BRITISH HORSERACING AUTHORITY

REGULATIONS

FOR

POINT-TO-POINT

STEEPLE CHASES

SEASON 2017/2018

BRITISH HORSERACING AUTHORITY
75 HIGH HOLBORN
LONDON WC1V 6LS

REGULATIONS FOR POINT-TO-POINT STEEPLE CHASES SEASON 2017/18

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INTRODUCTION

Point-to-Point Steeple Chases are held annually by Hunts, Clubs, Associations, Services and Societies under the regulatory authority of the British Horseracing Authority. Such Steeple Chases are governed by special Regulations agreed and issued each year by the British Horseracing Authority and known as "British Horseracing Authority Regulations for Point-to-Point Steeplechases".

The allocation, cancellation and alteration of fixtures is authorised at the discretion of the Directors of the British Horseracing Authority after consultation with the British Horseracing Authority.

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BRITISH HORSERACING AUTHORITY REGULATIONS FOR POINT-TO-POINT STEEPLE CHASES

DEFINITIONS

The following Definitions shall apply to all Point-to-Point Steeple Chases.

"**An Analysis**" means all analyses including any counter analysis carried out under these Regulations unless waived or excluded by the order of the British Horseracing Authority, and, for the avoidance of doubt, those undertaken on stored samples.

"**British Horseracing Authority**" is responsible for exercising all functions relating to the governance and regulation of horseracing in Britain. The entity is incorporated under the Companies Act and registered under registration number 2813358.

"**The British Horseracing Authority Office**" is the office for the time being appointed as the office of the British Horseracing Authority. The address of the British Horseracing Authority is 75 High Holborn, London, WC1V 6LS.

"**British Horseracing Authority Employee**" includes any person employed in connection with the business of the British Horseracing Authority and any person who at the relevant time is engaged to provide services to the British Horseracing Authority other than the Stewards.

British Horseracing Authority Chief Medical Adviser is the registered medical practitioner engaged in the post of that description.

"**Cap**" is the sum paid by a person, who is not a Master, Member, Subscriber, Farmer (or their respective spouses or children) of a Hunt, for one day's hunting.

"**Certificate of Analysis**" means a written statement from the approved Laboratory for the testing of samples, of the result of an analysis of a sample.

"**Club, Association, Service or Society Point-to-Point Steeple Chase**" is a race for horses owned by Members of the Club, Association, Service or Society. However, where Club, Association, Service or Society conditions are added to Maiden or Restricted or Intermediate races, such races remain, by definition, Maiden, Restricted or Intermediate races.

"**Confined Point-to-Point Steeple Chase**" is a race for horses certified by a Master of a Hunt affiliated to a Point-to-Point Area (or by a person appointed by the Master to sign Hunters Certificates) to be the property of Masters, Members, Subscribers or Farmers of that Hunt authorised to stage the meeting and fifteen Hunts actually adjoining it. If insufficient Hunts adjoin, the nearest neighbouring Hunts must be included. If the situation arises where physically adjoining Hunts do not hold their own Point-to-Points special permission to include additional Hunts over the permitted maximum may be granted by the British Horseracing Authority, providing that a request explaining the circumstances is made in writing through the British Horseracing Authority Office by the Point-to-Point Committee promoting the meeting. Neighbouring Hunts must be approved by the Master of Hounds and specified in the programme. Where Confined conditions are added to Maiden or Restricted races, such races remain, by definition, Maiden or Restricted races. Where Hunts stage a Mixed Open race, they may hold two Confined races (i.e. one for Men and one for Ladies).

"**Conditions Point-to-Point Steeple Chase" is a race open to any horse which satisfies the conditions of the race and is not defined as any of the following Point-to-Point Steeple Chases; Club, Association, Service or Society, Confined, Hunt Members, Farmers, Intermediate, Maiden, Open or Restricted.**

"**Cup**" is any prize not given in money.

"**Day**" means twenty-four hours finishing at midnight.

"**Disqualified Person**" is a person who has been declared a disqualified person by the British Horseracing Authority under these Regulations, the British Horseracing Authority Rules of Racing or the British Horseracing Authority Regulations for Arab Horse Racing or who is a disqualified person by virtue of these Regulations or those Rules, or Regulations.

"**Farmer**" is one who derives his principal and ostensible means of subsistence from farming.

"**Farmers Point-to-Point Steeple Chase**" is a race for horses owned by farmers.

"**Forfeit List**" is a record of arrears due to the British Horseracing Authority.

"**Great Britain**" means England, Scotland and Wales.

"**French AQPS Flat Race**" means a race run in France on or after January 1st, 2007 which is restricted to AQPS bred horses.

"**Horse**" includes mare, gelding, colt and filly and for the purpose of Regulation 35 includes a pony, mule, ass or hinny.

"**A Hunt**" is a recognised Hunt which is a full member of the appropriate Association, i.e. Master of Foxhounds Association, Master of Draghounds and Bloodhounds Association, Master of Deerhounds Association and Association of Masters of Harriers.

"**Hunt Members Point-to-Point Steeple Chase**" is a race for horses certified by a Master of a Hunt affiliated to a Point-to-Point Area (or by a person appointed by the Master to sign Hunters Certificates) to be the property of Masters, Members, Subscribers or Farmers of that Hunt authorised to stage the meeting and if so desired, additional Hunt(s) up to an overall total of four for a race held under the provisions of Sub Regulation **72(xiv).**

"**A Hunter Certificate**" is that document supplied by the Point-to-Point Authority and signed by the Master of a Hunt which is affiliated to a Point-to-Point Area and which must be registered before a horse is qualified to run in Point-to-Point races other than those restricted to the Hunt or Hunts promoting the meeting. Such document is only valid from **19th November 2017 until 30th June 2018.**

"**Hunters' Steeple Chase**" is a weight-for-age Steeple Chase under the Rules of Racing confined to horses owned by Masters, Members, Subscribers or Farmers of a Point-to-Point Area affiliated Hunt and to amateur riders.

"**Intermediate Point-to-Point Steeple Chase**" is a race open to any horse, except if age or sex conditions are subsequently imposed, which has not won a Steeplechase or Hurdle Race under the Rules of any Recognised Racing Authority or any 'Mens', 'Ladies', 'Mixed' Open or 'Intermediate' Steeplechase at a Point-to-Point meeting.

"**Maiden Point-to-Point Steeple Chase**" is a race open to any horse, except if age or sex conditions are subsequently imposed, (or if it is confined to specific Hunts, Clubs, Associations, Services or Societies by the conditions of the race) which has never won a race at a Point-to-Point meeting or an Arabian Horse Race or a National Hunt Flat Race, Flat Race, Steeple Chase or Hurdle Race under the Rules of any Recognised Racing Authority other than a match or private sweepstakes notwithstanding the fact that the race has restricting conditions on performance. A maiden horse means a maiden at the time of the start.

"**Match**" is a race between two horses the property of two different owners on terms agreed by them, and to which no money or other prize is added.

"**Medical Record Book**" is that document issued by the British Horseracing Authority, indicating the Medical/Injury history of each rider participating in races under the Rules of Racing and the British Horseracing Authority Regulations for Point-to-Point Steeple Chases.

"**Microchip**" means a microchip approved by the Stud Book Authority of Great Britain and Ireland.

"**Minimum Fixture Entry Threshold**" is any Point-to-Point fixture that has 40 or fewer entries across the entire card.

"**Month**" means a calendar month.

"National Hunt Flat race" is a race for horses which at starting have not run under any Recognised Racing Authority except in National Hunt Flat Races in Great Britain, in Irish National Hunt Flat Races or in French AQPS Flat Races. (N.B. No horse aged more than seven years old will be eligible to start in a National Hunt Flat Race by virtue of the race conditions).

"Natural Service or Covering" is the physical mounting of a mare by a stallion and which can include the immediate re-enforcement of the stallion's service or cover by a portion of the ejaculate produced by that stallion during that service or cover of that same mare.

"Nominator" is the person in whose name a horse is entered for a race.

"Novice Rider" is a person who, up to and including **19th November 2017**, has ridden a total of no more than **five** winners under the Rules of any Recognised Racing Authority or in Point-to-Point Steeple Chases or in Arabian Horse Races.

"Offence" save as otherwise specified in these Regulations means a breach of the Point-to-Point Regulations.

"Official" includes where the context allows all Officials of the British Horseracing Authority employed in connection with the business of British Horseracing Authority.

"Open Point-to-Point Steeple Chase" is a race open to any horse to be termed as a 'Mens'(to be ridden by Gentlemen), 'Ladies' (to be ridden by Ladies), or 'Mixed' (to be ridden by either Ladies or Gentlemen), Open Steeplechase, notwithstanding the fact that the race has restricting conditions on performance.

"Order" means an order issued by the Directors of the British Horseracing Authority.

"Owner" means the legal not the equitable owner of a horse. In the case of a partnership, the term "Owner" means any partners, all of whom must be qualified to enter. When a horse is leased the word "Owner" means the lessee but not the lessor.

"Passport" is the approved diagrammatic document of identity of a horse issued by the Stud Book Authority on the authority of the British Horseracing Authority or otherwise authorised by the authority of the British Horseracing Authority or that of any Recognised Racing Authority.

"Plate" is a race for which a prize or prizes of definite value are guaranteed by the Point-to-Point Committee. The entrance fee, subscription, or other contribution of owners going to the Point-to-Point Committee.

"Point-to-Point Authority" is a Limited company incorporated in England and Wales and to whom certain Point-to-Point administrative functions have been formally

delegated by the British Horseracing Authority within its powers "under sub"-"Regulation 1(xv)".

"**Point-to-Point Doctor**" is any registered medical practitioner appointed by the organising Committee of a Point-to-Point (in accordance with the Instructions for Point-to-Point Steeple Chases for **2017/2018**) for the purposes of carrying out specified medical duties at a Point-to-Point meeting.

"**Point-to-Point Instructions**" are those Instructions issued by the British Horseracing Authority to Point-to-Point Committees and Officials.

"**Point-to-Point Season**" for the purposes of these Regulations means the Racing Season for Point-to-Point Steeple Chases run in **2017/2018** under these Regulations and runs from **1st July 2017 until 30th June 2018** (both dates inclusive). For Racing Seasons under these Regulations prior to **2017/2018**, "Point-to-Point Season" means the Season as previously defined in these Regulations.

"**Point-to-Point Secretaries Association**" is the Association to whom the running and organising of Point-to-Point Fixtures is delegated. The Association is divided into individual areas all of whom have representation on the national committee. The PPSA is a Stakeholder of the Point-to-Point Authority.

"**Prohibited Substance**" means a substance originating externally whether or not it is endogenous to the horse which falls in any of the categories contained in the List of Prohibited Substances set out in Appendix I as amended from time to time. "Substance'' includes the metabolites of the substance and the isomers of the substance and metabolites.

"**Programme**" means the Pre-Season programme of races under Regulation 70 and does not mean the Official Race Card.

"**Race**" means any Point-to-Point Steeple Chase run under these Regulations or any Point-to-Point Steeple Chase run under the Irish National Hunt Steeplechase Regulations or any race run under the Rules of a Recognised Racing Authority as the case may be.

"**Racecourse Medical Officer**" is any Registered Medical Practitioner engaged by the Managing Executive of a Racecourse licensed by the British Horseracing Authority to provide medical cover for riders in accordance with the General Instructions at a Race Meeting.

"**The Racing Calendar**" is a work published under that name, including on the Racing Administration Internet Site, having the authority of the British Horseracing Authority.

"**Racing Administration Internet Site**" is the internet based administration service provided by the British Horseracing Authority, which can be accessed at www.racingadmin.co.uk.

"**Racing Calendar Office**" is the office appointed for the time being as the Racing Calendar Office by the British Horseracing Authority. (The present Racing Calendar Office is at Weatherbys, Sanders Road, Wellingborough, Northants NN8 4BX).

"**The Racing Season**" for the purpose of these Regulations means the Racing season for Jumps racing under the Rules of Racing as defined for **2017/2018** and runs from **April 30th, 2017 up to and including April 28th, 2018.**

"**Recognised meeting**" is a meeting authorised by a Recognised Racing Authority and in the case of Great Britain has been authorised by the British Horseracing Authority and granted fixtures by the Directors of the British Horseracing Authority.

"**Recognised Racing Authority**" is any Racing Authority included in the list set out in Schedule (A)8 to the Rules of Racing together with other Racing Authorities which the British Horseracing Authority approves as Recognised Racing Authorities by exercise of their powers under Rule (A)93 of the Rules of Racing.

"**Restricted Point-to-Point Steeple Chase**" is a race open to any horse, except if age or sex conditions are subsequently imposed (or if it is confined to specific Hunts, Clubs, Associations or Services), which, at starting, has not won any Race under the Rules of any Recognised Racing Authority other than National Hunt Flat races or Flat races, or any Point-to-Point Steeple Chase other than Maiden Races, Hunt Members Races.

"**Riders Qualification Certificate**" is that document issued by the Point-to-Point Authority providing that the Master / Secretary of the Hunt is satisfied that the rider is a Master, Member, Subscriber, Farmer or their respective spouses or children, or a person who has paid to the Hunt the cap for one days hunting. This certificate is verification that the rider has paid the required premium in respect of the Point-to-Point Riders Insurance scheme for the current season.

"**Safety Factor**" is the maximum number of horses which will be started in a particular race, but the maximum number shall not exceed 18 in a Maiden Point-to-Point Steeple Chase and 20 in any other Point-to-Point Steeple Chase.

"**Sample**" in relation to a horse means a quantity of any body fluid, tissue, excreta, hair or skin scrapings or of items in contact with any part of the horse taken at the discretion of the person conducting the examination and may involve the removal of implants. For the avoidance of doubt this includes Samples which have been stored having previously been reported as negative.

"Starter's Orders" - Every horse which has not been withdrawn from the race when the Starter's flag is raised shall be considered as having come under Starter's Orders. **Note** - This definition means that in the event of a Starter declaring a false start Starter's Orders is negated for that occasion and any horse withdrawn before that race has been correctly started is deemed to be withdrawn not under Starter's Orders.

"Started" - Every horse which has come under Starter's Orders shall be considered as having started.

"Stewards" - Wherever the word "Steward" or "Stewards" is used, it means Steward or Stewards of the meeting, or their duly appointed deputy or deputies.

"Stud Book" is the official register of the breeding of thoroughbred horses in their country of origin. "General Stud Book" is the official register of the breeding of thoroughbred horses kept by the Stud Book authority of Great Britain and Ireland.

"Subscriber" is a person who has paid to the qualifying Hunt, the minimum annual subscription of that Hunt. No Hunter Certificate may be issued to any owner whose subscription has not been paid at the time of application for a certificate.

"The Non-Thoroughbred Register" and the "British Horseracing Authority Regulations for Point-to-Point Steeplechases" are the works published under the names respectively having the authority of the British Horseracing Authority.

"Threshold Level" means the concentration of a substance which is specified as a Threshold Level in Appendix I (being a concentration above which a Sample will be considered positive).

"Threshold Substance" means a substance for which there is a Threshold Level in Appendix I.

"Time of Entry" means the time fixed for closing of entries.

"V.A.T." means the Value Added Tax for the time being in force.

"Veteran Horse" is a horse aged nine years old and upwards from the start of the season up to and including December 31st, or ten years old and upwards from January 1st until season end.

"Veterinary Officer" is a registered Veterinary Surgeon engaged by the British Horseracing Authority in a post of that description.

"Veterinary Surgeon" is any qualified Veterinary Practitioner appropriately registered or licensed to practice.

"Winner" means the first horse past the post in a race as announced by the Judge (unless he subsequently changes his announcement) which is not demoted or disqualified at a later stage.

"Year" means a calendar year.

PART 1

THE BRITISH HORSERACING AUTHORITY AND STEWARDS OF MEETINGS

1. The British Horseracing Authority has the power, at its discretion:

(i) To authorise Point-to-Point fixtures and fixture fees, to cancel such fixtures and to make any alterations to them from time to time that they consider necessary. In the case of an emergency or expediency to order the abandonment or postponement of any race or Race Meeting and to supervise and make such alterations as they may think advisable in the programme of, or the conditions of any race at, any Meeting.

(ii) To authorise the publication of the "British Horseracing Authority Regulations for Point-to-Point Steeple Chases" and to make any alterations to them from time to time that they consider necessary.

(iii) To prohibit the advertisement of any Point-to-Point fixture in the Racing Calendar, or call upon the Stewards to alter or expunge any conditions of races even after advertisement in any form.

(iv) To accept or to refuse to accept or to cancel any registration under these Regulations, notwithstanding any implication to the contrary.

(v) To authorise acceptance of entries or to instruct that they are to be refused and in the case of expediency to refuse to allow a horse duly entered to run in any race, in which event the British Horseracing Authority might at its discretion direct that the entrance money be remitted to the owner.

(vi) To make enquiry into and deal with any matter relating to racing, whether such matters arise in Great Britain or elsewhere. For the avoidance of doubt it is hereby declared that this power includes the power to enquire into and adjudicate upon conduct that has already been considered by Stewards of Meetings under Regulation 13(iv) or Regulation 13 (viii) no matter what has been the decision or action of such Stewards and notwithstanding that the matter has not been reported by the Stewards under Regulation 14(ii).

(vii) To entertain and determine appeals from the Stewards of Meetings as provided for by Regulations 146-149.

(viii) To entertain and decide objections lodged under Regulation 140(v)

(ix) To authorise publication in the public press and media or the Racing Administration Internet Site or in any other manner as the British Horseracing Authority shall in its absolute discretion think fit of their decisions respecting any matter and any person. For the avoidance of doubt this power includes the power to publish any charges for breach of the Regulations laid against any person, including any facts alleged in support of them, any topics for a disciplinary enquiry and/or appeal hearing, and any directions, findings and penalties which have been made in relation to the same and any other of their decisions respecting any matter and any person, and of the decisions and reports of Stewards of Meetings, without prejudice to the power of the Stewards of Meetings under Sub Regulation 13 (ix).

(x) To authorise and publish in the Racing Calendar, the National Point-to-Point website and other racing publications from time to time such instructions as they may think fit.

(xi) To exercise any other powers conferred upon them by these Regulations and to take any such action as they consider necessary for the purpose of carrying out or putting into effect these Regulations including without limitation, issuing instructions to comply with any Regulation or to prevent an anticipated breach of any Regulation. To issue guidance from time to time including recommendations for the imposition of penalties for particular breaches of particular Regulations and generally.

(xii) To modify, delete or alter the Regulations for Point-to-Point Steeple Chases or any part thereof, or to suspend any Regulation or any part thereof, for such period or periods as they think fit. In cases of emergency or expediency they may do so without giving previous notice, but should they do so they shall report the fact in the two consecutive issues of the Racing Calendar. In all other cases they shall publish the proposed change(s) prior to the date on which they are to take effect.

(xiii) To make arrangements for any person who they may have approved for that purpose to be authorised

(a) to enter premises owned, controlled or occupied by an owner or any other premises recorded in the Hunter Certificate, and/or

(b) to examine the owner's horses, including the taking of samples, and/or

(c) to request any information or record from any person subject to these Regulations,

(d) to inspect any vehicle used or to be used for the transportation of any horse or registered persons to or from a Point-to-Point course and to take samples or custody of any feedstuffs, feed activities and of any other substances or materials as deemed necessary,

(e) to conduct a search of any person present on premises used for the purposes of the meeting and to take samples or custody of any article or materials found on such persons as deemed necessary.

In each case for the purpose of determining whether or not the provisions of these Regulations are being complied with or in relation to an investigation concerning a breach of the said Regulations.

(xiv) To prohibit any equipment for use on a horse in a race which, in their opinion, is unsuitable, unsafe or ineffective.

(xv) Without prejudice to the generality of the foregoing Regulation 1(xi)and save where any Regulation expressly provides otherwise, to make such arrangements as they think fit for any one or more of their powers or other functions under these Regulations to be exercised on their behalf by any individual or entity where they are satisfied that it is in the interest of the efficient administration of horseracing and the operation of these Regulations to do so. Further, the British Horseracing Authority has power at any time to ratify the exercise or purported exercise of any power or function on their behalf by any individual or entity where they think fit, notwithstanding that the individual may not have been duly authorised by the British Horseracing Authority at the relevant time. (A person who is adversely affected by an act or decision made by any employee of the British Horseracing Authority on behalf of, or in the name of the British Horseracing Authority, shall have the right where time reasonably permits to have the matter in question referred to the **British Horseracing Authority,** provided a written application to that effect shall have been received at the British Horseracing Authority Office within 72 hours of his being given notice of the act or decision in question which shall stand unless and until the British Horseracing Authority after having themselves considered the matter decide otherwise).

(xvi) To correct a decision of the Stewards where the Stewards have failed accurately to apply any mandatory provision in these Regulations. In such circumstances the person concerned will be informed in writing of the corrected decision. If the person concerned wishes to object to the correction he must apply for the matter to be referred to the Disciplinary Panel writing to the British Horseracing Authority office within 48 hours of his being given notice of the corrected decision which shall stand unless and until the Disciplinary Panel after having considered the matter decides otherwise.

