg/dose (x1)
- 14. Hydrocortisone injection 100mg ampoule (x2)
- Injectable opiate analgesia for severe pain. A minimum of FOUR 10mg ampoules of morphine (or an equivalent supply of diamorphine), should be available at each course
- 16. Naloxone hydrochloride injection 400mcg/ml (x5)
- 17. Paracetamol intravenous preparation 1G per 100 ml (x2)
- 18. Salbutamol nebules 5mg (x5)
- 19. Tranexamic acid 500mg in 5ml (x2)
- 20. Water for injection (5 x 10mls)
- 21. Normal saline for injection (5 x 10mls)

#### APPENDIX L-

The British Horseracing Authority Assessment of Concussion (BHAAC) for Point-to-Point racing (on site at the time of the incident).

As per the NICE Guidelines (CG176) the following factors warrant transfer to an appropriate hospital:

Glasgow Coma Scale less than 15 at initial assessment

Glasgow coma scale (GCS) score of less than 15 on initial assessment.

Any loss of consciousness as a result of the injury.

Any focal neurological deficit since the injury.

Any suspicion of a skull fracture or penetrating head injury since the injury.

Amnesia for events before or after the injury [4].

Persistent headache since the injury.

Any vomiting episodes since the injury (clinical judgement should be used regarding the cause of vomiting in those aged 12 years or younger and the need for referral).

Any seizure since the injury.

Any previous brain surgery.

A high-energy head injury.

Any history of bleeding or clotting disorders.

Current anticoagulant therapy such as warfarin.

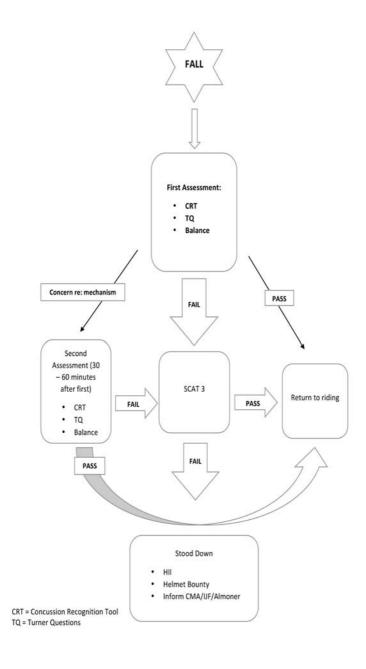
Current drug or alcohol intoxication.

There are any safeguarding concerns (for example, possible non-accidental injury or a vulnerable person is affected).

Continuing concern by the professional about the diagnosis.

When in doubt ALWAYS refer the rider to hospital

Name of rider	•
Contact Tel. No. for rider	
Point-to-Point venue	
Date of examination	
Name of examining doctor	
Contact Tel.No. for doctor (Block Capitals)	



### **Pocket CONCUSSION RECOGNITION TOOL™**

To help identify concussion in children, youth and adults













#### **RECOGNIZE & REMOVE**

Concussion should be suspected **if one or more** of the following visible clues, signs, symptoms or errors in memory questions are present.

#### 1. Visible clues of suspected concussion

Any one or more of the following visual clues can indicate a possible concussion:

Loss of consciousness or responsiveness
Lying motionless on ground/Slow to get up

Unsteady on feet / Balance problems or falling over/Incoordination

Grabbing/Clutching of head

Dazed, blank or vacant look

Confused/Not aware of plays or events

#### 2. Signs and symptoms of suspected concussion

Presence of any one or more of the following signs & symptoms may suggest a concussion:

- Loss of consciousness
- Seizure or convulsion
- Balance problems
- Nausea or vomiting
- Drowsiness
- More emotional
- Irritability
- Sadness
- Fatigue or low energy
- Nervous or anxious
- "Don't feel right"
- Difficulty remembering

- Headache
- Dizziness
- Confusion
- Feeling slowed down
- "Pressure in head"
- Blurred vision
- Sensitivity to light
- Amnesia
- Feeling like "in a fog"
- Neck Pain
- Sensitivity to noise
- Difficulty concentrating

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#### 3. Memory function

Failure to answer any of these questions correctly may suggest a concussion.

"What venue are we at today?"

"Which half is it now?"

"Who scored last in this game?"

"What team did you play last week/game?"

"Did your team win the last game?"

Any athlete with a suspected concussion should be IMMEDIATELY REMOVED FROM PLAY, and should not be returned to activity until they are assessed medically. Athletes with a suspected concussion should not be left alone and should not drive a motor vehicle.

It is recommended that, in all cases of suspected concussion, the player is referred to a medical professional for diagnosis and guidance as well as return to play decisions, even if the symptoms resolve.

#### **RED FLAGS**

If ANY of the following are reported then the player should be safely and immediately removed from the field. If no qualified medical professional is available, consider transporting by ambulance for urgent medical assessment:

- Athlete complains of neck pain
- Increasing confusion or irritability
- Repeated vomiting
- Seizure or convulsion
- Weakness or tingling/burning in arms or legs
- Deteriorating conscious state
- Severe or increasing headache
- Unusual behaviour change
- Double vision

#### Remember:

- In all cases, the basic principles of first aid (danger, response, airway, breathing, circulation) should be followed.
- Do not attempt to move the player (other than required for airway support) unless trained to so do
- Do not remove helmet (if present) unless trained to do so.

from McCrory et. al, Consensus Statement on Concussion in Sport. Br J Sports Med 47 (5), 2013

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#### Memory Function -the "Turner" Questions

(in place of questions on CRT)

#### **Point to Point Courses**

Failure to answer all questions correctly may suggest a concussion.