2. (i) When any person subject to the Point to Point Regulations has in the opinion of the British Horseracing Authority, committed a breach thereof the British Horseracing Authority has power at its discretion to impose on such person any one or more of the following penalties in addition to any other consequences provided for under these Regulations, namely:

(a) Impose a fine not exceeding £50,000;
(b) Declare him a disqualified person;
(c) Issue a caution.

Save that where any Regulation prescribes a maximum penalty they may not impose any greater penalty or any penalty of some other kind and save that where any Regulation prescribes a mandatory penalty they shall impose that penalty. Save as aforesaid the powers given by this Regulation are not and are not to be construed as being in any way or in any instance limited or excluded by reason of the fact that some Regulations do while others do not prescribe penalties for their breach. For the avoidance of doubt the preceding power applies to any person who has ceased to be subject to these Regulations provided that the commission of the breach or offence by such person took place whilst he was subject to the Regulations.

(ii) The British Horseracing Authority has the power if good cause is shown or when any person has committed any breach of these Regulations to withdraw or suspend his licence or permit issued under the Rules of Racing (and to make the reinstatement of such licence or permit at the expiry of any period of suspension subject to the licence holder having complied with and/or continuing to comply with such requirements or conditions as they think fit) or to prohibit his participation in Point-to-Point Steeple Chases either as an owner, rider or official. Where the British Horseracing Authority has exercised its power in this Sub-Regulation on account of any medical condition of a rider, the medical review procedure operated by the British Horseracing Authority Licensing Committee in respect of riders licensed or permitted under the Rules of Racing shall also apply.

(iii) The British Horseracing Authority shall have power to exclude or cause or order to be excluded for any period or for an indefinite period from any premises owned, licensed or controlled by them any person whether or not subject to the Point-to-Point Regulations where, in their absolute discretion, they consider the presence of such person on such premises undesirable in the interest of racing even though such person is not and has not been declared, a disqualified person.

(iv) (a) The British Horseracing Authority has power to make and publish such guidelines and requirements or regulations for the conduct of enquiries into possible breaches of these Regulations or into whether any offence under these Regulations has been committed or into whether any person is liable to penalty under these Regulations as they shall in their discretion think fit.

(b) The British Horseracing Authority has power to order any of the following persons to pay such reasonable costs and expenses relating to an enquiry and such reasonable compensation for outlay incurred in connection therewith as they may determine. Such persons are:
any person found to be in breach of the Point-to-Point Regulations or upon whom a penalty may have been imposed under these Regulations;
any person who has brought an Appeal under Regulations 146-148 except where the British Horseracing Authority has reversed the decision of the Stewards;
the Owner of any horse which has been subjected to an examination under Regulation 13 (vi);
any person whose conduct in relation to an enquiry has, in the opinion of the British Horseracing Authority, unreasonably prolonged it and/or directly or indirectly put the British Horseracing Authority or any other person involved to additional expense and inconvenience.

(c) The British Horseracing Authority has power to order any person who has requested and been granted an adjournment of any enquiry convened under these Regulations or whose conduct may in whole or in part have caused an enquiry to be adjourned to pay such reasonable costs and expenses as were wasted or occasioned by the adjournment as they may determine, whatever the final outcome of the enquiry.
(N.B. See Appendix U to these Regulations for "The Guidelines for Disciplinary Procedures").

(d) The British Horseracing Authority have power to order the Owner to pay £500 towards the costs of the counter analysis procedure set out Appendix Q if satisfied that he should have accepted the result of the Analysis of the "A" sample having regard to his knowledge and the information which was available to him at the time.

(v) The British Horseracing Authority has power to provide for their decisions to be reviewed by or appealed to an Appeal Board in such circumstances and upon such terms as they think fit and further to make such regulations for the membership and convening of an Appeal Board and the conduct of appeals as they shall from time to time think fit.

(N.B. See Appendix Y of these Regulations for "Regulations for Appeals to an Appeal Board").

(vi) Where the British Horseracing Authority makes any decision against which there is a right of appeal as laid down in Appendix Y, Paragraph 13 (B) of these Regulations, it shall take effect as follows:-

(a) a decision to withdraw or suspend a licence or permit for a period of 3 months or more or to declare a person a Disqualified Person shall take effect on the day after the announcement of the decision unless and subject to such conditions as the BHA or the Appeal Board shall otherwise direct.

(b) in any other case (other than a refusal) the decision shall not take effect until the later of:

1. the day after the time for appealing as laid down in paragraph 21 of Appendix Y of these Regulations has expired, or

2. where applicable, the day after the Appeal Board has dismissed the case.

Any substituted penalties by the Appeal Board shall take effect on the day after the announcement of the decision by the Appeal Board unless it directs otherwise.

3. The British Horseracing Authority takes no cognisance of any disputes or claims with respect to bets.

4. No person who has been requested by or on behalf of the British Horseracing Authority to attend an enquiry or hearing of any appeal under Regulation 148 shall without reasonable cause fail or refuse to do so. Furthermore, no person who has been requested by or on behalf of the British Horseracing Authority to return to the Disciplinary Department of the British Horseracing Authority, the form referred to in Appendix U paragraph E (ii) within 21 days of receipt or at least **10** days prior to the date fixed for the commencement of the enquiry, whichever is the earlier, shall without reasonable cause fail or refuse to do so. This Regulation shall apply to a person who has ceased to be bound by the Regulations

where, in the opinion of the British Horseracing Authority, he has material information concerning events whilst he was subject to the Regulations and the power of the British Horseracing Authority under Sub- Regulation 2(i) shall apply in respect of any breach.

5. A person who is adversely affected by an act or decision made by an employee of the British Horseracing Authority shall have the right where time reasonably permits to have the matter in question referred to the British Horseracing Authority, provided a written application to that effect shall have been received at the British Horseracing Authority Office within 72 hours of his being given notice of the act or decision in question which shall stand unless and until the British Horseracing Authority after having themselves considered the matter decide otherwise.

STEWARDS OF MEETINGS

10. There shall be at least five Stewards, of whom four shall be Acting Stewards, and one of whom must be a Chairman. The names of the Acting Stewards shall be printed on the race card and be exhibited outside the weighing room or tent. One acting Steward shall be present at the weighing room or tent immediately before and after each race.

POWERS OF THE STEWARDS OF MEETINGS

11. The Stewards have free access to all places used for the purposes of the Meeting.

12. The Stewards shall exclude from all places every disqualified person, and all such persons as they may, from time to time, be required by the British Horseracing Authority to exclude.

13. The Stewards of a Meeting have full power:-

(i) To ensure when in attendance that racing is run in accordance with these Regulations at the meeting and, in exceptional circumstances, to vary the arrangements concerning the running of any race at the meeting as they think fit and to give all necessary instructions for that purpose.

(ii) Under exceptional circumstances or if the weather or ground be in an unfit state for running to abandon or postpone a day's racing or to abandon any races. If the Stewards decide that owing to the weather, or other circumstances, a Meeting cannot be held on the allotted day, a letter signed by a Steward and the Clerk of the Course setting out the reason shall at once be forwarded to the British Horseracing Authority Office. A new date may be applied for. If such date be granted and be not more than sixteen days after the original date all entries shall stand good as if the Meeting had taken place on the day originally fixed.

(iii) To leave out or to alter any fences if their retention would necessitate the abandonment of the day's racing. Should the Stewards authorise the abandonment or postponement of any races, or the deletion of any fences or vary in any way the programme as originally advertised, they shall without delay report to the British Horseracing Authority Office their reasons for so doing.

(iv) To determine all questions arising in reference to racing at the meeting whether during the course of or subsequent to the meeting except as otherwise provided in these Regulations and subject to appeal under Regulation 146 and to report the conduct of any person or any matter relating to racing to the British Horseracing Authority regardless of whether it involves or might involve a breach of the Regulations.

(v) To call for proof before a race (see Regulation 140(i)) that a horse is neither itself disqualified in any respect, or nominated by, or the property, wholly or in part, of a disqualified person; and in default of such proof being given to their satisfaction they may declare the horse disqualified. They have the power to prevent from running any horse which cannot be shown to be qualified under these Regulations or under the conditions of the race.

(vi) At any time to order an examination by such person or persons as they think fit, of any horse entered for a race, or which is on the racecourse. Such examination may include the taking and storage of Samples for subsequent analysis to be undertaken at such times and in such manner as the British Horseracing Authority may from time to time instruct. To order the withdrawal from a race of any horse which has been declared to run if any person prevents it from being examined prior to the race in question, and to refer the conduct concerned to the British Horseracing Authority.

(vii) To exclude at their discretion or to eject any person from all or any places used for the purposes of the Meeting.

(viii) To enquire into, regulate, control, take cognisance of, and adjudicate upon, the conduct of all officials, and of all owners, nominators, riders, grooms, persons attendant upon horses and of all persons frequenting the places used for the purpose of the Meeting.

(ix) By notices exhibited on the Number Board or elsewhere and by any form of public address system at the Point-to-Point Course to state and announce that an objection has been lodged, the subject and nature thereof, and also their decision in respect of such objection, or of any other matter coming within their jurisdiction.

(x) To reduce, on raceday only and in exceptional circumstances, in consultation with the Clerk of the Course and the Course Inspector, the Safety Factor in each category of race. (N.B. See definition of "Safety Factor"). Pre-determined Safety Factors which have been agreed with the British Horseracing Authority must not be increased on the day of racing.

(xi) To prohibit a rider from using a particular whip whether or not such whip complies with the specifications approved by the British Horseracing Authority, but, in the event of their so doing they shall without delay submit a report to the British Horseracing Authority.

(xii) To order the withdrawal from a race of any horse that has been declared to run under Regulation 111(i) where they have reason to be concerned about the medical or physical condition or the identification of the horse provided that they shall have first sought and obtained the opinion of a Veterinary Surgeon at the meeting who shall have recommended a withdrawal on such grounds.

(xiii) To prohibit any equipment for use on a horse in a race which, in their opinion, is unsuitable, unsafe or ineffective.

(xiv) When they consider that through any cause a horse is or will be unable to start without there being an unacceptable delay to the start of the race they shall at once notify the Clerk of the Scales and the Starter that the horse is withdrawn.

(xv) To order the withdrawal from a race of any unruly horse where they have reason to be concerned. They shall at once notify the Clerk of the Scales and the Starter that the horse is withdrawn.

14 (i) When in the opinion of the Stewards any person subject to the Point-to-Point Regulations has committed any breach of these Regulations they have the power at their discretion to impose upon such person a fine not exceeding £600 but subject to any maximum or minimum fine specified in these Regulations. The Stewards must report all fines to the fixture organisers who shall arrange for the collection of such fines, prior to forwarding them to the British Horseracing Authority Office.

(ii) When in the opinion of the Stewards

(a) there is a reasonable suspicion that any person has committed any breach of these Regulations which in their opinion ought to be considered by the British Horseracing Authority,
or

(b) any person subject to the Point-to-Point Regulations has committed any breach of these Regulations and in their opinion some fine or punishment in excess of £600 ought to be imposed upon such person,
or

(c) any person present at the meeting whether or not subject to the Point-to-Point Regulations has misconducted himself, they have power at their discretion to report the matter to the British Horseracing Authority.

(iii) The Stewards shall refer any matter to the British Horseracing Authority in circumstances where the British Horseracing Authority has issued instructions that such matters are in such circumstances to be referred to them.

(iv) On consideration of any matter referred, the British Horseracing Authority shall not be bound by any finding of the Stewards.

15. In addition to their foregoing powers, the Stewards have the powers of suspension conferred on them in Regulation 123(iii).

16. The Stewards as such, shall not entertain any disputes relating to bets.

PART 2

OFFICIALS

GENERAL

20. Officials of the meeting, namely Stewards, Clerks of the Course, Clerks of the Scales, Starter and Judge, shall be appointed for every Meeting by the Committee or Corporate Entity of that Meeting appointed under Regulation 61(i) (ii) or (iii) and shall be appointed upon terms that they agree to be bound by the Point-to-Point Regulations and Instructions currently in force. The Inspector of Courses is appointed by the British Horseracing Authority, and is responsible to it for providing reports on a meeting as and when requested.

21. In case of emergency, the Stewards may, appoint a substitute to fill any of the offices for that Meeting.

22. Every complaint against an Official shall be made to the Stewards in writing, signed by the complainant.

CLERK OF THE COURSE

23. The Clerk of the Course is the sole person responsible to the Stewards for the racing related arrangements of the Meeting, and:-

(i) Shall carry out such requirements of the Point-to-Point Instructions as are delegated to him by the Committee and such other directions as the Committee considers necessary.

(ii) Shall comply with the requirements of these Regulations and all directions given to him by the Stewards of the meeting in relation to the conduct of the race meeting.

CLERK OF THE SCALES

24. The Clerk of the Scales shall:-

(i) Weigh the riders in accordance with the Regulations (see parts 11 and 13 of these Regulations).

(ii) Ensure that the number (as shown on the official card) of each horse for which a rider has been declared, together with names of the riders, is exhibited. The numbers shall not be taken down until the horses are started. He shall also furnish the Starter with a list of runners. When the numbers have been exhibited no alteration or addition except as allowed for in Regulation 26(ix) can be made without leave of the Stewards whose reasons for such permission shall be reported to the British Horseracing Authority Office.

(iii) If extra weight or any variation from the weight appearing on the card be declared at scale, for any horse, exhibit such weight with the number, also any alteration of colours, and if a horse be wearing hood, blinkers, visor, eyeshield or eyecover, sheepskin cheek pieces or any combination thereof or a tongue strap.

(iv) At once order such alterations on the number board as the Stewards shall sanction under sub-Regulation (ii) of this Regulation.

(v) Should a horse be withdrawn under Regulation 13(xii) or 26, at once cause to be stated an announcement over the public address system that the horse is withdrawn which indicates whether such horse has come under Starter's Orders or not and cause a notice to be exhibited on the number board which also states whether such horse has come under Starter's Orders or not. He shall also immediately report to the Stewards that the number is withdrawn by order of the Starter.

(vi) In all cases, except as provided in Regulation 130(iv) weigh in the riders of the first four horses placed by the Judge together with other riders as required by the Stewards and report to the Stewards any riders not presenting themselves to be weighed in.

(vii) Should an objection be lodged or an enquiry be called for under Regulation 141(iii) at once order the appropriate announcement to be made over the public address system with the grounds for objection.

(viii) At the close of each day's racing send a return to the Clerk of the Course of the weights carried in every race and the names of the riders, specifying overweight, if any.

JUDGE

25. (i) One Judge or a substitute authorised by the Stewards under Regulation 21 only shall be appointed and he must occupy the place designated by the Stewards as the Judge's Stand when the first horse passes the winning post or the race will be void. He must remain in that place until such time as all the horses which are in a position to complete the course have passed the winning post and his decision in this matter shall be final. No horse which passes the winning post after the Judge has left the stand shall be placed.

(ii) The Judge must announce his decision immediately on the first four horses placed and shall determine the winner according to the first part of the horse's head, excluding the ears and tongue, which is the first past the winning post, the remaining placings being determined in a similar manner, and such decision shall be final, unless an objection to the winner, or any placed horses, is made and sustained: provided that this Regulation shall not prevent a Judge from correcting any mistake, such correction being subject to confirmation by the Stewards.

(iii) The Judge shall, at the close of each day's racing, sign and present a report of the result of each race to the Clerk of the Course.

STARTER AND STARTING

26. (i) The Starter shall obtain a list of runners for each race from the Clerk of the Scales.

(ii) Every horse shall be at the Starting Post ready to start at the appointed time.

(iii) All riders who arrive at the Starting Post must immediately place themselves under the control of the Starter.

(iv) The horses must be started by the Official Starter, or his authorised substitute, and all races shall be started either by a flag or a starting gate approved by the British Horseracing Authority.

(v) After the Starter has called over the names of the runners he shall give all orders necessary for securing a fair start. The horses must be started as far as possible in a line, but they may be started at such reasonable distance behind the starting post as the Starter thinks necessary. The Starter shall raise his flag and the signal for the start will be the lowering of the flag.

(vi) The Starter has full power to remove an unruly horse and, should he do so, he must place it at such a distance to one side of or behind the other runners that it cannot gain any advantage itself or cause danger to, or prejudice the chances of the other horses and riders engaged in the race. Permission may be given by the Starter for a horse to be held or the Starter may himself order an unruly horse to be held, but in all cases the horse must be held behind the other runners at a position to be designated by the Starter. Should an unruly horse cause undue delay it may be "left".

(vii) The Starter shall have a recall flagman who will be stationed some 100 yards down the course and will raise a **yellow flag** which will be lowered when the Starter lowers his flag, unless the Starter recalls the horses accordingly by raising his flag again. The Starter's flag will indicate when the horses are under Starter's Orders, and will also be a recall flag, and riders must be warned that it is a false start if the recall flagman's flag is not lowered.

(viii) The Starter shall raise his flag denoting that the horses are under Starter's Orders when he has taken up his position to start the race.

(ix) Should the Starter consider that through any cause a horse is or will be unable to start at the appointed time or so soon thereafter as the Starter is ready to start the race he shall notify the Clerk of the Scales that the horse has been withdrawn and shall inform him whether the horse has come under Starter's Orders or not. No horse which has come under Starter's Orders shall be withdrawn except under the provisions of this sub-Regulation or Sub-Regulation (xi) of this Regulation.

(x) Misconduct by a rider at the start is an offence under these Regulations and the Starter shall report to the Stewards any rider whom he considers to have been guilty of misconduct at the start or who has refused to obey the commands of the Starter.

For the avoidance of doubt misconduct includes the following:-

1. In races started from a flip start, a Rider causing or allowing
   - his horse to be in contact in any way with the starting tape,
   - his horse's head to be on or over the starting tape;

2. A Rider attempting to line up or taking a position for the start before being instructed to do so by the Starter.

Save that the Starter will not report any Rider if, in his opinion, misconduct as detailed in Clause 1 above has been caused by circumstances beyond the Rider's control.
Note: It is emphasised that the examples described above are not an exhaustive definition of misconduct as other circumstances may result in the Starter reporting a Rider under this Regulation.

(xi) (a) Should the Starter consider that a fair start has not been effected he shall declare it a false start by keeping his flag raised, or by raising it again if it has been lowered, and order the riders, by means of the recall flagman, to return to the Starting Post and a standing start will be effected.

(b) Where the recall flag is raised without the orders of the Starter he shall nevertheless declare it a false start.

(c) Unless at least one rider returns to the Starter after the recall flag has been raised for a false start the race shall be void.

(d) Should only one rider return to the Starter and satisfy him as to his having obeyed the recall flag, his horse shall be considered as having walked over for the race. Should more than one return, the race shall be started again as soon as the course is clear.

(e) Following the recall flag horses which complete a circuit of the course, unseats its rider other than at the start, or fall shall not be considered as having obeyed the recall flag and shall be withdrawn by the Starter. The riders of such horses shall be regarded as having committed a breach of these Regulations.

(f) Should the Starter declare a false start and the recall flag not be raised, the race shall be void unless all the horses pull up before completing a circuit of the course and return to the Starter, (except that any horse which falls before completing a circuit of the course shall be regarded as having satisfied the requirements of this Sub-Regulation but shall be withdrawn by the Starter).

(g) The Starter's decision on all matters covered by parts (a)-(d) of this Sub-Regulation shall be final.

(xii) When a race is started in front of the Starting Post or on a wrong course it shall be declared void.

PART 3

HORSES

AGE

30. The age of a horse shall be reckoned as beginning on the 1st January in the year in which he is foaled.

REGISTRATION OF NAMES

31. (i) Unless the Directors otherwise decide and with the exception of Hunt Members Point-to-Point Steeplechases, no horse is eligible to be entered unless its name has been registered in accordance with this Regulation. An application for a name may only be registered for a horse by application to the British Horseracing Authority at the Racing Calendar Office on the prescribed form signed by or on behalf of the Owner or Owners unless otherwise ordered by the Directors.

(ii) An application for a name for a horse must state the colour, sex and age together with the registered name of the sire and dam and comply with the declarations contained therein. Unless the British Horseracing Authority otherwise directs, such application will not be accepted for registration unless the horse has been:-

1. accepted for inclusion in the General Stud Book or in one of the Stud Books listed in Schedule (E)2 to the Rules of Racing, or
2. accepted for inclusion in the Non-Thoroughbred Register, or
3. accepted for inclusion in the Autre Que Pur Sang (AQPS) Register.

(iii) For horses foaled in Great Britain, Ireland or the Channel Islands, except in the case of a horse which is outside Great Britain, Ireland or the Channel Islands at the time of application, the application must be accompanied by:-

the Passport which has been issued for that horse by the Stud Book Authority.
In addition, for horses foaled in Great Britain and for those horses foaled in Ireland and the Channel Islandsthe Passport must show evidence from a Veterinary Surgeon confirming that the said horse has been implanted with an identifying Microchip or, in the event of there being no such evidence, the application must be accompanied by an authorisation from a Veterinary Surgeon that the said horse has been implanted with an identifying Microchip.

(iv) For horses foaled outside Great Britain, Ireland or the Channel Islands the application must be accompanied by:-

1. the Passport which has been issued for that horse by the Stud Book Authority (in cases where Passports are issued) and,
2. a certificate of age and markings signed by a Veterinary Surgeon who is neither the Owner or the trainer or a person whose name is included in the Register of Stable Employees as being employed by the Trainer of the horse.

In addition, horses which are eligible to be entered to run under these Regulations, the application must be accompanied by an authorisation from a Veterinary Surgeon that the said horse has been implanted with an identifying Microchip. An identity check fee is payable for comparison of the certificate of age and markings with the certificate of pedigree (export certificate).

(v) Where the person signing the prescribed form is unable to confirm the markings of the horse shown in the Passport the said person must provide:-

a certificate of age and markings signed by a Veterinary Surgeon who is neither the Owner or the Trainer or a person whose name is included in the Register of Stable Employees as being employed by the Trainer of the horse.

(vi) Where in Sub Regulations (iv) and (v) above a certificate of age and markings is required and

1. the markings on that certificate are not consistent with those first recorded by the Stud Book Authority, or in the case of a horse foaled outside Great Britain, Ireland or the Channel Islands, with the markings contained in the certificate of pedigree (exportation certificate) issued by the Stud Book Authority or Racing Authority of the country of origin and

2. the identity of the horse cannot be verified by a blood or a DNA test,

the Directors will not proceed with the application except that where the receipt of a certificate of pedigree (exportation certificate) has been delayed the Directors may at their discretion proceed with the application for registration subject to subsequent confirmation.

(vii) Unless the British Horseracing Authority otherwise directs, the name will be registered one clear day after approval and be the horse's name under the Rules of Racing and these Regulations from that time subject to:-

(a) approval of the above naming requirements, and

(b) the name being available within the criteria laid down by the British Horseracing Authority in Schedule (E)1 to the Rules of Racing, and

(c) the name not having been reserved under Sub-Regulation (x) below.

In the event of incorrect information being submitted in respect of any of the above requirements registration shall thereupon become void.

Note: Approval of names requires consideration by more than one party and applicants are advised to allow as much time as possible for this process, particularly in respect of foreign-bred animals.

(viii) A numeral may only be added, when a name is not available, if the horse was foaled in Great Britain, Ireland or the Channel Islands and is not in the General Stud Book.

(ix) When a name is registered for a horse

(a) foaled prior to January 1st 1988, outside Great Britain, Ireland or the Channel Islands a letter code will be added to denote the country of foaling and this code will form part of the registered name, or

(b) foaled after December 31st, 1987, outside Great Britain or the Channel islands a letter code will be added to denote the country of foaling and this code will form part of the registered name.

(x) (a) A name, upon application to the Racing Calendar Office, may be reserved for no more than one year ("a year's reservation"), or up to 90 days ("a temporary reservation") may be reserved, providing it conforms with the Registration of Horse Names criteria laid down in Schedule (E)1 to the

Rules of Racing. A name so reserved can only be subsequently registered in accordance with this Sub-Regulation and does not identify a horse for the purpose of these Regulations.

(b) Fees in respect of a year's reservation and a temporary reservation are as laid down in Schedule (A)1 to the Rules of Racing. If a year's reservation is subsequently registered under the Regulation, a registration fee shall be incurred in addition to the reservation fee. If a temporary reservation is subsequently registered under this Regulation or becomes a year's reservation, the temporary registration fee will be waived.

(For the avoidance of doubt a reserved name may still prove to become unacceptable at the time of registration e.g. when the horse to bear the name is subsequently identified. If the reserved name becomes unacceptable in such circumstances the reservation fee will be remitted).

(xi) A name may not be changed for any horse:

(a) whose name has been registered by another Recognised Racing Authority except with the permission of that Racing Authority, or

(b) that has run under the Rules of any Recognised Racing Authority or in a Point-to-Point Steeple Chase run in Great Britain or Ireland, or

(c) that has been registered either as a broodmare or as a stallion in the General Stud Book or Non Thoroughbred Register or the Stud Book or Non Thoroughbred Register of any Recognised Racing Authority

except that by express permission of the British Horseracing Authority, a correction may be made when, through an error, an incorrect name has been published.

(xii) A change of name must be applied for in the same way as a registration of name and if registered one clear day after approval, will be the horse's name under these Regulations from that time subject to the stipulations laid down in Sub-Regulation (vii) above. When such change has been registered the horse will not be qualified to be entered or run in races until the Monday after registration.

(xiii) If the same name be simultaneously claimed for two horses, the order of priority shall be determined by lot at the Racing Calendar Office.

(xiv) Every provision contained in this Regulation shall be subject to the power conferred upon the British Horseracing Authority by Regulation 1 (iv) to accept or to refuse to accept or to cancel any registration under these Regulations, notwithstanding any contrary implication which may be contained in any such provision. For the avoidance of doubt it is hereby declared that the British Horseracing Authority will refuse to register the name of any horse unless it and its sire and dam are each the produce of a natural service or covering, and unless a natural gestation took place in and delivery was from the body of the mare in which the foal was conceived, and if a name is registered for a horse produced by other means the registration shall be void.

(xv) A list of names registered and changes of names shall be published on the Racing Administration Internet Site.

(xvi) All naming fees as laid down by the Directors are payable in accordance with the schedule in Schedule (A)1 to the Rules of Racing. The fee for a blood or DNA test required under Sub-Regulation (vi) above is payable by the applicant whether or not the identity of the horse is verified.

(xvii) The above requirements regarding the registration of name or change of name is subject always to the provisions of Sub-Regulation (xiv) above.

(xviii) A registration under these provisions is subject always to the power of cancellation by the British Horseracing Authority exercised under powers contained in Regulation 1 (iv).

ELIGIBILITY

32. Horses are only eligible to be entered:-

(i) In Hunt Members Steeple Chases if they are the property of either the Master(s), Members, Subscribers or Farmers of a recognised Hunt. A Hunter Certificate, signed and issued by the Master of that Hunt or Hunts concerned and signed by the owner(s), must be submitted at entry unless it has already been registered as required under Sub-Regulation (iii) of this Regulation.

(ii) In Steeple Chases confined to a Regiment or Approved Club, Society or Association if they are the property of Members of such Regiment or Approved Club, Society or Association and have either a Hunter Certificate signed and issued by the Master of a recognised Hunt and signed by the owner(s), that has been registered in the manner required in Sub-Regulation (iii) of this Regulation, or express written permission waiving the requirement of such registration has been given by the British Horseracing Authority to the Regiment or Approved Club, Society or Association concerned. In the event of such permission being given:

(a) the Regiment or Approved Club, Society or Association concerned must state in the conditions of entry for the Steeple Chase in question that no registration of Hunter Certificate is necessary and

(b) the conditions of entry must require a Hunter's Certificate for the horse to accompany the entry.

(iii) In all other Steeple Chases if they are the property of either a Master, Member, Subscriber or Farmer of a recognised Huntand if a Hunter Certificate signed and issued by the Master of that recognised Hunt and signed by the owner(s), upon the form issued by the Point-to-Point Authority, has been lodged at the Racing Calendar Office on payment of a fee of **£100.56** (inc V.A.T.) for Registration and the Certificate has been registered. Registration cannot be effected unless the horse's name has also been registered as required under Regulation 31. A Certificate registered by noon on Friday shall qualify the horse concerned to be entered in Point-to-Point Steeple Chases which close on or after the following Saturday but to qualify for entry in any race which is restricted to certain Hunts, the Hunter Certificate must have been signed and issued by the Master of one of the Hunts concerned.

33. Only one Hunter Certificate may be used at any one time in any one Point-to-Point Season for the purpose of qualifying to enter or running in Confined Hunts' races. If more than one Hunter Certificate is registered for a horse in a Point-to-Point Season, the horse may be entered or run in Confined Hunts' races relevant to the subsequent Certificate(s) only. In such circumstances, any previously registered Hunter Certificate shall not be eligible for qualifying purposes in any Confined Hunts' race for the remainder of the Point-to-Point Season.

34. No Horse shall be eligible to be entered or run in a Point-to-Point Steeple Chase in the current Point-to-Point Season which:-

(i) Is less than 4 years old.

(ii) On or after **October 1st, 2017** has won any race under the Rules of any Recognised Racing Authority other than:-

(a) A Steeple Chase run between **March 1st, 2018** and **June 8th, 2018** which is confined to Amateur Riders, or
(b) A Hunters' Steeple Chase.

(iii) During any of the **50** consecutive days prior to the day of closing of entries for the race for which the horse is being entered, has run in any race run under the Rules of any Recognised Racing Authority other than:-

(a) A Steeple Chase run between **March 1st, 2018** and **June 8th, 2017** which is confined to Amateur Riders, or
(b) A Hunters' Steeple Chase.

(iv) During any of the **50** days prior to the day of registering the Hunter Certificate,
(a) has been in the care of a trainer licensed under the Rules of any Recognised Racing Authority unless the horse is, or was at the time the property of himself, his spouse, civil partner, co-habitant of the trainer by reason of a personal relationship, parents, grandparents, sons, daughters, grandchildren, brothers, sisters (or the Executors of such persons), free of all lease or other joint arrangement, except partnerships between such persons.
(b) has been in the care of a person holding a permit to train under the Rules of any Recognised Racing Authority unless the horse is, was at the time the property of himself, his spouse, civil partner, co-habitant of the trainer by reason of a personal relationship, parents, grandparents, sons,

daughters, grandchildren, brothers, sisters (or the Executors of such persons), free of all lease or other joint arrangement, except partnerships between such persons.

(v) On or after **May 29th, 2015,** it has won a Class 1 or 2 steeple chase, or the foreign equivalent, which was not confined to Amateur Riders.

For the purpose of calculating the value of races run outside of Great Britain and the Channel Islands the rates of exchange to the (Sterling) shown in Schedule (F) 14 of the Rules of Racing will be used for the relevant year in which the race was run.

(vi) Has been disqualified or is not qualified to Regulations 150, 151 or 152.

(vii) After September 30th, **2016** has been placed first, second or third in a Grade 1 or Grade 2 Steeple Chase in Great Britain or Ireland or in any other steeple chase run outside Great Britain or Ireland which in the opinion of the Authority is the equivalent of a Grade 1 or Grade 2 steeple chase.

(viii) Following adherence to Regulation 34 (iii) and (iv), runs in a Point-to-Point Steeple Chase and then subsequently returns into the care of a licensed trainer or a person holding a permit to train.

PASSPORTS AND INSPECTION

35. (i) (a) All horses running in a Point-to-Point Steeple Chase are required to have been issued with a passport.

(b) Passports issued by the Stud Book Authority for horses foaled after December 31st, 1990 will be revised and re-issued under the Rules when the horse is named under the provisions of Sub Regulation 31 (vii) or will be issued on the instructions of the British Horseracing Authority.

(c) Passports are returnable on demand and are issued subject to the instructions contained in Appendix B to these Regulations.

(ii) (a) It shall be the responsibility of the owner to ensure that when any horse owned by him, which holds a Passport, enters property owned, used or controlled by the Stewards, the Passport is available with the horse for inspection at any time the horse is on that property.

(b) It is also the responsibility of the owner of a horse to ensure at all times that vaccinations required under these Regulations have been correctly administered and properly entered in the passport.

(iii) All fees as laid down by the British Horseracing Authority in connection with passports are payable in accordance with Schedule (A)1 to the Rules of Racing.

VACCINATIONS AGAINST EQUINE INFLUENZA

36. (i) All horses that enter property used or controlled by the Stewards of the Meeting on the day of racing must be vaccinated against equine influenza in accordance with the general requirements of Sub-Regulations (ii) and (iii) of this Regulation.

(ii) All horses for which a passport has been issued, and that enter property used or controlled by the Stewards of the Meeting on the day of racing must have the vaccination section of their passports completed by a Recognised Racing Authority or a Veterinary Surgeon, (who at the time the vaccination was given was not the owner of the horse), to show that it has received two primary vaccinations against equine influenza given no less than 21 days apart and no more than 92 days apart. In addition, where sufficient time has elapsed subsequent to the primary vaccination the vaccination section of the passport must be completed to show that:-

(a) A horse has received a booster vaccination given no less than 150 days and no more than 215 days after the second component of the primary vaccination, and

(b) A horse has received booster vaccinations at intervals of not more than a year apart or such lesser time as the British Horseracing Authority may, in an emergency, decide

and that none of these vaccinations has been given on the day of the race, or on any of the 6 days prior to the day of the race in which the horse is declared to run.

A horse which has, in the opinion of a Veterinary Officer, received no vaccinations against equine influenza or has received any vaccination against equine influenza on the day of the race, or on any of the 6 days prior to the day of the race is not qualified to run.

N.B. 1. The above are minimum requirements. The vaccines administered must appear on a list published by the British Horseracing Authority as amended from time to time or be acceptable to the British Horseracing Authority Veterinary Officer and in general should be given according to the manufacturer's instructions. In many cases booster vaccinations are recommended at intervals of less than 12 months.

2. All vaccination intervals referred to above are to be calculated by ignoring the day of the vaccination and counting the day of the race.

(iii) Deleted

37. A passport will not be regarded as being completed as required under Regulation 36(ii) if any record of vaccinations against equine influenza is altered in any way. An incorrect entry must be completely deleted and a new entry made, signed by the Veterinary Surgeon who was responsible for giving the vaccination.

38. The Owner of any horse which enters property used by the Stewards of the meeting on the day of racing shall be guilty of an offence when either:

(i) that horse has not been vaccinated at all, as required by Regulation 36 (see Regulation 152 (viii)) or has received any vaccination against Equine Influenza on the day of the race or on any of the 6 days prior to the day of the race (see Regulation 152(vii)) when the Stewards shall impose a fine of £150.

(ii) the vaccination section of the passport has not been completed as required under Regulation 36(ii) or the passport is not available for inspection as required under Regulation 35(ii) when the Stewards shall impose a fine of £100 (see Regulation 152 (v)).

PART 4

OWNERS

40. No person shall own a horse which takes part in Point-to-Point Steeple Chases under these Regulations unless he/she has attained the age of 16.

41. (i) In Open, Intermediate, Restricted, Maiden, Club, Association or Society and Conditions races the qualification for owners shall extend to the Master(s), Members, Subscribers or Farmers of any recognised pack of hounds in Great Britain.

(ii) In races limited to a Hunt or Hunts, and in Confined Races, the qualification for owners shall only extend to the Master(s), Members, Subscribers or Farmers of the Hunt or Hunts concerned.

(iii) In races held under Regulation 72(x) and (xi), or races limited to the Members of a Service, Unit, Club, etc. under Regulation 73, the qualification for owners shall only extend to the Members of the organisation concerned.

(iv) The qualification for owners in the foregoing sub-Regulations (i), (ii) and (iii) shall apply at entry rather than subsequently.

42. No owner shall make use of an assumed name for the purpose of entering or running horses unless it is incorporated into one of the following titles:

"The ..... Family"
"The ..... Friends"
"The ..... Partners"
"The ..... Partnership"
"The ..... Group"
"The ..... Club"

When entering or running horses under one of the above titles one of the Owners names must be given after the title. Any horse entered under an assumed name which does not comply with the foregoing shall be in breach of this Regulation and be liable to a fine of not less than £220.

Any such assumed name must not be offensive and must not have any commercial implications. Any horse entered under an assumed name, which in the opinion of the British Horseracing Authority is offensive or has commercial implications shall be in breach of this Regulation and be liable to a fine of not less than £220.

The acceptance of any entry and/or the running of any horse under an assumed name shall not prejudice or fetter the discretion of the British Horseracing Authority to find the owner in breach of this Regulation.

Nothing in these Regulations shall prevent the personal representative of a deceased owner entering or running a horse solely under the description "Executor(s) (or Administrator(s) or Personal Representative) of.....deceased" provided that the deceased owner was duly qualified at the time of his death to enter horses in the races concerned.

43. Any owner entering a horse in a Point-to-Point Steeple Chase must sign a declaration agreeing to be bound by the Point-to-Point Regulations currently in force and such declaration must be included in the official entry form and / or online on the National Point-to-Point website entries system. Any owner entering a horse by telephone must verbally agree to be bound by the Point-to-Point Regulations currently in force.

44. No person may enter a horse in a Point-to-Point Steeple Chase who has been prohibited from participating in Point-to-Point Steeple Chases pursuant to Regulation 2(ii), or who is a disqualified person pursuant to Regulation 104 or 160 to 164 inclusive.

45. (i) When any horse has been entered to run under the Regulations and has been the subject of an examination under Regulation 13(vi) and the result of an Analysis of any Sample is positive, the British Horseracing Authority shall impose a fine upon the owner of the horse in question. However, the British Horseracing Authority may waive the fine if the owner satisfies them that the substance was not administered intentionally by him or by any other person whatsoever, whether connected with the owner or not, and that he had taken all reasonable precautions to avoid a breach of this Regulation.

Note: The Regulation imposes, and is intended to impose, an absolute and strict liability on the owner to ensure that prohibitive substances are not administered by anyone whether in any way connected with the owner or not. Thus the Regulation imposes a mandatory fine on the simple basis of a positive Analysis for a Prohibited Substance. The second part of Regulation 45 (i) provides for circumstances where the administration of a Prohibited Substance is accidental (e.g. theobromine in feed) and where the owner has taken all reasonable care. This part of the Regulation is to be construed as permitting the British Horseracing Authority to waive the fine where they are satisfied the substance was not administered intentionally by the owner or by any other person whatsoever whether connected with the owner or not.

(ii) A result of an Analysis of any Sample is positive if a Certificate of Analysis reports the presence in the sample of:-

a) a substance which is, in the opinion of a Veterinary Officer, a prohibited substance and

b) where the substance is a Threshold Substance a concentration of such a substance which is above the Threshold Level for that substance unless it is shown to the satisfaction of the British Horseracing Authority

c) that there has been a material departure from the procedures set out in any Instructions relating to the taking, and Analysis of Samples, in which event the analysis will be invalidated; or

d) that the opinion of the Veterinary Officer is wrong.

(iii) Facts related to the reporting of positive Samples may be established by any reliable means, including admissions, and the following presumptions shall apply:

The Horseracing Forensic Laboratory and those laboratories approved for counter analysis (see Appendix Q) are presumed to have conducted Sample Analysis and custodial procedures in accordance with the Accreditation Requirements and Operating Criteria for Horseracing Laboratories (ILAC-G7: 1996) or its then current equivalent.

46. Every owner shall be responsible for and have due regard for the welfare of their horse(s) and shall make premises and horse(s) available for inspection by a person authorised for that purpose as provided for in Sub-Regulation 1(xiii).

PART 5

RIDERS

50. No person shall ride:-

(i) Until they have attained the age of 16.

(ii) Unless they hold a Riders' Qualification Certificate (RQC), for the current Point-to-Point season upon the form issued by the Point-to-Point Authority and obtainable upon application to the Hunt Secretary of which the rider is a Master, Member, Subscriber, Farmer, or their respective spouses or children, or a person who has paid to the Hunt the Cap for one days hunting, and have paid the insurance premium, and administrative fee (**£8.00 incl V.A.T.**) in respect of the Point-to-Point Riders' Insurance scheme. If the rider is to ride in any race for which his RQC does not state his eligibility, he must submit written proof signed by the relevant Hunt Secretary stating his qualification to ride. It shall be the responsibility of the rider to ensure that his RQC is lodged with the Declarations Clerk when a declaration to run is made under Regulation 111(i) for the horse which he is to ride. Riders must also be in possession of a Medical Record Book (MRB) issued by the British Horseracing Authority, or the Irish Turf Club, and this must also be lodged with the Declarations Clerk when a declaration to run is made. In the event of the RQC not being available, the rider will be required to sign a declaration stating that he holds an RQC. In the event of the MRB not being available, the rider shall not be permitted to ride. A replacement MRB is obtainable from the British Horseracing Authority Office at a cost of **£58.40** .

N.B. A rider may only hold one RQC issued by the Point-to-Point Authority and one MRB. The MRB is the property of the British Horseracing Authority and **MUST** be retained for the duration of a rider's career.

51. (i) In Hunt Members Races, in which riders are only permitted to ride in three in any one Point-to-Point season (unless they are also Novice Riders, in which case they may ride in up to four such races in any one season), the qualification for riders shall only extend to:-

(a) The Master(s), Members, Subscribers, Farmers or their respective spouses or children of the Hunt or Hunts concerned, or, unless otherwise stated in the race conditions, a person who has paid to the Hunt or Hunts concerned the Cap for one days hunting.

(b) If so provided in the conditions of the race, Regular Serving Members of Her Majesty's Forces.