- 1. Name of the horse being ridden (or Saddle Cloth No.)
- 2. Name of the trainer of the horse
- 3. Type of race (maiden, confined, hunt etc.)
- 4. Name of Hunt hosting Point-to-Point
- Name the Point-to-Point venue
- Number of rides booked that day
- 7. Name 2 other jockeys riding today

#### **Balance testing- Tandem Stance**

#### Instructions for tandem stance:

"Now stand heel-to-toe with your non-dominant foot in back. Your weight should be evenly distributed across both feet. You should try to maintain stability for 20 seconds with your hands on your hips and your eyes closed. I will be counting the number of times you move out of this position. If you stumble out of this position, open your eyes and return to the start position and continue balancing. I will start timing when you are set and have closed your eyes."

Observe the athlete for 20 seconds. If they make more than 5 errors (such as lift their hands off their hips; open their eyes; lift their forefoot or heel; step, stumble, or fall; or remain out of the start position for more than 5 seconds) then this may suggest a concussion.

Any jockey with a suspected concussion should be IMMEDIATELY STOOD DOWN, urgently assessed medically, should not be left alone and should not drive a motor vehicle.

Br J Sports Med 2013 47: 259

#### Based on these findings -

 $\ensuremath{\mathsf{A}}\xspace$  the rider has been diagnosed as suffering from concussion, and sent to hospital by ambulance

B/ the rider has been diagnosed as suffering from concussion. S/he has been discharged into the care of a responsible adult and has been advised not to drive. A Head Injury Instruction Sheet has been provided to the responsible adult and the rider

C/ the rider is not suffering from concussion.

Circle the chosen course of action.

NOTE - In all cases where the doctor has circled option  $\mbox{C}/\mbox{ -}$  please indicate what course of action was taken -

- i) The rider was allowed to return to racing today
- ii) The rider was given a Red Entry and told to report to the Point-to-Point doctor at the next meeting (NB This is not a concussive injury so NO TIME PERIOD may be specified for the suspension).
- iii) Other course of action (specify)

Signed	(Examining Point-to-Point doctor) at	(Time)

#### **HEAD INJURY WARNING SHEET**

The holder of these instructions has sustained a head injury as a result of a horse riding accident. If s/he is found to be ILL, DROWSY or UNCONSCIOUS, please take them immediately to the nearest Accident and Emergency Department or dial 999 for an ambulance.

When you get home it is very unlikely that you will have any further problems. But if any of the following symptoms do return, we suggest you get someone to take you to your nearest hospital A&E department as soon as possible:

- unconsciousness, or lack of full consciousness (for example, problems keeping your eyes open)
- any confusion (not knowing where you are, getting things muddled up)
- any drowsiness (feeling sleepy) that goes on for longer than 1 hour when you
  would normally be wide awake.
- · difficulty waking up
- any problems understanding or speaking
- any loss of balance or problems walking
- any weakness in one or more arms or legs
- · any problems with your eyesight
- very painful headache that won't go away
- any vomiting getting sick
- any fits (collapsing or passing out suddenly)
- clear fluid coming out of your ear or nose
- new bleeding from one or both ears
- new deafness in one or both ears.

Things you shouldn't worry about. You may experience some other symptoms over the next few days, which should disappear in the next 2 weeks. These include a mild headache, feeling sick (without vomiting), dizziness, irritability or bad temper, problems concentrating or problems with your memory, tiredness, lack of appetite or problems sleeping. If you feel very concerned about any of these symptoms in the first few days after discharge, you should go and see your own doctor to talk about them. If these problems do not go away after 2 weeks, you should go and see your doctor.

You should not drive a vehicle or ride a horse (schooling, riding work) until you have discussed this with your own GP. Your insurance cover may be affected by this accident and it is very important that you do not put yourself or others at risk.

Things that will help you get better. If you follow this advice you should get better more quickly and it may help any symptoms you have to go away.

- DO NOT stay at home alone for the first 48 hours after the accident.
- DO make sure you stay within easy reach of a telephone and medical help.
- DO have plenty of rest and avoid stressful situations.
- DO NOT take any alcohol or drugs.
- DO NOT take sleeping pills, sedatives or tranquillisers unless you have checked this with your doctor.
- DO NOT play any contact sport (for example, rugby or football) for at least 3 weeks without talking to your doctor first.
- DO NOT return to your normal work until you feel you have completely recovered.

 DO NOT consider driving a car or motorbike, ride a bicycle, or operate machinery until you feel you have completely recovered.

Long term problems. Most patients recover quickly from their accident and experience no long-term problems. However, some patients only develop problems after a few weeks or months. If you start to feel that things are not quite right (for example, you start to get memory problems, not feeling yourself), then please contact your doctor as soon as possible so that he/she can make sure you are recovering properly.

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