(ii) In Open, Intermediate, Confined, **Conditions, Restricted and Maiden Races the qualification for riders shall extend to Master(s), Members, Subscribers, or Farmers of a recognised Hunt, or their respective spouses or children, or a person who has paid to a Hunt, the Cap for one days hunting. Regular Serving Members of Her Majesty's Forces may be permitted to ride if so provided by the conditions of the race.**

(iii) In Farmers Races the qualification for riders shall only extend to those persons qualified to enter, their spouses, sons or daughters and if so provided in the conditions of the race, Regular Serving Members of Her Majesty's Forces.

(iv) Unless otherwise stated in the race conditions in Service, Club, Association and Society races only members of the organisations concerned shall be eligible to ride.

52. No person shall ride in a race unless they comply with the conditions of the race for which they are entered.

53. The following persons are not eligible to ride and shall not ride in Point-to-Point Steeple Chases:-

(i) A person who has ever held a professional rider's licence issued from any Recognised Racing Authority.
The exception is a person who has not ridden more than 25 winners under the Rules of any Recognised Racing Authority, and only held one, or a combination, of the following licences:

1. An Apprentice Jockey's Licence issued under the Rules of Racing;
2. A Conditional Jockey's Licence issued under the Rules of Racing;
3. A Steeple Chase and Hurdle race Jockey's Licence issued prior to July 1978 under the Rules of Racing then in force;

For the purposes of the exception in this Sub-Regulation, the British Horseracing Authority may recognise, at its discretion, an equivalent or similar Professional Rider's Licence issued by any Recognised Racing Authority.

(ii) A person who has otherwise ever been paid directly or indirectly for riding in a race, with the exception of expenses approved by the British Horseracing Authority as set out in Appendix A to these Regulations and any trophy advertised in the conditions of any race to be given to a rider.

(iii) A person who has not before weighing out, submitted a signed declaration (i.e. Riders' Qualification Certificate) agreeing to be bound by the Point-to Point Regulations currently in force.

(iv) A person who has been prohibited from participating in Point-to-Point Steeple Chases pursuant to Regulation 2 (ii) or who is a disqualified person pursuant to Regulation 104 or 160 to 164 inclusive.

(v) An amateur rider, whose permit has been suspended by the British Horseracing Authority or by any other Recognised Racing Authority shall not ride in any Point-to-Point race during the period of his, or her, suspension.

(vi) An amateur rider who has been suspended from riding at a Licensed Racecourse under Rule (A)61 of the Rules of Racing shall not ride in any Point-to-Point race during the period of such suspension.

54. Any horse ridden in a race in contravention of Regulations 50, 51, 52, 53 or 55 of the Regulations shall, on objection, be disqualified by the British Horseracing Authority.

55. (i) Riders who have had a fall must report to the Point-to-Point Doctor in the Weighing Room immediately. Unless there are exceptional circumstances a fine of **£70** will be imposed on any rider who fails to comply with this requirement.

(ii) A rider who has fallen must be passed fit by the Point-to-Point Doctor before riding again on the same day.

(iii) A rider who has been declared unfit to ride by a Point-to-Point Doctor may not ride in a race until he is passed fit to ride by The British Horseracing Authority Chief Medical Adviser, a Racecourse Medical Officer or Point-to-Point Doctor. Where a period of time is specified in the declaration a rider may only be passed fit to ride after this time has elapsed. Where a rider at a Point-to-Point has suffered concussion, a fracture or dislocation, he can only be passed fit to ride again by the British Horseracing Authority Chief Medical Adviser - see Sub-Regulation 55 (v) below.

(iv) A rider who has been declared unfit to ride by a Racecourse Medical Officer must be passed as fit to ride by:

a) a Racecourse Medical Officer; or
b) a Point-to-Point Doctor, providing the latest injury in the MRB was not a fracture, dislocation or concussion; or
c) the British Horseracing Authority Chief Medical Adviser.

Where a period of time is specified in the declaration, a rider may only be passed fit to ride after this time has elapsed.

(v) A rider who has suffered a concussion at a Point-to-Point meeting can only be passed fit to ride again by the British Horseracing Authority Chief Medical Adviser. All riders who suffer concussion are subject to the British Horseracing Authority Concussion Protocol for Amateur and Point-to-Point Riders as currently in place.

56. No rider may weigh out at more than 13st for any Point-to-Point Steeple Chase, other than races held under the provisions of Regulations 72(ix) (xii) (xiii) and (xiv).

PART 6

AUTHORISATION AND CONTROL OF MEETINGS

60. (i) With the authority of the British Horseracing Authority, upon application made to the Point-to-Point Authority under Regulation 62, Point-to-Point Steeple Chases may be held on one day annually by:

(a) A Hunt, or two or more adjoining Hunts, being Foxhounds, Deerhounds, Harriers or Draghounds (Masters being Members of their respective Associations). In the case of Foxhounds, Deerhounds, Harriers, Draghounds or Bloodhounds, if the races are to be held in a country hunted by Foxhounds, the written permission of the Master of such Foxhounds must accompany the application.

(b) By the Royal Navy, the Army, the Royal Air Force, or by the United Services or by a Naval or Military Formation or Unit, or by a Club, Association or other Society approved by the British Horseracing Authority. The written permission of the Master of Foxhounds or, if in a district not hunted by Foxhounds, the Master of Deerhounds or Harriers hunting the same, in whose country it is proposed to run, must accompany the application in these cases.

(ii) **Deleted**

61. Point-to-Point Steeple Chases authorised under Regulation 60 may be organised and controlled in any one of the following ways:-

(i) By a corporate entity whose principal object shall be the holding of Point-to-Point Steeple Chases and, where linked to a Hunt, must include the furtherance of the interests of the particular Hunt or Hunts in general. Provided that such entity shall:

(a) Be a company incorporated under the Companies Acts as a company limited by guarantee or its nearest equivalent from time to time permitted by the relevant legislation.

(b) Have as its Chairman or as one of its Board of Directors a Master of Hounds of the relevant Hunt (or in the case of any such organisation as is referred to in Regulation 60(i) (b), the Chairman or Director, or equivalent position, of that organisation).

(c) Provide in its Articles of Association for a member of the Board to be appointed every year to act as Secretary of the Point-to-Point and to be authorised by the Company to apply to hold a Point-to-Point under the Rules of the British Horseracing Authority and the Point-to-Point Regulations and to deal with that racing authority with regard to every incidental or consequential matter relating to the application and the meeting.

(d) Be non-profit making in the sense that any surpluses from its activities from time to time shall be applied for the benefit of the relevant Hunt or Hunts or carried forward to support the holding of Point-to-Point Steeple Chases in the future, and on any winding up any surplus shall not be distributed to members but shall be applied to further the interests of the relevant Hunt, Hunts or hunting generally or Point-to-Point Steeple Chasing or the welfare of hounds or horses.

(ii) Alternatively, Hunt Point-to-Point Steeple Chases may be held under the control of the Master of the Hounds and of a Committee appointed by him.

(iii) Alternatively, Point-to-Point Steeple Chases held by the Royal Navy, the Army, the Royal Air Force, or by the United Services or by Naval or Military Formations or Units, or by approved Clubs, Associations or Societies, may be so held under the control of a Committee appointed by the Service, Unit, Club, Etc. Where linked to a Hunt(s), the object of holding such Point-to-Points must include the furtherance of the particular hunt(s), or hunts in general. Where not linked to a Hunt(s), the object of such Steeple Chases must include the furtherance of Point-to-Point Steeple Chasing or the welfare of hounds or horses.

(iv) The above mentioned Corporate Entity or Committee shall:-

(a) Be responsible for compiling a race programme in accordance with Regulation 70.

(b) Ensure that the names of the Stewards, indicating Chairman, and the Veterinary Surgeons, indicating the Senior Vet are sent to the Point-to-Point Authority not less than six weeks before the Point-to-Point meeting is to be run.

(c) Ensure that the arrangements for the attendance of the facilities required by the general public are satisfactory.

(d) Be responsible for the condition of the course and fences and for ensuring that the course is properly measured and marked.

(e) Ensure that a parade ring is provided.

(f) Comply with the requirements of these Regulations and Point-to-Point Instructions unless and to the extent that they may have been waived in writing by the British Horseracing Authority.

(g) Take all reasonable steps to ensure that the Clerk of the Course discharges his obligations under these Regulations and Point-to-Point Instructions or such requirements of the Regulations and Point-to-Point Instructions as are delegated to him by the Committee.

(h) Arrange for the publication of an official card of the Steeple Chases containing the Notices shown in Regulation 70 and Instruction 2.2, the Conditions of each Steeple Chase together with the Safety Factor, the names of the Owners and of the horses and such other particulars as are given in the Entries.

(i) Not allow a rider to be weighed out for any horse until the entrance monies for all entries at the Meeting (and declaration monies if applicable) in the Owner's name have been paid.

(j) See that a clean, distinct number-cloth, of a pattern approved by the British Horseracing Authority, is provided for every horse for which the rider presents himself to be weighed out.

(k) Ensure that all persons acting in an official capacity at the meeting have access to a current copy of The British Horseracing Authority Regulations for Point-to-Point Steeple Chases and the Point-to-Point Instructions.

(l) Unless instructed otherwise by the British Horseracing Authority, at the expiration of fifteen days after the Meeting, pay over all prizes to the persons entitled.

(m) Lodge at the Point-to-Point Authority immediately following and not more than 72 hours from the date of the meeting a marked race card showing the runners, riders, weights carried, placed horses and the distances between them. Written reports of all enquiries held by the Stewards and objections lodged must also be submitted, together with cheques for all fines imposed. Cheques are payable to the British Horseracing Authority.

APPLICATIONS FOR AUTHORISATIONS AND FIXTURES FOR MEETINGS

62. Applications for authorisations and fixtures for meetings, giving the date and place where the meeting is to be held, accompanied by an agreement from the landowner(s)/tenant(s) on whose land the meeting is held and by an initial fee of £120 (including VAT), must be made to the Point-to-Point Authority Office by August 1st each year on the prescribed form.

Where the meeting's accounts for the current Point-to-Point Season are not returned on the prescribed form by the said date, the organising committee shall be liable to a fine of £250.

Following confirmation of the official number of fixtures a supplementary fee is payable by individual fixtures as directed by the Point-to-Point Secretaries Association (PPSA) and agreed by the Point-to-Point Authority. Payment must be made by **31st September 2018**.

Failure to comply shall result in the forfeit of the organising committee's 2016/17 fixture date.

PART 7

GENERAL REGULATIONS FOR PROGRAMMES

70. Each Corporate Entity or Committee appointed under Regulation 61 shall compile a race programme which shall contain:-

(i) The following notices:-

(a) "These Meetings are held subject to the British Horseracing Authority Regulations for Point-to-Point Steeple Chases."

(b) "No horse which has been disqualified or is not qualified pursuant to Regulation 150, 151 or 152 and no person who has been prohibited by the British Horseracing Authority from participating in Point-to-Point Steeple Chases pursuant to Regulation 2(ii) or who is a disqualified person pursuant to Regulation 104 or 160 to 164 inclusive shall be eligible to take part in these Steeple Chases".

(ii) The conditions of the Steeple Chases.

(iii) The date and place of the meeting together with the time from which penalties for winning will be incurred.

(iv) The name and address of the Receiver of Entries together with the time and date of their closing which shall not be less than five days before the day of the meeting, (i.e. there shall be four clear days between the day on which entries close and the race day).

(v) The distribution of prize money.

71. In the event of any part of the conditions of a race being omitted from the advertisement the Stewards shall give directions in accordance with these Regulations.

CONDITIONS OF RACES

HUNT MEETINGS

72. The number of Steeple Chases in the programme of the meeting authorised under Regulation 60(i)(a) shall not exceed seven.
If more than one Maiden Steeple Chase is scheduled in the programme, one of them must allow eligibility for the participation of four year olds.
The programme shall not include more than one of the following unless riders' sex conditions are imposed, in which case a race for each sex may be staged:

(i) One Mens Open Steeple Chase.

(ii) One Ladies Open Steeple Chase.

(iii) One Mixed Open Steeplechase if neither (i) or (ii) above are included.

(iv) One Intermediate Steeple Chase.

(v) One Confined Race.

(vi) One Restricted Steeple Chase.

(vii) One Maiden Steeple Chase.

(viii) A second Maiden Steeple Chase with differing conditions from part (vii) above.

(ix) One Steeple Chase limited to Members of, Subscribers to or Farmers of an adjoining recognised pack of hounds that has not:-

(a) Been granted its own Point-to-Point fixture during the current season.

(b) Held, or is not going to hold, a similar race at a Point-to-Point Meeting during the current season.

(x) One Steeple Chase limited to Regular Serving Members of Her Majesty's Forces.

(xi) **Four Steeple Chases comprising of any combination of Steeple Chases limited to members of a Club, Association or other Society approved by the British Horseracing Authority and Conditions Point-to-Point Steeple Chases.**

(xii) One additional Hunt Members Race over natural country which has been authorised by the British Horseracing Authority.

(xiii) One Steeple Chase open to Farmers.

(xiv) One Steeple Chase limited to the Hunt or Hunts authorised to stage the meeting and or to recognised packs of hounds (up to an overall total of four) which have not been granted their own Point-to-Point fixture or whose Point-to-Point(s) have been abandoned, or which will not hold, or have not held, a similar race during the current Point-to-Point season.

(xv) A third Maiden Steeple Chase with differing conditions from Sub-Regulations 72 (vii) and 72 (viii) above.

(xvi) A Steeple Chase to be run over 2m4f in addition to, or instead of, a 4, 5 and 6 year old Maiden Steeple Chase.

73. The number of Steeple Chases in the programme of a meeting authorised under Regulation 60 (i)(b) shall not exceed seven.

If more than one Maiden Steeple Chase is scheduled in the programme, one of them must allow eligibility for the participation of four year olds.

The programme shall not include more than one of the following unless riders' sex conditions are imposed, in which case a race for each sex may be staged. In addition to races limited to members of the Service, Club, etc., one race in each of the following categories may be included:-

(i) One Steeple Chase open to Farmers of hunting country in which Steeple Chases are held (or in the case of a Regimental or approved Club Meeting, to the Farmers resident in the Hunt to which the Regiment or Members of the approved Club subscribe).
(ii) One Mens Open Steeple Chase.
(iii) One Ladies Open Steeple Chase.
(iv) One Mixed Open Steeple Chase if neither (ii) or (iii) above are included.
(v) One Intermediate Point-to-Point Steeple Chase.
(vi) One Confined Race.
(vii) One Restricted Steeple Chase.
(viii) One Maiden Steeple Chase.
(ix) A second Maiden Steeple Chase with differing conditions from Sub-Regulation 73(viii) above.
(x) Up to **Four Steeple Chases comprising of any combination of Steeple Chases limited to members of a Club, Association or other Society approved by the British Horseracing Authority and Conditions Point-to-Point Steeple Chases.**
(xi) A third Maiden Steeple Chase with differing conditions from Sub-Regulations 73 (viii) and 73 (ix) above.
(xii) A Steeple Chase to be run over 2m4f in addition to, or instead of a 4, 5 and 6 year old Maiden Steeple Chase.

WEIGHTS

74. **74.** The minimum weights to be carried in Point-to-Point Steeple Chases shall be as set out in the conditions of the race, which shall be determined consistent with the following table (no horse shall carry less than 10st):

Race type		November and December		1 January to end of February		1 March to end of April		1 May to end of season	
	Sex	Age	Weight	Age	Weight	Age	Weight	Age	Weight
Point-to-Point Steeple Chase	H and G	5+	12st 5lbs or 12st	6+	12st 5lbs or 12st	**6**	12st 5lbs or 12st	5+	12st 5lbs or 12st
	M	5+	11st 12lbs or 11st 7lbs	6+	11st 12lbs or 11st 7lbs	**6**	11st 12lbs or 11st 7lbs	5+	11st 12lbs or 11st 7lbs
	H and G			5	11st 12lbs or 11st 7lbs	**5**	12st 1lb or 11st 10lbs		
	M			5	11st 5lbs or 11st	**5**	11st 8lbs or 11st 3lbs		
	C and G	4	11st 12lbs or 11st 7lbs	4	10st 12lbs or 10st 7lbs	4	10st 12lbs or 10st 7lbs	4	**10st 12lbs or 10st 7lbs**
	F	4	11st 5lbs or 11st	4	10st 5lbs or 10st	4	10st 5lbs or 10st	4	**10st 5lbs or 10st**
Point to Point Steeple Chase confined to Ladies	H and G	5+	11st	6+	11st	**6+**	11st	5+	11st
	M	5+	10st 7lbs	6+	10st 7lbs	**6+**	10st 7lbs	5+	10st 7lbs
	H and G			5	10st 7lbs	**5**	**10st 10lbs**		
	M			5	10st	**5**	**10st 3lbs**		
	C and G	4	10st 7lbs	4	10st	4	10st	4	10st
	F	4	10st	4	10st	4	10st	4	10st
Mixed Open Point-to-Point Steeple Chases	H and G	5+	11st 7lbs	6+	11st 7lbs	**6+**	11st 7lbs	5+	**11st 7lbs**
	M	5+	11st	6+	11st	**6+**	11st	5+	**11st**
	H and G			5	11st	**5**	**11st 3lbs**		
	M			5	10st 7lbs	**5**	**10st 10lbs**		
	C and G	4	11st	4	10st	4	10st	4	**10st**
	F	4	10st 7lbs	4	10st	4	10st	4	**10st**

During January and February the weights to be carried by mares aged **six** years old and upwards may be set at any weight between 11st 7lbs and 12st 5lbs. The five year old mares shall have a 7lbs allowance and four year old fillies shall have a 21lbs allowance.

From 1 March until the end of the season the weights to be carried by mares aged **five** years old and upwards may be set at any weight between 11st 7lbs and 12st 5lbs. The four year old fillies shall have a 21lb allowance.

PENALTIES

75. Penalties for winning may be imposed at the discretion of the Committee or Corporate Entity except:

(i) In Mens Open Steeple Chases where the weight is 12st, a penalty of
- 7lbs must be imposed for the winner of a Steeple Chase or Hurdle race under the Rules of any recognised Turf Authority
- 4lbs must be imposed for the winner of two Mens or Mixed Open Point-to-Point Steeple Chases, and
- 7lbs must be imposed for the winner of three or more Mens or Mixed Open Point-to-Point Steeple Chases,

where the race won was on or after the relevant date in Sub Regulation (ix).

An allowance of 5lbs shall apply to riders referred to in Sub Regulation (x).

(ii) In Ladies Open Steeple Chases where the Committee decides to impose penalties (except on or after February 1st of the current season when the following penalties shall be mandatory), a penalty of
- 7lbs must be imposed for the winner of a Steeple Chase or Hurdle race under the Rules of any recognised Turf Authority,
- 4lbs must be imposed for the winner of two Mens, Mixed or Ladies Open Point-to-Point Steeple Chases
- 7lbs must be imposed for the winner of three or more Mens, Mixed or Ladies Open Point-to-Point Steeplechases,

where the race won was on or after the relevant date in Sub Regulation (ix).

An allowance of 5lbs shall apply to riders referred to in Sub Regulation (x).

(iii) In Mixed Open Steeple Chases a penalty of
- 4lbs must be imposed for the winner of each Steeple Chase or Hurdle race under the Rules of any recognised Turf Authority,
- 3lbs must be imposed for the winner of each Mens, Mixed and Ladies Open Point-to-Point Steeple Chase,

where the race won was on or after the relevant date in Sub Regulation (ix).

Penalties are cumulative but the maximum penalty cannot exceed 12lb.

An allowance of 5lbs shall apply to riders referred to in Sub Regulation (x).

(iv) In Intermediate Steeple Chases where the weight is 12st, a penalty of 5lb must be imposed for the winner of each previous Confined Steeple Chase **Conditions Point-to-Point Steeple Chase or Club, Association, Service or Society Point-to-Point Steeple chase.**

(v) In Confined Steeple Chases where the weight is 12st, a penalty of
3lbs must be imposed for the winner of each Confined, **Conditions or Club Point-to-Point Steeple Chase;**
5lbs must be imposed for the winner of each Mens, Ladies or Mixed Open Point-to-Point Steeple Chase;
7lbs must be imposed for the winner of each Steeple Chase or Hurdle Race under the Rules of any Recognised Racing Authority
where the race won was on or after the relevant date in Sub Regulation (ix). Penalties are cumulative.

(vi) If in the conditions of a race a fixed penalty has to be carried for winning a race specified by name, horses running a dead heat for such race shall carry the penalty fixed as if the race has been won outright.

(vi) Penalties are not cumulative unless so declared by the Point-to-Point Regulations or conditions of the race.

(vii) No horse may carry more than 13 stone in any Point-to-Point Steeple Chase race, other than races held under the provisions of Regulations 72(ix) (xii) (xiii) and (xiv).

(ix) In calculating whether a horse must carry a penalty under this Regulation, wins shall only be counted where they were on or after **June 2nd , 2016** for National Hunt wins under Rules or since the start of the **2016/17** Point-to-Point Season **(1st July 2016)** for wins in Point-to-Points.

(ix) Riders in Point-to-Point Steeple Chases outlined in Sub Regulations (i), (ii) & (iii), who prior to the Thursday before the stated date of a Point-to-Point Steeple Chase, have not ridden more than 5 winners under the Rules of Racing, the Rules of a recognised Racing Authority, the Regulations for Point-to-Point Steeple Chases or Arabian Horse Races shall receive 5lbs.

PRIZE MONEY

76. (i) (a) The total prize money or other prize for an Open (Men's, Ladies or Mixed) Steeple Chase shall be £325- £1000 and of any other Steeple Chase, £250-£750, save with the express permission of the British Horseracing Authority.

(b) Save with the express permission of the British Horseracing Authority, no further prize money or award from any source whatsoever shall be given or accepted in any one race or races except:

1. A memento must be given in addition to the prizes permitted in Sub Regulation 76(i) (a) above, and the value of each such memento shall be £50 - £150, and
2. A perpetual Challenge Trophy may be received by the winner and two other Challenge Trophies may also be given in any races as special prizes for certain contestants, and
3. A memento valued at not more than £50 must be given to the winning rider, and
4. An award for the best turned-out horse of no more than £25

(c) No payment shall be made for the transport of horses to or from the Meeting, save with the express permission of the British Horseracing Authority.

(d) If the winner walks over or no horse has been placed second or in any lower place the prize money for the placings shall not be given at all.

(ii) Subject always to the provisions of the Regulations 72 or 73, the British Horseracing Authority may, however, sanction the staging of a series of not less than 10 races, each race complying individually with sub-section (a) of this Regulation as to prize money. Additional sponsored prizes may be awarded separately under a "points system" for a horse, owner or rider obtaining the most (or a stipulated) number of points in a Point-to-Point Season in any area or areas. Application to stage a "points system" series, together with details of the total value of the prize money or any other prize awarded at the conclusion of the series, must be submitted to the British Horseracing Authority, for approval by them, not later than 1st September in the year preceding the staging of the series.

PART 8

THE COURSE

80. (i) All races with the exception of those in Sub-Regulation (ii) below shall be run over a distance of not less than 3 miles, and there shall not be less than 18 jumps in any race, unless otherwise approved by the Stewards of the Meeting in accordance with Regulation 13 (iii), or the provisions of Regulation 122 (iv) have been enacted.

(ii) Maiden races for 4, 5 and 6 year olds, and one Point-to-Point Steeple Chase per fixture (which may be in addition to a Maiden race for 4, 5 and 6 year olds), with the approval of the British Horseracing Authority, may be run over 2 miles 4 furlongs.

(iii) Except with special permission from the British Horseracing Authority there shall not be less than seven fences.

(iv) There shall be adequate wings to all fences.

(v) There shall be at least one open ditch guarded by a rail.

This Regulation shall not apply to all courses run entirely over banks or walls, or to Point-to-Point Steeplechases run across country, except that they shall be of not less distance than three miles.

PART 9

ENTRIES

90. Entries shall close at the time advertised in the programme and no entry shall be admitted on any grounds after that time, unless the Minimum Fixture Entry Threshold has not been met. **No alteration shall be made to the Entries list after 5pm on the Tuesday following close of Entries.**

91. (i) Entries shall be made in the name of one person or if entered under an assumed name, as detailed in Regulation 42, one of the owner's names must be given after the title. In either case the name under which the entry is made must be the owner or part owner of the horse entered and who agrees to be bound by the current British Horseracing Authority Regulations for Point-to-Point Steeple Chases.

(ii) The entry shall state the name, age, sex, colour and breeding of the horse, such penalties and allowances to which he may at the time of entry be subject, and (except for races confined to the Hunt or Hunts promoting the meeting) the name of the Hunt from which the horse's certificate was issued.

92. (i) Entries, and alterations or withdrawals of such entries before the time of closing shall be made in writing, signed by the owner of the horse. For the purpose of this Regulation a facsimile or electronic mail message shall be deemed to be in writing.
Entries may also be made online through the National Point-to-Point website for meetings where advertised.
Telephone Entries shall be accepted if made to the Point-to-Point Racing Company based at Weatherbys.

(ii) No horse shall be entered in the name of any person as his owner unless that person has a beneficial interest in the horse at least equal to that of each other person with a beneficial interest.

(iii) No horse shall be entered more than once for the same race.

(iv) When the name of the horse has been changed or altered on registration, the name under which he has previously run in Point-

to-Point Steeple Chases, as well as his new name, must be given in every entry during the current Point-to-Point Season.

93. (i) Subject to Defence Council Instructions a hired Charger or Troop Horse hired for Hunting may be entered as the property of the Officer or Service man or Service woman by whom it is hired. Army numbers must be given in every entry.

(ii) Hirelings for which Hunters' Certificates have been obtained during the current Point-to-Point Season may be entered as the property of the hirer in races confined to:-

(a) Officers on the Active List of the Royal Navy (including the Royal Marines), the Regular Army, or the Royal Air Force.

(b) Resident Members of the Universities of Oxford and Cambridge.

(c) cadets of the Royal Naval, Military and Air Force Colleges.

TRANSFER OF ENGAGEMENTS

94. When any engagement is transferred the transferor becomes liable on the default of the transferee for the entrance fee and any other sums due under the conditions of the race.

95. (i) In cases of changes of ownership the written acknowledgement of both parties that the horse was transferred with engagements together with details of the engagements transferred, must be lodged with the Clerk of the Scales before the horse starts for such engagements.

(ii) If a horse starts for any race without such transfer having been lodged, he shall, on objection, be disqualified, unless it be proved to the satisfaction of the British Horseracing Authority that the omission was accidental, in which case the person responsible shall be fined not less than £120.

PART 10

FEES

100. The Nominator as well as every owner of the horse at the time of nomination is liable for the entrance fee due under the conditions of the race. If the entry is transferred to any other person the nominator is liable only in case of default by the transferee.

101. Entrance money if so required must be paid at the time of entry and declaration money if so required must be paid at the time of declaration.

102. For all meetings, the organising Committee may, with the permission of the British Horseracing Authority, make it a condition of a race that entry fees, declaration money, or fines in respect of horses entered for races which are never run or are void as a result of circumstances beyond the control of the organising Committee shall not be returned (unless the British Horseracing Authority determines otherwise).

THE FORFEIT LIST

103. (i) The Forfeit List shall be kept at the Racing Calendar Office and is available on the Racing Administration website (www.racingadmin.co.uk). Amendments to the List will be published in the Racing Calendar at the first practical publication date.

(ii) The Forfeit List shall include all arrears which have been notified by the stakeholder of any meeting held under the Rules of Racing or as otherwise provided under the Rules of Racing, and shall state the name or names of the persons or Companies from whom such sums are due. "Arrears" which have been so published must be paid direct to the Racing Calendar Office, and until so paid the name or names of the persons or Companies shall not be removed from the List.

104. (i) So long as the name of a person is in the Forfeit List, even though the arrears arose or the Forfeit List was published under the previous Rules of Racing or National Hunt Rules, he is a disqualified person.

(ii) When a person's name appears in the Official Forfeit List published by a Recognised Racing Authority he shall be a disqualified person until his name ceases to appear in such list unless the British Horseracing Authority declares otherwise. An application for such a declaration must be made to the British Horseracing Authority within seven days of the first publication of the person's name in such list.

V.A.T.

106. Without prejudice to the effect of any Regulation specifically imposing liability to V.A.T., it is hereby expressly declared that where a fee or other sum of money is payable under these Regulations the amount of V.A.T. chargeable on that payment shall also be paid by the person making the payment in addition to the fee or other sum of money so payable. For the avoidance of doubt it is hereby expressly declared that nothing in this Regulation shall in any circumstances be taken as relieving any person from any obligation to pay V.A.T. in addition to any fee or other sum of money payable under these Regulations.

PART 11

BEFORE THE RACE

WEIGHING ROOM OR TENT

110. No person shall, without special leave from the Stewards be admitted to the weighing room or tent or rider changing areas except the owner and rider, or other person having care of a horse engaged in the race, and any person refusing to leave shall be reported to the Stewards.

WEIGHING OUT

111. (i) No rider shall be weighed out unless the name of the race and of the horse and rider have been given in writing (together with any declaration money if applicable) to the Declarations Clerk not less than three-quarters of an hour before the time the race is due to be run, taking account of any rescheduled race times due to any divisions on the day. (i.e. if racing is delayed or if there are divisions on the day, the declaration time should be put back accordingly). Such declaration may only be made by the owner or some other person on production of a written authorisation from the owner(s). For all such declarations the rider must comply with the requirements of Regulation 50(ii), 55(iii), (iv), (v) and 56. Regular serving Members of Her Majesty's Forces must produce similar evidence as to their qualification.

(ii) (a) No rider may be declared to ride more than one horse in any race (as published in the Racecard) unless it is anticipated that the Safety Factor will be exceeded and the race divided under Regulation 113.

(b) If a rider is declared to ride two or more horses when it is anticipated that a race will be divided, the rider must, by the time of declaration, state their order of preference to the Declarations Clerk and inform the respective owner or the owner's representative of their order of preferred horses accordingly. The respective owner, or the owner's representative, of the non-preferred horse(s) must, prior to

declarations closing, state whether the horse will be withdrawn or name an alternative rider who will be substituted, in the event that the race does not divide. If the race is not subsequently divided the named alternative rider will be the declared rider of the non-preferred horse.

(iii) In exceptional cases, (which would affect all horses equally, for example a sudden change of weather conditions), the Stewards may extend the time allowed for declaring runners under these Regulations, weighing, declaring weight, and for exhibiting the numbers.

(iv) The Owner of any horse declared to run under Sub-Regulation (i) of this Regulation in a race for which it is not qualified under these Regulations or the conditions of the race is guilty of an offence.

(v) Every qualified horse which has been declared a runner under Sub-Regulation (i) of this Regulation and in respect of which the declaration has not been withdrawn prior to the time fixed for declaration, shall run in that race. If any horse, whether qualified or not, does not run the Stewards shall impose a fine of £155 on the owner unless the owner and/or his representative satisfies the Stewards on the day of racing that the failure of the horse to run was due to circumstances outside their reasonable control.

112. The numbers of the declared runners shall be exhibited without delay. When the numbers have been exhibited, no alteration or addition can be made without the leave of the Stewards.

113. Unless the Stewards determine otherwise, the Stewards must order a division to be made at the time of declaration, if the number of declared runners exceed the Safety Factor. When such divisions are ordered, they shall be run consecutively.

114. (i) Each rider must be weighed for a specified horse by the Clerk of the Scales, at the appointed place, not less than a quarter of an hour before the time fixed for the race, unless the Stewards are satisfied that the rider has been delayed due to circumstances outside the rider's control.

(ii) When weighing out or weighing in, the rider must put into the scale and include in his weight everything that the horse is to carry or has carried except the skull cap, whip, bridle (including sheepskin cheek pieces), rings, plates, blinkers, hood, visor, eyeshield or eyecover and anything worn on the horse's legs. When weighing out or weighing in, the rider in addition to the items detailed above, will also exclude from the scale any breast plate, breast girth,

martingale, muzzle or neck strap that the horse is to carry or has carried.

As riders are required to wear body protectors in all races their weight will be calculated at 2lb less than the weight which is registered on the scale.

(iii) The owner is responsible that the horse carries the correct weight in accordance with the conditions of the race. The owner is required to declare any penalty incurred after the date of entry or the weight his horse will carry or the colours to be worn by the rider if either is different from that appearing on the race-card to the Clerk of the Scales before the rider weighs out. Failure to make this declaration will render the owner liable to a fine of not less than £60.

(iv) If a rider intends to carry over-weight, he must declare the amount thereof at the time of weighing-out, or, if in doubt as to his proper weight, the weight he intends to carry.

(v) If extra weight, or any variation from the weight appearing on the card, be declared at scale for any horse, such weight shall be exhibited with the number and included in the return of the race. Should a rider, who has been declared, not present himself to be weighed, another rider may with the permission of the Stewards, be substituted provided that he can be weighed within the time specified in Sub-Regulation (i) of this Regulation but the Stewards shall not give such permission unless they are satisfied that the rider originally declared is prevented from riding by unavoidable circumstances.

(vi) If a rider, after he has been weighed for a specified horse, and before coming under Starter's Orders, is prevented by accident or illness from riding in the race, another rider may be substituted provided there is no unreasonable delay.

When a rider has been declared to ride under Regulation 111 it shall be an offence if he does not come under Starter's Orders unless the Stewards are satisfied that this was due to:

(a) illness, or rider declared unfit to ride;
(b) unqualified rider;
(c) Some other circumstances acceptable to the Stewards.

If the circumstances are not acceptable to the Stewards a fine of £100 shall be imposed.

(vii) Riders must acquaint themselves in advance with the correct course over which they are to ride.

EQUIPMENT

115. (i) The saddle comprises the saddle itself, the girth, the surcingle, the stirrup irons, and the leathers or webs, breast-plate and breast-girth, and the rider is responsible for the fit condition of the saddle which he uses. Racing boots are only compatible with racing irons. Racing boots may NOT be worn with hunting irons.

(ii) No rider shall be mounted on a horse at the Point-to-Point unless he is wearing a correctly fitted skull cap of a pattern approved by the British Horseracing Authority which must be fastened at all times when mounted on a horse. (See Appendix C).

(iii) Owners, or their authorised representatives, are responsible for ensuring that their horses are properly saddled (which shall include attachment of the weight cloth) when they leave the parade ring.

(iv) No rider in a Point-to-Point steeplechase shall be weighed out for or ride in the race unless he is wearing a body protector which conforms to a standard approved by the British Horseracing Authority. (See Appendix O). (Riders are responsible for the wearing and serviceable condition of the body protector and skull cap).

(v) Except for methods of attaching reins to bridles which have the approval of the British Horseracing Authority all reins must be stitched to or fastened by a buckle to the bridle and the responsibility for this and all equipment worn by the horse with the exception of the saddle rests with the owner.

(vi) No horse shall wear sheepskin cheek pieces during the race unless they are fitted to the horse's bridle prior to the horse entering the parade ring.

116. No horse shall enter the parade ring or run in shoes which have protrusions on the ground surface other than calkins or studs on the hind, limited to 3/8" in height. The use of American type toe-grab plates or those with a sharp flange is forbidden. The Owner is responsible for ensuring that his horses are shod in accordance with the requirements of this Regulation.

117. No substitute for a whip shall be carried in any race and any whip which is carried must comply with the specifications approved by the British Horseracing Authority. (See Appendix E).

118. Every horse running in a race shall carry a number cloth and the owner, or his authorised representative is responsible for ensuring that the correct number is worn and that such number is clearly visible.

119. (i) (a) If a horse is to run in a hood, blinkers, visor or an eyeshield, eyecover, sheepskin cheek pieces or a Tongue Strap a declaration to that effect must be made to the Declarations Clerk by the time fixed for declaration under Regulation 111(i).

(b) If a horse is to run in a hood fitted with blinkers both must be declared as must any combination of hood, blinkers, visor, eyeshield, eyecover or sheepskin cheek pieces. These items, with the exception of the sheepskin cheek pieces, must be presented to the Clerk of the Scales prior to weighing out, whether they are being used individually or as a combination.

Note: for the purpose of this Regulation "blinkers" means a garment fitted over a horse's head with holes for the eyes and ears, one or both eyeholes being fitted with cowls cutting out all vision to the rear, but permitting full forward vision. "Visor" means a garment similar to blinkers in which one or both cowls have holes cut in them, permitting limited side or rear vision. "Hood" means a garment similar to blinkers, incorporating ear covers but without eye cowls. "Eyeshield" means a garment similar to blinkers except that in place of the eye cowls both eyes are covered with a mesh or other transparent material. "Eyecover'' means a garment similar to blinkers except that in place of the eye cowls one eye only is completely covered by an opaque cover (See Appendix R). "Sheepskin Cheek Pieces" means two strips of sheepskin or any other similar material or device which is attached to the cheek pieces of the bridle. "Tongue Strap" means any device used to tie down a horse's tongue.

(ii) (a) When a horse has been declared to run in a hood, blinkers, visor, eyeshield, eyecover, sheepskin cheek pieces or the item or combination of items declared must be worn by the horse on the way to the start and during the race, and if it is not possible for the horse to wear these item(s) the horse shall not run. If the horse does run without the declared item the owner will be in breach of the Regulations and liable to a fine.

(b) When no declaration of hood, blinkers, visor, eyeshield, eyecover or sheepskin cheek pieces has been made they must not be worn by the horse on the way to the start and during the race and if it is not possible for the horse to race without them the horse shall not run. If the horse does run with such non-declared items the owner will be in breach of the Regulations and liable to a fine.

(c) When a horse has been declared to run under Regulation 111(i) with a tongue strap the owner or owner's representative will be required to show the tongue strap to the Veterinary

Surgeon on duty on the course for approval before it is allowed to proceed to the start. If the owner is unable to tie the tongue strap down satisfactorily or if it comes loose and cannot be refitted the horse may still run. However if, as a result, the horse does not run on the insistence of the owner or is withdrawn by the Starter, no penalty will be imposed by the Stewards.

(d) When no declaration of tongue strap has been made a tongue strap must not be worn by the horse in the parade ring, on the way to the start or during the race. Failure to comply with this Sub-Regulation will result in a fine of not less than £75 although the horse may still run.

(iii) The use of blinding hoods or any form of shutter hood is prohibited. No horse may run in a race wearing a garment fitted over its head other than a hood, blinkers, visor, eyeshield, eyecover, sheepskin cheek pieces or any combination thereof. For the avoidance of doubt a visor must not be modified in any way by temporarily covering the holes cut in the cowls. The wearing of any form of attachment to a hood, blinkers, visor, eyeshield, eyecover, sheepskin cheek pieces or any combination thereof is also prohibited.

PART 12

THE RACE

120. (i) All horses shall be brought to the Parade Ring a reasonable time before the signal to mount is given for the race in which they are engaged. No person shall be allowed access to the Parade Ring except Officials of the Meeting, Owners and Riders of horses about to run in the next race and persons attendant on such horses.

(ii) There shall be no preliminary jump allowed before any race. Unless there are mitigating circumstances any rider jumping a fence will be guilty of an offence although the horse shall still be permitted to run.

WALKING OVER

121. In walking over for a race, in no case shall it be necessary for a horse to 'walk over' the entire course, but if only one horse shall have been declared a runner under Regulation 111(i) that horse shall be ridden past the Judge's Box, and shall then be deemed the winner.

THE RACE

122. (i) All red flags must be passed on the rider's right, white flags on his left, except as provided in Sub Regulation 122 (iv).

(ii) If a horse having refused any fence in a race, has been given a lead upon request of its rider/owner over any fence by a mounted person not riding in the race the horse shall on an objection under Regulation 140(iv) be disqualified.

(iii) Except in the circumstances laid down in Sub-Regulation (iv) below, if a horse runs the wrong side of a direction post or flag, or misses a fence his rider shall turn back and ride the course correctly from such point of the race, or he shall pull up. He shall not otherwise continue in the race or his horse shall, on an objection under Regulation 140(iv) be disqualified and he shall be guilty of an offence.

(iv) Where sets of direction markers (black/yellow chevrons) have been inserted in a fence to denote that it is unsafe to jump, riders must

proceed around the fence and shall then continue in the race and follow the correctly marked course as indicated by the direction markers. A rider who disregards the direction markers and jumps the fence will be guilty of an offence unless the Stewards are satisfied that he was taking reasonable steps to avoid it. In such circumstances the horse shall, on objection under Regulation 140(iv), be disqualified unless the Stewards are satisfied that the provisions of Appendix AA apply.

(v) If after the Starter has lowered his flag, a horse is remounted and continues in the race it shall, on an objection to the Stewards under Regulation 140(iv), be disqualified.

(vi) Where a pre-determined person(s) waves a yellow stop race flag indicating that it is unsafe to proceed at any time in the course of a race, each rider who has not passed the flag must pull up, and the race shall be void. A rider who ignores the stop race procedure (yellow flag) will be guilty of an offence.

RIDING OFFENCES

123. (i) When a horse or its rider has caused interference

(a) By dangerous riding in any part of a race the horse shall, on an objection under Regulation 140(iv) be disqualified.

(b) By careless or improper riding in any part of a race, or by accident after the penultimate fence, the horse shall, on an objection under Regulation 140(iv), be placed behind the horse or horses with which it has interfered (or, if such horses were not in the first four, placed last) if the Stewards are satisfied that the interference improved the placing of the horse causing the interference. If they are not so satisfied they shall overrule the objection and order that the placings shall remain unaltered.

With regard to the above, when deciding whether they are so satisfied the Stewards shall make no allowance for any ground which the incident may have cost the horse causing the interference.

(ii) The rider of any horse who in the opinion of the Stewards of the Meeting or the British Horseracing Authority, has been guilty of dangerous, careless or improper riding, at any time whilst on the racecourse and whether before or after any race, shall be guilty of an offence.

(iii) When in the opinion of the Stewards any rider has committed any breach or been guilty of any offence under Sub-Regulation (ii) of this Regulation the Stewards shall have the power to fine a rider for

any of the above offences a sum not exceeding £600 and to suspend him from riding until the expiration of the Meeting, or, should they consider such punishment insufficient they shall make a report to the British Horseracing Authority together with any notes of evidence, statements or other materials as may be required by the British Horseracing Authority.

(iv) For the purpose of this Regulation the following definitions apply:

(a) Dangerous Riding
A rider is guilty of dangerous riding if he causes serious interference by:

1. Purposely interfering with another horse or Rider; or
2. Riding in a way which is far below that of a competent and careful Rider and where it would be obvious to such a competent and careful Rider that riding in that way was likely to endanger the safety of a horse or Rider.

(b) Careless riding
A rider is guilty of careless riding if he fails to take reasonable steps to avoid causing interference or causes interference by misjudgement, including when manoeuvring for position.

(c) Improper riding
Improper riding whether mounted or dismounted covers cases of improper use of the whip or **intentionally** striking other riders or horses, and may also include other forms of misconduct in the course of riding such as riding that would be dangerous as defined above but for the fact that it did not cause serious interference.

VOID RACES

124. (i) A race shall be void:-

(a) if all the horses have run at the wrong weights, or

(b) if all the horses have run over the wrong course, or

(c) if it has been started in front of the Starting Post, or

(d) if when the recall flag has been raised no horses have returned to the start, or

(e) if the Starter has declared a false start and the recall flag has not been raised unless all the horses pull up before completing a circuit of the course, and return to the Starter except as provided in Regulation 26(xi)(e), or

(f) if the Judge or a substitute authorised by the Stewards is not in the Box at the time when the first horse passes the winning post or is unable to place any horse, or

(g) if no horse finishes.

(h) if a yellow stop flag is raised.

(ii) If no qualified horse covers the course in accordance with these Regulations the race may be declared void.

FAILURE TO OBTAIN THE BEST POSSIBLE PLACING

125. (i) Every horse which runs in a race shall be run on its merits.

(ii) It shall be the duty of the owner to ensure that adequate instructions to achieve the objective contained in Sub-Regulation 125 (i) above are given to the Rider of any horse in his care. No owner, or other person, shall give instruction to the Rider of any horse which if obeyed could, or would prevent the horse from winning a race or obtaining the best possible placing, neither shall they, it's rider or any other person prevent or try to prevent in any way any horse from winning or obtaining the best possible placing.

(iii) Where any Rider is found in breach of Regulation 127 the owner of the horse in question shall be deemed to be in breach of Sub-Regulation 125(ii) and guilty of an offence, unless the owner satisfies the Stewards or the British Horseracing Authority that the rider failed to comply with the instructions given to him under Sub-Regulation 125(ii) which, if followed, should have enabled the Rider to obtain the best possible placing and in this event the Owner shall be found not to have been in breach of Sub-Regulation 125(ii). For this purpose the instructions given to the Rider will be deemed to have included a requirement that, however the race develops, the Rider must take all reasonable and permissible measures throughout the race to ensure that the horse is given a full opportunity to win or of obtaining the best possible placing.

126. Where in the opinion of the Stewards or the British Horseracing Authority, a horse has not achieved its best possible placing because one of the circumstances detailed below arose but the Stewards or the British Horseracing Authority is satisfied that there was no intentional disregard of the requirement that the horse be run on its merits, the Rider shall be deemed in breach of this Regulation and guilty of an offence.

The said circumstances are:-

(i) failing to ride out approaching the finish by dropping hands on a horse that would have been placed first, second, third or fourth

(ii) mistaking the race distance and either riding a finish too early or failing to ride a finish

(iii) taking the wrong course

(iv) asking for an effort or making some other permissible manoeuvre too late as a result of serious misjudgement or inattention.

Note: For the purposes of Regulation 126, if a dead heat occurs as a result of any of the above circumstances it will be regarded as the equivalent of not achieving the best possible placing.

127. Where in the opinion of the Stewards or the British Horseracing Authority, a Rider has intentionally failed to ensure that his horse is run on its merits the Rider shall be deemed in breach of this Regulation and guilty of an offence.

128. Where in the opinion of the Stewards or the British Horseracing Authority, a Rider has failed to take all reasonable and permissible measures throughout the race to ensure that his horse is given a full opportunity to win or of obtaining the best possible placing but the Stewards or the British Horseracing Authority is not satisfied the circumstances specified in Regulation 126 or 127 apply the Rider shall be deemed in breach of this Regulation and guilty of an offence.

Note: For the purpose of Regulations 125 to 128 "placing" means any placing given to the horse by the Judge.

DEAD-HEATS

129. Dead-heats shall be subject to the following criteria:-

(a) When two horses run a dead-heat for first place in any race it shall not be run-off and the owners shall divide the prize money. All prizes to which each category of persons connected with the first and second horses would have been entitled shall be observed in dividing the prize whatever the number of dead-heaters and whatever the place for which the dead-heat is run. Each horse that divides a prize for first place shall be deemed a winner (for penalties, see Regulation 75(v)).

(b) When a dead-heat is run for second place, and the winner of the race is subsequently disqualified or its placing altered, the horses which ran the dead-heat shall be deemed to have run a dead-heat for first place. When a dead-heat is run for any lower place and placings are subsequently altered the horses which ran the dead-heat shall be deemed to have run a dead-heat for the new placing.

(c) When under Regulation 123(i)(a) or (b) two or more horses are placed last in the race by the Stewards those horses will be regarded as having dead-heated for last place.

(d) If the dividing owners cannot agree as to which of them is to have a Cup or other prize which cannot be divided, the question shall be determined by lot by the Stewards, who shall decide what sum of money shall be paid by the owner who takes such Cup or other indivisible prize, to the other owner or owners.

PART 13

WEIGHING IN

130. (i) Immediately after pulling up, the riders of the first four horses in each race must ride their horses to the place appointed for unsaddling and present themselves to be weighed by the Clerk of the Scales; the horses shall remain at the appointed place until ordered to be taken away by the Clerk of the Scales. The other riders may dismount within a reasonable distance. If a rider be prevented from riding back to weigh in by reason of accident or illness, by which he or his horse is disabled, he may walk or be carried to the scales.

(ii) It shall be a breach of the Regulations for a rider to dismount before reaching the place appointed for that purpose unless he can satisfy the Stewards that he was justified by the circumstances.

(iii) After completion of the race and upon dismounting the following procedures prior to Weighing-In apply:-

1. The rider must neither accept anything from any person or discard anything that the horse has carried in the race which might affect the weight upon Weighing-In;
2. Riders must always, subject to Paragraph 4 below, remove their own saddles;
3. The rider should then go at once with the saddle to the Weighing Tent to report to the Clerk of the Scales;
4. If due to illness, accident or other extraordinary circumstances it is not possible for the rider to remove the saddle it may be removed by the owner or a representative of the owner. Where this occurs and the rider is able to present himself to be Weighed-In, the saddle must be handed to the rider intact before Weighing-In takes place.

(iv) If a rider does not present himself to be weighed in, his horse, shall, on an objection under Regulation 140(iv), be disqualified unless the Stewards are satisfied that he was justified in not doing so by reason of illness, accident or other extraordinary circumstances, that he weighed out at not less than his correct weight and that the proper weight was carried throughout the race.

131. (i) In weighing in, a rider shall include in his weight everything that the horse has carried in the race, except as provided in Regulation 114(ii).

(ii) If a rider weighs in at 4lbs or more over the weight at which he weighed out he shall be reported to the Stewards. The horse shall not be disqualified under this Regulation.

(iii) If a rider cannot draw the weight at which he weighed out, the Clerk of the Scales shall allow him 2lb. If he cannot then draw the weight, his horse shall, on an objection under Regulation 140(iv) be disqualified.

(iv) If at any time during a race, a horse carries less than the weight he should carry in accordance with the conditions of the race and under these Regulations he shall on objection under Regulation 140(v) be disqualified by the British Horseracing Authority.

132. When the riders have weighed in to the satisfaction of the Clerk of the Scales, at not less than the weight at which they weighed out, except as under Regulation 131(iii) the Stewards shall authorise the announcement of "weighed in" (the all right signal). They shall not authorise this until:-

(i) The period allowed for objecting under Regulation 140(iv) has elapsed.

(ii) Any objection which may have been lodged on grounds mentioned in Regulation 140(iv) has been decided.

(iii) A decision in any enquiry under Regulation 141(iii) has been made.

No objection on any grounds other than those mentioned in Regulation 140(iv) shall be entertained prior to "weighed in" (the all right signal).
After the all right signal has been given, no alteration shall be made to the numbers of the winner or placed horses on the board.

PART 14

DISPUTES, OBJECTIONS AND APPEALS

140. (i) If an objection to a horse engaged in a race be made not later than half an hour before the race in question, the Stewards may require his qualification to be proved before the race; and in default of such proof being given to their satisfaction they may declare him disqualified.

(ii) An objection to the distance of a course officially designated must be made before a race.

(iii) An objection to any decision of the Clerk of the Scales must be made at once.

(iv) An objection to a horse on the grounds of

- interference or any act on the part of his rider, or
- his not having run the proper course, or
- the race having been run on a wrong course, or
- any other matter occurring in the race, or
- any other matter occurring before weighing in, or
- the rider not presenting himself to weigh in, or
- the rider not drawing the weight at which he weighed out, or
- any other matter in respect of which a Regulation provides for an objection to be lodged under this Sub-Regulation

must be made within five minutes after the winner has been weighed in, or, within five minutes of the time the Judge has announced his decision on all placings, whichever be later (see also Regulation 141(iii)), or should the Judge revise his original decision before the five minutes have elapsed, within five minutes of the revised decision being given. The Stewards may extend the above period if they are satisfied that it could not have been made within that time.

No objection on any other ground than these shall be heard within this time.

(v) Subject to Sub-Regulation 140(vi) an objection on any grounds other than those laid down in Sub-Regulation 140(iv) shall be received by the British Horseracing Authority within fourteen days of the conclusion of a Meeting.

(vi) In cases of fraud, or wilful mis-statement, there shall be no limit to the time for objecting provided the British Horseracing Authority is satisfied there has been no unnecessary delay on the part of the objector.

(vii) Nothing in this Regulation shall restrict the operation at any time of Regulations 1, 2 and 14.

141. (i) Every objection shall be in writing, and must be signed by the owner or rider of some horse engaged in the race, or by a person holding a written authorisation of the owner as required under Regulation 111(i) and must in cases coming under Regulation 140(iv) be made to the Clerk of the Scales and in other cases to the Clerk of the Course. A deposit of £60 shall be made with every objection lodged, which, if the case be decided against the objector, shall be forfeited to the British Horseracing Authority, unless the Stewards shall certify that there was a good and reasonable ground for the objection.

(ii) An objection may also be made without deposit by a Steward or Official of a Meeting in his Official capacity or by an employee of the British Horseracing Authority. Such objection shall be in writing and signed by the Steward, licensed Official or employee of the British Horseracing Authority.

(iii) An enquiry called for by the Stewards on any matter contained in Regulation 140(iv) within the time prescribed by Regulation 140(iv) shall have the force and consequences of an objection and all relevant Regulations shall operate as if an objection had been lodged.

(iv) An objection cannot be withdrawn without the leave of the Stewards.

142. (i) Every objection in cases coming under Sub-Regulation (v) of Regulation 140 shall be decided by the British Horseracing Authority.

(ii) Every other objection shall be decided by three Stewards and their consideration of an objection under Regulation 140(iv) shall constitute an enquiry under Regulation 141(iii). Should no decision be given by the Stewards within seven days of the objection being lodged, the Clerk of the Course shall report the case to the British Horseracing Authority who may at its discretion decide the matter and if they consider there has been any negligence, order any additional expense arising therefrom to be defrayed out of the funds of the Meeting at which the case occurred.

(iii) All reasonable costs and expenses in relation to determining an objection or conducting an enquiry in relation thereto, and any reasonable compensation for outlay incurred, shall be paid by such person or persons, and in such proportions as the British Horseracing Authority shall direct, except in the case of objections under Sub-Regulation (iv) of Regulation 140 determined by the Stewards when they shall be paid as the Stewards shall direct.

143. **Deleted.**

144. Pending the determination of an objection, or appeal, any prize which the horse objected to may have won or may win in the race, shall be withheld until the objection or appeal is determined. An objection or appeal (as defined by this Regulation), when the subject of an appeal to an Appeal Board, is not determined until the appeal to an Appeal Board is disposed of, or until any further enquiry resulting from such an appeal has been completed.

145. Where the British Horseracing Authority or the Stewards have disqualified a horse which has won or been placed in a race the horse shall be removed from the placings, shall not be entitled to any prize and the other horses shall take positions accordingly. Where the placing of a horse has been changed by the British Horseracing Authority or the Stewards under the provisions of Regulation 123(i), including being placed last, the horse concerned will only be entitled to the prize for the position in which it has been placed by the British Horseracing Authority or the Stewards and the other horses shall take positions accordingly.

APPEALS

146. Any owner or rider of a horse in a race the subject of an objection under Regulation 140(iv) or enquiry under Regulation 141(iii) who is aggrieved by the Steward's decision, or any person upon whom any form of penalty has been imposed by the Stewards shall be entitled to appeal to the British Horseracing Authority.

147. The Appellant shall lodge a notice of appeal with the British Horseracing Authority within seven days, together with a deposit of £500, or £220 when a fine of £500 or less is imposed. The deposit which shall be forfeited or returned as the British Horseracing Authority considers appropriate.

148. (i) The Notice of Appeal must be signed by the Appellant, his authorised agent or his solicitor and state the grounds of appeal in general terms.

(ii) Where the Appeal is from the imposition of a fine of £250 or less the Notice of Appeal shall also state whether the Appellant wishes:

(a) a personal hearing, or
(b) the Appeal to be decided on a submission of written evidence.

(iii) In the event of an appeal by submission of written evidence the Appellant must within 7 days of the Notice of Appeal being lodged submit to the British Horseracing Authority such written evidence and representations as he wishes to be considered by the British Horseracing Authority. The British Horseracing Authority may request the Stewards whose decision is subject to appeal also to submit written evidence. In the event of the British Horseracing Authority requiring further information for the purpose of considering the Appeal they may direct that a written summary of evidence be compiled by such person as is designated by them. The written summary of evidence must be signed by the Appellant. If the Appellant fails to sign the written summary of evidence the British Horseracing Authority will treat the Appeal as one where the Appellant has required a personal hearing.

(iv) The Appeal shall be considered by the British Horseracing Authority. Where the Appeal is from the imposition of a fine of £250 or less and the Appellant has not requested a personal hearing, the Appeal shall be considered in his absence, and the British Horseracing Authority shall communicate its decision to him in writing prior to publication.

(v) The British Horseracing Authority may confirm or reverse or otherwise vary the decision of the Stewards including exercising any of the powers given to them by Regulation 2.

149. On hearing of an appeal the British Horseracing Authority may exercise its powers as to costs in accordance with Regulation 2(iv)(b).

PART 15

DISQUALIFICATION OF HORSES

150. (i) Any horse which has been the subject of fraudulent practice may, at the discretion of the British Horseracing Authority, be disqualified for such time and for such races as they shall determine.

(ii) Where a horse has been the subject of an examination under Regulation 13(vi) and the result of an analysis of any Sample is positive the horse shall be disqualified for the race in question and may at the discretion of the British Horseracing Authority be disqualified for such time and for such races subsequent to the race in question as they shall determine. For the purposes of this sub-Regulation a positive analysis is as defined in Regulation 45(ii).

(iii) Where a horse is disqualified under Regulation 150(ii) following an analysis of a stored sample the following provisions shall apply unless the person concerned satisfies the British Horseracing Authority that there are exceptional reasons why parts a) and b) of this sub-regulation should not apply:-

(a) All prize money paid to the Owner, shall be repaid by them to such person as the British Horseracing Authority may at the time direct or failing any such direction to the British Horseracing Authority itself;

(b) Any physical prize or trophy awarded to a winning Owner of the disqualified horse shall be surrendered by the Owner to the British Horseracing Authority;

(c) There shall be no alteration in the placing of any other horse in the race.

151. A horse is not qualified to be entered or start for any race:-

(i) If it has run at any unrecognised meeting.

(ii) If and so long as it is in the ownership or part ownership of a disqualified person, or so long as any disqualified person has any interest in such horse's winnings in such race.

(iii) If and so long as it is in the stable of, or under the care and management of, a disqualified person.

(iv) In any case in which it is by these Regulations or by the conditions of the race not qualified.

(v) If it has been declared disqualified or suspended by the British Horseracing Authority or by any Recognised Racing Authority, except that entries may be accepted for such horses during the period of disqualification or suspension for races due to be run outside such period. Where a horse has been suspended by a Recognised Racing Authority such suspension is effective unless the British Horseracing Authority declares that the suspension shall not have effect under these Regulations. An application to the British Horseracing Authority for such a declaration may be made by the Owner of the horse provided all procedures for appeal available under the Rules of the Recognised Racing Authority have been exhausted. The application must be made in writing to the British Horseracing Authority within 48 hours of the final dismissal of appeal under the Rules of the Recognised Racing Authority, or, (if there is no procedure for appeal), within 48 hours of the order for suspension. An application received after this time will only be considered if the British Horseracing Authority is satisfied this has been made at the earliest opportunity and that it was not possible for it to have been made within the time limit.

(vi) Unless it and its sire and dam are each the produce of a natural service or covering, and unless a natural gestation took place in and delivery was from the body of the mare in which the horse was conceived.

152. A horse is not qualified to start for any race:-

(i) Unless it is duly entered for the same and unless it has been declared a runner under Regulation 111(i).

(ii) if it has been tubed (tracheostomy). An exception to this Regulation is:-
(a) the tubing took place before 1st October 2013, and

(b) the owner is able to produce a certificate, signed by the veterinary surgeon, to this effect.

(iii) If it has an implant other than one which is pharmacologically inactive.

(iv) If it has been the subject of a neurectomy operation.

(v) If the vaccination section of its passport has not been completed as required under Regulation 36, except that this Sub-Regulation shall

not be invoked on the first occasion it applies, but will be invoked on all future occasions until the requirements of Regulation 36 are duly satisfied.

(vi) If its rider has not weighed out in accordance with Regulation 114.

(vii) If it has received any vaccination against Equine Influenza on the day of the race, or on any of the 6 days prior to the day of the race in which the horse is declared to run.

(viii) If, in the opinion of a Veterinary Officer, it has received no vaccinations against equine influenza.

(ix) If, in the opinion of a Veterinary Officer or Veterinary Surgeon, its identity has not been correctly verified.

(x) If the passport is required for inspection by the Veterinary Officer under Regulation 35(ii) and the passport has not been produced three-quarters of an hour before the race or the owner or his authorised representative has not confirmed in writing that he holds the passport and that the horse is correctly vaccinated by the time fixed for weighing under Regulation 114(i).

(xi) If it has received a blood transfusion for the purpose of enhancing its performance in that race.

(xii) If it has been suspended by the British Horseracing Authority under the Rules of Racing (which includes provision for a six-day suspension of a non-runner which is self-certified under the Rules of Racing).

(xiii) If it is more than 120 days pregnant.

(xiv) If it has already run in a race, other than a "walk-over", run on the same day, unless specific permission has been granted by the Stewards of the meeting.

(xv) If it has received any substance on the day of the race by injection, orally or any other method other than normal feed and water by mouth until it has completed the race unless special dispensation has been granted from this requirement by a Veterinary Officer.

(xvi) If it carries more than 13 stone in any Point-to-Point Steeple Chase race, other than races held under provisions of Regulations 72(ix) (xii) (xiii) and (xiv).

153. If a horse which is not qualified according to Regulations 150, 151, or 152, be entered or run for any race, it shall on objection under Regulation 140(v) be disqualified by the British Horseracing Authority.

154. Any horse ridden in a race in contravention of Regulations 50, 51, 52, 53 or 55 of the Regulations shall, on objection, be liable to be disqualified by the British Horseracing Authority.

PART 16

PROHIBITED PRACTICES AND DISQUALIFICATION OF PERSONS

160. Any person who administers or attempts to administer or allows or causes to be administered or connives at the administration to a horse of a Prohibited Substance with intention to affect the racing performance of that horse in a race or with knowledge that its racing performance in a race could be affected shall be guilty of a breach of the Regulations and may be declared a disqualified person or otherwise penalised by the British Horseracing Authority under Regulation 2 of these Regulations.

161. Any person may be declared a disqualified person or otherwise penalised by the British Horseracing Authority in accordance with their powers under Regulation 2 of these Regulations who:-

(i) Gives or offers, or promises directly or indirectly any bribe in any form to any person having official duties in relation to a race or race horse, or to any owner, stable proprietor, rider, agent or other person having charge of, or access to, any racehorse; or

(ii) Being a person having official duties in relation to a race, or being an owner, stable proprietor, rider, agent or other person having charge of, or access to, any racehorse, accepts or offers to accept any bribe in any form; or

(iii) Wilfully enters or causes to be entered for any race or causes to start in any race a horse which is not qualified to run in that race; or

(iv) Gives, allows or causes a blood transfusion to be given to a horse for the purpose of enhancing its performance in a race, or

(v) Is guilty of or conspires with any other person for the commission of, or connives at any other person being guilty of, any corrupt or fraudulent practice in relation to racing in this or any other country; or

(vi) Is convicted of any criminal offence in relation to racing in this or any other country.

And any such act shall constitute a breach of these Regulations.

162. Deleted

163. (i) (a) Subject to Sub-Regulation (i)(b) of this Regulation any person on whom disqualification has been imposed by any Recognised Racing Authority is a disqualified person under these Regulations so long at the disqualification continues unless the British Horseracing Authority declares that the disqualification shall not have effect under these Regulations. An application to the British Horseracing Authority for such a declaration may be made by the person disqualified provided that he has exhausted all procedures for appeal available under the Rules of the Recognised Racing Authority. The application must be made in writing to the British Horseracing Authority within three days of the final dismissal of appeal under the Rules of the Recognised Racing Authority, or, (if there is no procedure for appeal) within three days of the imposition of the disqualification. An application received after this time will only be considered if the British Horseracing Authority is satisfied that it has been made at the earliest opportunity and that it was not possible for it to have been made within the time limit.

(b) Where a person upon whom disqualification has been imposed by a Recognised Racing Authority has made an application for a declaration under Sub-Regulation (i)(a) of this Regulation the British Horseracing Authority has power to suspend the disqualification under these Regulations pending its decision on the application.

(ii) Any person who owns, trains or rides a horse at an unrecognised meeting in Great Britain or Ireland, or any person who acts in an official capacity in connection with such a meeting, is liable to be declared a disqualified person for a period of twelve months or such a lesser time as the British Horseracing Authority shall think fit, but this Regulation shall not apply to:-

(a) Pony races.

(b) Any other specific event comprising or including a horserace or horseraces in respect of which the British Horseracing Authority has granted an exemption from the effect of Rule (A)38 of the Rules of Racing, the British Horseracing Authority having the power to impose conditions on the granting of such exemption. No application for exemption will be considered unless it is submitted in writing to the British Horseracing Authority Office at least two months before the event is due to take place.

(iii) Any person who offers or promises any reward, either by way of fee, present, expenses, or any consideration whatsoever to a Rider for riding in a race, or any Rider who solicits, accepts or agrees to accept such reward is liable to be made a disqualified person by the British Horseracing Authority.

164. A disqualified person, so long as his disqualification lasts, shall not:-

(i) Act as Steward or Official at any Point-to-Point Meeting.

(ii) Act as a duly authorised agent under Regulation 111(i).

(iii) Enter, run, train, or ride a horse at any Point-to-Point Meeting. If any entry made by a person disqualified or warned off be mistakenly or inadvertently accepted, the same shall despite such acceptance be void and the horse shall not be qualified to be entered or to start.

(iv) Enter any enclosure or other place used for the purpose of a Point-to-Point Meeting.

(v) Deal in any capacity with a racehorse. Any horse found by the British Horseracing Authority to have been dealt with in contravention of this Regulation shall be automatically declared to be disqualified from all future racing until such time as the British Horseracing Authority is satisfied that the horse has been sold on the open market to a purchaser having no previous connections with the disqualified person concerned, whereupon the disqualification shall be removed.

PART 17

MISCELLANEOUS

GENERAL

170. (i) A person must not assist, or encourage, or cause another person to act in contravention of a provision of these Regulations.

(ii) No person shall act in any violent or improper manner on any land or premises used by the Stewards of any meeting or by the British Horseracing Authority.

(iii) No person shall act in a manner which, in the opinion of the British Horseracing Authority, is prejudicial to the integrity, proper conduct or good reputation of horseracing in Great Britain whether or not such conduct shall constitute a breach of any of the foregoing Regulations.

(iv) No person shall without the permission of the British Horseracing Authority associate in connection with horseracing in Great Britain with any person known to be disqualified or otherwise excluded from any premises owned, licensed or controlled by the British Horseracing Authority, whether or not constituting a breach of the Point-to-Point Regulations.

(v) It shall be an offence deliberately to mislead or by an overt act endeavour to mislead the British Horseracing Authority or an Official of the British Horseracing Authority, the Point-to-Point Authority, Official appointed by the British Horseracing Authority or a Clerk of the Course or Official appointed by the Point-to-Point Committee either at any Enquiry or in connection with the administration or control of racing.

(vi) It shall be an offence by any person in relation to the administration or control of racing to:

(a) breach any declaration or undertaking given to the British Horseracing Authority or the Directors, or

(b) provide any inaccurate information, or

(c) omit any relevant information to the British Horseracing Authority or its employees when requested for it, or

(d) fail to comply with a requirement of the British Horseracing Authority or its employees

unless in exceptional circumstances the person concerned is able to satisfy the British Horseracing Authority or its employees that he had satisfactory reason for doing so.

INSTRUCTIONS

171. (i) Every person shall comply with every Instruction or notice of the British Horseracing Authority published in the Racing Calendar and other racing publications from time to time save and to the extent to which in any particular case or cases the British Horseracing Authority may have waived compliance with the Instruction or notice as the case may be.

(ii) Every person shall comply with the instructions of the Stewards of a meeting, or any instruction of the British Horseracing Authority issued pursuant to Regulation 1(xi).

FEES AND FINES

172. Unless otherwise stated, all fees (other than entrance and/or declaration fees) shall be paid to the British Horseracing Authority. Fines and deposits forfeited shall also be paid to the British Horseracing Authority. Fines incurred by owners or riders are to be paid on the day of the meeting and presented to the appropriate member of the Organising Committee.

ELECTRONIC TRANSMISSIONS

173. (i) Electronic transmissions, which shall include facsimiles of prescribed registration forms of application, will be deemed to satisfy the provision for such documents to be in writing. The original documents are not required in the case of such applications including those made online through the Racing Administration Internet Site unless the British Horseracing Authority demands production of the original document should it see fit.

(ii) Electronic transmissions received at the British Horseracing Authority Office of the Racing Calendar Office between 5 p.m. on a Friday and 9 a.m. on a Monday will be deemed to have been received at 9 a.m. on that Monday.

APPLICATION OF REGULATIONS

174. All persons who have agreed to be bound by the British Horseracing Authority Regulations for Point-to-Point Steeple Chases and overseas Owners, Riders, Trainers and their employees of horses trained outside Great Britain and which are entered to run under these Regulations are deemed to have knowledge of them howsoever amended.

A person who has agreed to be bound by the Regulations shall have no right under the Contracts (Rights of Third Parties) Act 1999 to enforce any provision of the Regulations against any other person from time to time bound by the Regulations.

EXCLUSION OF LIABILITY

175. Except that nothing in this Regulation shall exclude liability for death or personal injury resulting from negligence, neither the British Horseracing Authority or its officers, employees or agents shall be liable to any person for any act done or omission made in bona fide discharge or purported discharge of any duties on the part of any such officer, employee or agent under or pursuant to these Regulations.

APPENDIX A

EXPENSES FOR AMATEUR RIDERS

WHICH ARE APPROVED BY THE British Horseracing Authority

1. Expenses apportioned by F.E.G.E.N.T.R.I. for their Championship races under the Rules of Racing.

2. Reasonable expenses to overseas riders paid by sponsors of Amateur or Club races, provided that the proposed expenses have been submitted to the British Horseracing Authority for consideration and, if accepted, are included in the conditions of such races.

3. Assisted or free travel and accommodation offered to Amateur Riders when taking part in invitation races abroad, provided that the invitations are sanctioned by the Racing Authority appropriate to the racecourse concerned.

APPENDIX B

PASSPORT INSTRUCTIONS

A. GENERAL INSTRUCTIONS FOR OWNERS, TRAINERS, APPOINTED AGENTS, BREEDERS AND SHIPPERS

1. **IDENTIFICATION DOCUMENT:** The passport is issued to ensure that a horse can be identified at all times and as such must always accompany the horse. The passport is not a deed of ownership. It is the property of the issuing authority and may be recalled at any time.

2. **ON RECEIPT:**
   A. EACH RECIPIENT MUST IMMEDIATELY CHECK THE IDENTITY OF THE HORSE FROM THE MARKINGS CONTAINED THEREIN AND ANY OTHER RELEVANT INFORMATION. This must be undertaken on EACH occasion that the passport is received. The passport must be signed on the appropriate page to endorse that the records are in order. Discrepancies must be reported immediately to the Racing/ Stud Book Authority (as appropriate) of the country in which the horse is currently resident.

   B. THE PASSPORT SHOULD ALSO BE CHECKED TO ENSURE THAT THE VACCINATION RECORDS ARE IN ORDER. Please ensure that your Veterinary Surgeon records all subsequent vaccinations on the appropriate pages.

3. **REGISTRATION OF NAME:** The passport must be returned to your Racing Authority with an application for REGISTRATION OF NAME. The passport should be checked and endorsed to confirm acceptance upon return.

4. **CHANGE OF MARKINGS/REPORT OF DEATH:** The passport of a horse either in or out of training must be returned to the Racing/Stud Book Authority (as appropriate) of the country in which the horse is currently resident when the horse: IS CASTRATED, ACQUIRES NEW MARKINGS(e.g. SCARS), CHANGES APPEARANCE IN ANY OTHER WAY (Coat colour, etc.),DIES OR IS DESTROYED along with notification of the relevant change.

5. **DAMAGE:**THE PASSPORT IS INVALIDATED IF THE SEAL (SPINE) IS DAMAGED OR BROKEN. In this event make immediate contact with the Racing Authority/Stud Book Authority (as appropriate) of the country in which the horse is currently resident.

6. **LOSS:** IF THE PASSPORT IS LOST, the loss must be notified immediately to the Racing Authority/Stud Book Authority (as appropriate) of the country in which the horse is currently resident.

7. **FOREIGN TRAVEL:** WHEN THE HORSE IS TO TRAVEL ABROAD to race a Racing Clearance Notification (RCN) will normally be required. For those countries which have not adopted the RCN system the passport must be sent to the Racing/Stud Book Authority (as appropriate) of the country in which the horse is currently resident together with the appropriate application form detailing the date of travel and country of destination. The passport will be endorsed and returned in order to accompany the horse on its journey. Such endorsement will be valid for one month from the date of endorsement and one journey only (for special conditions relating to broodmare or stallion travel see Special Instructions C). Should the horse not travel to the country of destination within one month of the endorsement the endorsement should be cancelled by returning the passport to the Racing/Stud Book Authority or by taking the passport to the racecourse for cancellation by an Official.

MEDICINAL TREATMENT: Attention is drawn to the fact that European legislation requires the completion of Section A or B of the medicinal treatment pages of the passport in order that a positive indication is given as to whether or not the animal is intended for slaughter for human consumption. If the animal is intended for human consumption the Medication Record provided for Section B(2) must also be completed. If necessary, please consult your Veterinary Surgeon for further advice on this subject.

B. SPECIAL INSTRUCTIONS FOR OWNERS

Note: This Section is only applicable to owners wishing to run their horses in applicable races e.g. Hunter Chases under the Rules of Racing.

1. **ATTENDING RACE MEETINGS:** It is the owners/trainer's responsibility to ensure that the passport accompanies the horse at all times that it is on property owned, used or controlled by a Managing Executive (Rule (C)24). All runners must occupy a stable in the official stable yard. Owners and their attendants must have the appropriate photo ID to gain access to the secure stable yard.

2. **DEBUTANTS:** Present the passport to the Veterinary Officer on the occasion of the horse's first run in Great Britain or its first run after a change of trainer (Rule (E)15).

3. **OTHER INSPECTIONS:** Present the passport on any other occasion when it appears on the Veterinary Officer's Required Form (PR1) or is requested by the Veterinary Officer. Please note that inspection by such Official does not imply any warranty that the information contained therein is in order.

4. **FOREIGN TRAVEL (Endorsement at the Racecourse):** A trainer wishing to have the passport of a horse travelling abroad to race endorsed at the racecourse by the Clerk of the Scales, must submit the appropriate application by facsimile / electronic mail to the Racing Calendar Office together with a copy of the vaccinations of the horse in question and the name of the racecourse and the date on which the passport will be taken.

APPENDIX C

SKULL CAPS Regulation 115(ii)

Only skull caps that satisfy all the requirements laid down below are approved by the British Horseracing Authority for use by a Rider on a racehorse or for riding in races at Point-to-Points.

A. **Criteria**

1. Skull Caps:-
   - **All skull caps must conform to PAS 015: 2011, SNELL E2001, VG1 01.040 2014-12, or UTAC/CRITT 04/2015.**

   - have a CE marking;
   - be in a serviceable condition;

2. Skull caps shall NOT have a chin cup, cradle or draw lace.

3. The chin strap must pass under the jaw and be attached to the harness by a quick release buckle. METAL HOOKS ARE EXPRESSLY FORBIDDEN.

B. The skull cap must be of the correct size for the individual rider, the harness must be correctly adjusted and the chin strap fastened at all times when mounted on a horse.

C. As skull caps are designed for "single impact only" any skull cap which has been subjected to a severe impact or has been worn by a rider suffering concussion should not be regarded as serviceable and should be replaced.

For further details of approved skull caps please contact The British Horseracing Authority Chief Medical Adviser on: **0207 152 0138.**

APPENDIX D

Deleted

APPENDIX E

WHIPS: Regulations 13(xi) & 117

Only whips which have been approved by a panel nominated by the British Horseracing Authority will satisfy compliance with Regulation 117. In approving any such whip, a panel will have regard to all the specifications set out below including the mandatory shock absorbing characteristics:-

(a) A maximum length, including the flap, of 68 centimetres;

(b) A minimum diameter of 1cm;
The only additional feature which may be attached to the whip is a flap. If a flap is attached it must fall within the specifications below:-

(c) A maximum length of flap from the end of shaft of 10 cms;

(d) A maximum width of flap of 4 cms, with a minimum width of 2 cms

(e) The flap from the end of the shaft must not contain any reinforcements or additions;

(f) There shall be no binding within 23cms of the end of the flap;

(g) The contact area of the shaft must be smooth, with no protrusion or raised surface, and covered by shock absorbing material throughout its circumference such that it gives a compression factor of a least 6mm;

(h) The flap must have similar shock absorbing characteristics to that of the contact area;

(i) The overall weight must not exceed 160 gms.

Clerks of the Scales will from time to time check the whips used by riders to ensure that they fall within the approved specifications. These checks will be carried out at the time of weighing out and any rider found with a whip which does not conform will be advised that if he carries that whip during a race he will be in breach of Regulation 117.

Random checks will also be made by the Stewards in the Parade Ring, and any rider whose whip does not appear to comply with the specifications will be ordered to report to the Clerk of the Scales either before or after the race (as time permits) for the whip to be checked.

APPENDIX F

USE OF THE WHIP

The British Horseracing Authority will not tolerate abuse of the horse and consider its welfare, and the safety of the rider, to be paramount. The whip should be used for safety and encouragement only and they therefore advise all riders to consider the following good ways of using the whip which are not exhaustive:

a. Showing the horse the whip and giving it time to respond before hitting it;

b. Using the whip in the backhand position for a reminder;

c. Having used the whip, giving the horse a chance to respond before using it again;

d. Keeping both hands on the reins when using the whip down the shoulder in the backhand position;

e. Using the whip in rhythm with the horse's stride and close to its side;

f. Swinging the whip to keep a horse running straight.

The British Horseracing Authority has asked Stewards of Meetings to hold an enquiry into any case where a rider has used his whip in such a way as to cause them concern and publish the following examples of uses of the whip which may be regarded as a riding offence:-

1. Hitting horses:
   with the whip arm above shoulder height;
   rapidly without regard to their stride i.e. twice or more in one stride;
   with excessive force;
   without giving the horse time to respond.

2. Hitting horses which are:
   showing no response;
   out of contention;
   clearly winning;
   past the winning post.

3. Hitting horses in any place except:
   on the quarters with the whip in either the backhand or the forehand position
   down the shoulder with the whip in the backhand position
   unless very exceptional circumstances prevail.

4. Hitting horses:
with excessive frequency.

Horses may be subject to an inspection by a Veterinary Surgeon and he will report his findings to the Stewards. Therefore Owners may be required to remove or adjust rugs or sheets.

It is further emphasised that under these Regulations, Owners have a responsibility for giving instructions to their riders, which should include instructions on the use of the whip, especially with horses which may weal. Failure to give adequate instructions or giving instructions which if obeyed could or would lead to a violation of this instruction will result in disciplinary action being taken against owners.

Owners and riders are warned that severe disciplinary action will be taken against any person who is found to be in breach of this Appendix resulting in serious injury to any horse.

APPENDIX G

SPURS

The British Horseracing Authority will not permit spurs of any type to be used in any race.

APPENDIX H

USE OF SPECTACLES AND CONTACT LENSES

In the interest of safety, the British Horseracing Authority, having taken expert medical advice, has decided that no person shall ride under the Point-to-Point Regulations wearing spectacles or lensed goggles.

In the case of people wishing to ride in contact lenses, the Stewards direct that the lenses must be of the soft type.

APPENDIX I

PROHIBITED SUBSTANCES

1. DEFINITION

"Prohibited Substance" means a substance originating externally whether or not it is endogenous to the horse, which falls in any of the categories contained in the list of prohibited substances set out in paragraph 2 below. "Substance" includes the metabolites of the substance and the isomers of the substance and metabolites.

2. LIST OF PROHIBITED SUBSTANCES

The British Horseracing Authority gives notice that the following are Prohibited Substances under the British Horseracing Authority Rules of Racing and Regulations for Point-to-Point Steeplechases.

Substances capable at any time of acting on one or more of the following mammalian body systems:

the nervous system
the cardiovascular system
the respiratory system
the digestive system
the urinary system
the reproductive system
the musculoskeletal system
the blood system
the immune system except for licensed vaccines against infectious agents
the endocrine system

Endocrine secretions and their synthetic counterparts

Masking agents

For the purposes of clarity Prohibited Substances include:-

Anti-pyretics, analgesics and anti-inflammatory substances
Cytotoxic substances
Antihistamines
Diuretics
Local anaesthetics
Muscle relaxants
Respiratory stimulants
Sex hormones, anabolic agents and corticosteroids
Substances affecting blood coagulation

3. Threshold Levels

The British Horseracing Authority gives notice that in accordance with Regulation 45(ii) of the Point-to-Point Regulations they have established the following threshold levels for the substances shown:-

Arsenic	0.3 microgram total arsenic per millilitre in urine
Available Carbon Dioxide	36 millimoles per litre in plasma
Boldenone	0.015 microgram free and conjugated Boldenone per millilitre in urine from male horses (other than geldings)
Dimethyl Sulfoxide	15 micrograms per millilitre in urine or 1 microgram per millilitre in plasma
Estranediol in male horses (other than geldings)	the mass of free and conjugated $5\alpha-$estrane-3β, $17\alpha-$diol to the mass of free and conjugated 5(10)-estrene-3β, $17\alpha-$diol in urine from male horses (other than geldings) at a ratio of 1
Hydrocortisone	1 microgram per millilitre in urine
Methoxytyramine	4 micrograms free and conjugated 3–methoxytyramine per millilitre in urine
Salicylic Acid	750 micrograms per millilitre in urine or 6.5 micrograms per millilitre in plasma
Testosterone	0.02 microgram free and conjugated testosterone per millilitre in urine from geldings, or0.055 microgram free and conjugated testosterone per millilitre in urine from fillies and mares (unless in foal)
Theobromine	2 micrograms per millilitre in urine

Note: The conjugated substance is the substance that can be liberated from conjugates.

4. Categories of Substances for the Purposes of Rules (C)52 and 55
Anabolic Steroids
Peptide hormones and analogues
$\beta 2$ adrenoceptor stimulants except when prescribed by a Veterinary Surgeon.

APPENDIX J

TYING DOWN OF HORSES' TONGUES AND BITTING

The British Horseracing Authority directs that the Owner or Owner's representative shall indicate on the Declaration Sheet if the Owner wishes his horse to be withdrawn if the Tongue Strap cannot be fastened or is shed on the way to the start. The requests will be annotated on the list of declared runners and given to the starter. It will be the starter's responsibility to take a final decision as to whether or not any horse should run in these circumstances, but, in reaching that decision he will take note of any such notification by the owner of the horse or his representative.

The British Horseracing Authority directs that, on every occasion when a horse is to run with its tongue tied, the owner is responsible for showing the horse, with its tongue tied, to the Veterinary Surgeon on duty on the course before it is allowed to proceed to the start. Disciplinary action will be taken against any owner who fails to comply with this notice on each occasion that his horse runs with its tongue tied.

The British Horseracing Authority warns owners that horses should not run with tongue straps or other similar devices which encircle the horse's tongue and are attached either directly or indirectly to the bridle. Should a tongue strap become loose or displaced on the way to the start, it will be the sole responsibility of the Owner or his representative to replace or relocate it.

Veterinary Surgeons will take note of the bitting of every horse brought into the parade ring and only bits which meet with the approval of the Veterinary Officer will be permitted. For the avoidance of doubt horses are not permitted to run in bitless bridles.

APPENDIX K

TUBED HORSES

The British Horseracing Authority gives notice that they do not consider it a safe practice for a tubed horse to run in any form of Martingale. The Stewards have instructed Starters that should a tubed horse wearing a Martingale be presented at the Start they will remove the Martingale before the race. The British Horseracing Authority further instructs that a tubed horse will not run unless the plug in the tube has been removed.

APPENDIX L

ADVERTISING

The British Horseracing Authority gives notice that no paddock sheet or rug used in the Parade Ring of Point-to-Points may bear the name or initials of the owner or trainer in letters larger than 4 inches high.

No other form of advertisement will be permitted either on the horse, its rider, its clothing, or its attendants, except:

i) Sponsorship on Number Cloths

Fixture organisers may make branding sites available to sponsors underneath the racecard number on both sides of the number cloths in a rectangular area exactly 14.5 inches (37cm) by length and 4.5 inches (11cm) by height; or

A logo of any shape may be positioned within the upper rear corner of the number cloth on both sides in an area no larger than 5 square inches (32 square cm). The Sponsors logo/name may appear on both sides of the number cloth, and may be permanently fixed. Full colour is permissible.

ii) Sponsored Horses

Where horses have been formally sponsored by businesses under the Point-to-Point Authority's Horse Sponsorship Scheme (HSS), rugs, sheets and the horse attendants may only carry sponsorship as follows:

A patch of any shape, in area no greater than 32 square inches (206 square cm) must only appear on the corners of the sheet or rug nearest to the hindquarter of the horse, on both the near and off side of the horse.

In addition, the same logo, as carried on the rugs and/or sheets, may appear on the horse attendant's clothing.

The only items that can be branded are outer jackets, sweatshirts/pullovers and shirts/blouses and baseball or other hats/caps. The wearing by Attendants of branded "bibs" defined as loose-fitting items designed primarily for branding, as opposed to clothing, purposes is expressly NOT permitted.

For jackets, sweatshirts/pullovers and shirts/blouses, a patch of any shape, one on the back and one on the front, is allowed. Each should be of an area not greater than a maximum of 32 square inches (206 square cm). If branding appears on the front of the jacket, the centre chest area must be used.

One branding site is allowed on the baseball or other hats/caps. The size of logo should be no more than 9 square inches.

Where horses have been formally sponsored by businesses under the Point-to-Point Authority's Horse Sponsorship Scheme (HSS), owners' colours may only carry sponsorship as follows:

Collar A patch of any shape, but not greater than 1.5 inches X 4 inches maximum (or 3.8 cm x 10.2 cm maximum). Both sides of the collar may be branded.

Chest site A patch of any shape on the front only, but of an area not greater than a maximum of 32 square inches (206 square cm). The Chest is defined as the space on the front of the owners' colours only, below the bottom of the collar and above the level of the elbow.

Appearance: Full colour permissible.

Branding may, at the discretion of a Point-to-Point steward, be covered over or removed in order to comply with these requirements.
These areas are to be calculated by measuring around the outline of the words or logo(s) and will include the space between words if more than one is carried or between words and logo if both are carried. Any lines or box surrounding the words or logo(s) will be considered as part of the maximum area.

Branding may, at the discretion of a Point-to-Point steward, be covered over or removed in order to comply with these requirements.

The branding must bear the same detail as appears in the racecard.

iii) Sponsored Riders

Where riders have been formally sponsored under the Point-to-Point Authority's Riders' Sponsorship Scheme, the following restrictions for branding sites must be followed:-

There shall be three branding sites only available on riders' breeches as follows:

Thigh site Two identical sites, each of 32 sq. inches (209 sq. cm), each site placed on outer sides of breeches, positioned between hip and knee.

Coccyx site — One site only of 10 sq. inches (64 sq. cm), placed on rear of breeches, at base of spine.

There shall be one branding site on riders' clothing worn underneath the owners' colours:

Neck site — One site of 6 sq. inches (38.5 sq. cm), placed on the stock centre front of clothing.

Branding may, at the discretion of a Point-to-Point steward, be covered over or removed in order to comply with these requirements.

The branding must bear the same detail as appears in the racecard.

iv) Post-Race

Race Sponsors' Paddock sheets and rugs may be used for horses after the race.

APPENDIX M

IRISH POINT-TO-POINTERS

The British Horseracing Authority wishes to draw the attention of owners who have purchased Irish Point-to-Point horses which have won, as to their eligibility to participate in the Point-to-Point races in this country. Owners must check with the Irish Turf Club (Tel: 00 353 454 45600) in which races their horses are eligible to run or are subject to carry weight penalties in other categories of race.

For clarification the following interpretations apply:-

a) winners of a "Winners of One" are not eligible for Restricted Races.

b) winners of a "Winners of Two" are eligible for Intermediate Races providing that they have not won a Mens, Ladies, Mixed or Open Lightweight Race.

c) winners of a "Mares Open" are eligible for Intermediate Races and do not carry a penalty for an Open win.

d) winners of an Open Lightweight are considered to be the winners of a Mixed Open.

e) Winners of a "Winners of Three" are deemed to have won an Intermediate race.

APPENDIX N

SCHOOLING OVER FENCES ON POINT-TO-POINT COURSES

1. The British Horseracing Authority wishes to draw to the attention of owners that the schooling of their horses is permitted after racing on any point-to-point course assuming certain criteria are met:

   i) It is at the discretion of the Organising Committee and permission of the Landowner;

   ii) In such cases the ground and fences must be properly put back in readiness for the next meeting;

   iii) It is the Organising Committee's responsibility to ensure adequate medical and veterinary cover is in place when the schooling is taking place.

2. All riders are advised that it is an offence for a rider whose horse has refused at a fence or pulled up to wait for the field to complete a circuit before re-joining the race for either all or part of the remainder of the race. This is a form of schooling in public.

APPENDIX O

BODY PROTECTORS

A body protector must conform to (BS) EN 13158: 2009

Body Protectors may not be modified in any way and must be in a serviceable condition.

For further details of approved patterns please contact the British Horseracing Authority Chief Medical Adviser on: **0207 152 0138**

APPENDIX P

RESTRICTIONS ON THE WEARING OF SHEETS AND RUGS

The British Horseracing Authority gives notice that it does not consider it a safe practice for a horse to run in any sheet or rug and therefore they must not be worn in races. The British Horseracing Authority therefore advises owners to remove all sheets or rugs from their horses prior to them going to the start of the race.

Note: This does not preclude horses from wearing sheets or rugs whilst walking in the parade ring.

APPENDIX Q

COUNTER ANALYSIS OF REPORTED SAMPLES

The British Horseracing Authority direct when an A Sample is reported by the HFL Sport Science (HFL), and the B Sample is to be analysed, either because the British Horseracing Authority require it or the Owner does not accept the result of the analysis of the A Sample (see Appendix Q - Report of a Prohibited Substance) the following procedure relating to counter analysis should be adopted:

1 The procedures in this appendix apply to the handling of any Sample which is subjected to 'B' sample analysis. In this appendix references to the Responsible Person is to a member of the Compliance Department.

2 The Responsible Person will instruct the laboratory nominated by the Owner to conduct and complete the analysis of the 'B' sample no later than 10 working days from receipt of the instruction.
If the selected laboratory is Laboratoire Des Courses Hippiques (LCH) and it is unable to carry out the analysis of the 'B' sample the Responsible Person will make a similar approach to Deutsche Sporthochschule Koln Institut fur Biochemie - Germany (DSKIB). If both LCH and DSKIB are unable to carry out the analysis of the 'B' sample, it will be carried out by LGC under the same terms.

3 The analysis of the 'B' sample will be confined to confirming whether or not the 'B' sample contains
3.1 the substance reported in the Certificate of Analysis relating to the 'A' sample, and
3.2 if the Prohibited Substance is listed in Appendix I.

4 If appropriate, the 'B' sample will be despatched by LGC to the laboratory conducting the analysis under a secure chain of custody.

5 The 'B' sample shall remain the property of the BHA. Any remaining residue after analysis of the 'B' sample has been conducted will be retained by the laboratory until otherwise instructed by the BHA.

6 A witness may be nominated and must make himself available within the time frame to attend the analysis of the 'B' sample. In the case of a Sample resulting from examination carried out by an approved Person appointed under Part (A) 5 that is subjected to analysis of the 'B' sample, the witness shall be nominated by the Owner. The attendance of any witness is at the expense of the Person nominating him. If the BHA has ordered the Analysis of the 'B' sample it may nominate a witness.

7 Where the 'B' sample analysis confirms the presence of the Prohibited Substance revealed by the Analysis of the 'A' sample (or its presence at or in excess), the laboratory will issue a Certificate of Analysis to the BHA with the supporting analytical data.

8 The Certificate of Analysis relating to the 'A' sample and, if required, the Certificate of Analysis relating to the 'B' sample together with the supporting analytical data from both analyses will be made available to the owner concerned.

9 Where the analysis of the 'B' sample does not confirm the findings in respect of the 'A' sample, the BHA will be informed in writing, the Sample will be declared "negative" and no disciplinary action will be taken unless there are reasonable grounds to believe that

9.1 the integrity of the 'B' sample may have been deliberately violated, or

9.2 other evidence of a non-forensic nature is available in either of which events the BHA may proceed on the basis of the Analysis of the 'A' sample alone.

REPORT OF A PROHIBITED SUBSTANCE

1 Subject to Paragraph 1.2, where an 'A' sample is reported by the Approved Laboratory as containing a prohibited substance, the following procedure should be adopted

1.2 an Investigating Officer from the Integrity, Legal and Risk Department will contact the Owner and arrange an interview, and

2 If the Owner does not accept the result of the 'A' sample he may have the 'B' sample analysed at LGC at the cost of the BHA subject to Paragraph 9. Alternatively, the Owner may decide to have the 'B' sample analysed at Laboratoire Des Courses Hippiques - France (LCH) in which case he shall be responsible for all costs associated with the transportation of the sample and the subsequent analysis.

3 The Owner must confirm to the Compliance Department, within 3 working days (72 hours) of being notified that the 'A' sample contains a Prohibited Substance, whether or not he wishes the 'B' sample to be analysed, if so, at which laboratory.

3.1 The notification may be communicated by electronic transmission.

3.2 If no decision is received, the 'B' sample will not be analysed.

3.3 The BHA reserves the right to

3.4 have the 'B' sample analysed promptly, regardless of the provisions in this Appendix, and

3.5 have the 'B' sample analysed in accordance with this Appendix regardless of any acceptance of the 'A' sample result by the Owner.

4 The BHA will deal directly with the Owner throughout the process.

5 If the 'B' sample is analysed at LGC the Disciplinary Panel, may order that a contribution to costs is made if it is satisfied that the result of the 'A' sample should have been accepted having regard to the knowledge of and information available to the Owner concerned at the time.

6 After the Investigating Officer has completed his investigation he will submit his report to the Compliance Department and a Disciplinary enquiry will be held in due course.

CONDUCT OF ENQUIRIES AND COSTS RELATED TO POSITIVE SAMPLES

The BHA may determine the process and procedures applying in connection with the conduct of enquiries into possible contraventions of these Regulations, or whether to take Disciplinary Action in relation to any person under these Regulations.
The BHA may order any Owner to pay such reasonable costs and expenses relating to an enquiry, and such reasonable compensation for outlay incurred in connection with it as the BHA may determine.
The BHA may order the Owner to pay up to a total of £500 towards the costs of the analysis of the 'B sample' procedure. For the BHA to make an order it must be satisfied that the Owner should have accepted the result of the analysis of the 'A sample' having regard to the knowledge of and the information which was available to them at the time.
The BHA may order any person who has requested and been granted an adjournment of an enquiry under these Regulations, or whose conduct may, in whole or in part, have caused an enquiry to be adjourned to pay such reasonable costs and expenses as were wasted or occasioned by the adjournment and as the BHA may determine, irrespective of the final outcome of the enquiry.

APPENDIX R

CLARIFICATION ON HEADGEAR - REGULATION 119 (i) (b)

BLINKERS

SHEEPSKIN CHEEK PIECES

HOOD

VISOR

Differentiated from blinkers by an aperture in the cowl. This can be a slit as shown above or may be circular or diamond shaped.

EYESHIELD

(May also be made of a transparent material. However, such eyeshields must have adequate ventilation, and may be unsuitable for use in wet conditions on all weather tracks, where the kickback is liable to stick to the eyeshield)

EYECOVER

APPENDIX S

HORSE PERFORMANCE

Connections of a horse can be approached by a Steward following the performance of their horse to ask if they are able to account for its running. The representative's reply will be passed to the other Stewards of the Meeting who will record the details and/or hold a Stewards enquiry. Failure by connections to disclose such information will result in a report being submitted to the Stewards of the Meeting or the British Horseracing Authority.

APPENDIX T

HUMANE DESTRUCTION OF INJURED HORSES

Destruction of Injured Horses

Where, in the opinion of the attending Veterinary Surgeon, a horse is so severely injured that it ought to be humanely destroyed, the Owner should, wherever possible, first be informed. However, the Veterinary Surgeon may proceed with humane destruction, without reference to the Owner, in order to prevent undue suffering to the horse. Before taking this action the Veterinary Surgeon should, wherever practicable, seek a second veterinary opinion.

Post Mortem Examinations

A British Horseracing Authority Veterinary Officer (if in attendance) may order a post mortem examination, by such person or persons as he/she thinks fit, of any horse which dies, or is humanely destroyed, as a result of an injury or accident occurring at a Point-to-Point meeting.

APPENDIX U

THE GUIDELINES FOR DISCIPLINARY ENQUIRIES

A The following shall be subject to and not in substitution for any specific requirements set out in the Rules of Racing and Appendices and Instructions.

B The members of a Disciplinary Panel empanelled to conduct an enquiry will in normal circumstances be selected by the Secretary to the Disciplinary Panel in consultation with the Chairman, or in his absence, Deputy Chairman of the Disciplinary Panel. The Secretary shall provide to any person provisionally selected sufficient details of the matter in question and the individuals concerned so as to enable any person provisionally selected to declare any interest and to disqualify himself or to seek any waivers of objection as appropriate prior to final selection.

C Each Panel of Enquiry shall have a Chairman who shall be selected in such manner as the British Horseracing Authority may from time to time decide. The Chairman will hold a preliminary hearing where the notification of charges includes a statement to the effect that the British Horseracing Authority considers the matter suitable for such a hearing, unless the Chairman otherwise decides. Such a hearing shall normally be held on the first Thursday after the expiry of the 28 days from notification of the charges.

The Chairman shall give such directions as he shall think fit to ensure a fair and expeditious conduct of the proceedings, provided that the Chairman shall so far as reasonably practical in the circumstances of any particular case ensure the following:-

(i) that short particulars of the matters said to give rise to the possibility of breach, offence or liability to penalty as the case may be shall have been provided in writing to all persons who are at risk of being found in breach or whose conduct may make them subject to penalty, sufficient for them to understand the matters to be addressed in the enquiry, and that the Rules in question have been identified to such persons; and that such persons understand the Rules in question;

(ii) that statements and documents to be relied upon by the British Horseracing Authority are provided to all such persons;

(iii) that such persons are allowed a reasonable time to enable them to deal fairly with the matters raised in the statements and documents;

(iv) that such persons are permitted legal representation and that oral evidence is heard only in the presence of such persons or their representatives who shall be given a fair opportunity to question witnesses;

(v) that the proceedings are conducted in private save in relation to those types of matters where the Regulatory Board have decided that the enquiry may be conducted in the presence of representatives of the media. In such cases there will be a presumption that the proceedings will be open to representatives of the media except where any person involved in a relevant enquiry is able to satisfy the acting Chairman for that hearing, on written request, that there are exceptional reasons why that enquiry should be held in private;

Note: Such requests must be submitted at the time of the appeal or within three days of receipt of the letter requiring attendance at an enquiry.

(vi) that having decided that a person is in breach but before deciding the matter of penalty both that person and the British Horseracing Authority of their respective representatives are given an opportunity to address the Panel regarding both the nature and extent of the penalty to impose.

(vii) that summary reasons are provided for decisions sufficient to enable persons upon whom a penalty has been imposed to understand that material facts have been found by the Panel and why the penalty in question has been adopted. Where it is not convenient for reasons to accompany the decision they should be provided within a reasonable time thereafter and in writing if so requested by any person on whom a penalty is imposed. This provision shall not require reasons for the penalty to be given in any case where the penalty falls within the range of penalties for the offence or breach set out in any guidelines from time to time issued by the British Horseracing Authority.

(viii) that a person who wishes the implementation of a decision to be stayed should apply for this when the decision is announced and before the Chairman brings the hearing to a close.

D Should in any case the Chairman decide that because of special circumstances the enquiry is to be conducted in a manner which involves a substantial departure from the guidelines set out in the above

sub-paragraphs of paragraph C he will on application by any person subject to the enquiry give reasons for his decision. In all other cases reasons need not be given for decisions concerning the conduct of an enquiry. The Chairman will normally determine questions of procedure on the basis of written submissions without a hearing.

E Unless the Chairman or the Secretary to the Disciplinary Panel has waived in writing the requirements of this paragraph, the following applies to all enquiries before the Disciplinary Panel except appeals under Regulations 146-149 and enquiries held under Regulation 123:

(i) Every person the subject of an enquiry shall prior to the enquiry complete a form disclosing

(a) whether he admits to being in breach of the Rules, (See also E1)

(b) whether , and to what extent, he admits the evidence of any statement obtained by the British Horseracing Authority and furnished to him, N.B. This is necessary even if he admits a breach.

(c) the nature of the case he intends to advance at the enquiry,

(d) the identity of any witness he intends to call together with a summary of their evidence or a copy of their statement,

(e) the time he estimates he will require to present his case at the enquiry.

The Disciplinary Panel will provide a form for completion as above at the time it notifies the person concerned that an enquiry has been convened and supplies details of the matters and evidence on which the British Horseracing Authority relies.

(ii) Unless the Secretary to the Disciplinary Panel shall otherwise determine forms shall be completed and delivered to the Disciplinary Department within 14 days of receipt or at least **21** days prior to the date fixed for the commencement of the enquiry, whichever is the earlier. Failure to do so may result in a breach of Regulation 5.

(iii) The Disciplinary Panel may refuse to allow evidence not disclosed in accordance with the requirements of clauses (i) and (ii) above to be given at the enquiry. Alternatively where there has been a failure to comply with this paragraph the Disciplinary Panel may adjourn the enquiry and make an order for costs pursuant to the powers of the British Horseracing Authority under Regulation 2(iv)b.

(iv) A member of the Disciplinary Panel may, in the absence of the Chairman or Secretary, exercise any of the functions under this paragraph

E1 (i) A person who wishes to explore with the British Horseracing Authority the possibility of admitting to lesser charges if more serious charges are not proceeded with (a "plea bargain") should make this known to the British Horseracing Authority as soon as possible and ideally before the submission of the form set out at paragraph E, sub-paragraph (i), above. No Panel member will be informed of this unless a plea bargain is concluded.

(ii) Save in very simple cases it will be necessary for the person seeking a plea bargain and the British Horseracing Authority to agree a statement of relevant facts regarding the charges it is proposed should be admitted so as to provide the Panel with sufficient information to enable it to make an accurate assessment of the seriousness of the offence. If the facts in respect of any admitted charges cannot be agreed it may be necessary to convene a preliminary hearing so that the Panel can assess whether disagreement is such that it should be resolved before a decision on penalty is made, and if so give directions as to how that should be done.

F All questions concerning the admissibility of evidence shall be for determination by each Panel in its discretion and for the avoidance of doubt Panels shall not be bound by any enactment or rule of law relating to the admissibility of evidence before a court of law or statutory tribunal.

G Panels shall reach decisions by simple majority. Decisions so reached shall be announced as decisions of the Panel. Reasons given for decisions shall not include references to any minority opinion or dissenting view.

H Persons attending any enquiry which is conducted in private shall respect the privacy and confidentiality of the enquiry proceedings and of the evidence and of all documents and submissions prepared in connection with them. All evidence and representations shall be privileged even if the enquiry is not conducted in private. This provision is not intended in any way to constrain what a Disciplinary Panel may refer to in its written reasons even though these may be released for general publication. Without prejudice to the above, the British Horseracing Authority may, at any stage of disciplinary proceedings (be it at the investigation stage, an enquiry or appeal hearing, and whether, if at the enquiry stage, the hearing is held in private or open to representatives of the media), publish in the public press and media or the Racing Administration Internet Site or in any other manner as the British Horseracing Authority shall in its absolute

discretion think fit, reports and/or press releases regarding the said disciplinary proceedings including any facts alleged in support of them, any topics for disciplinary enquiry and/or appeal hearing, and any directions, findings and penalties which have been made in relation to the same.

I If it appears to a Panel that a person appearing at the enquiry may be liable to penalty on account of a Regulation or conduct which has not previously been notified to him by the British Horseracing Authority (and whether in addition to or in substitution for the conduct or Regulation of which he was notified) the Chairman shall ensure that he is given a reasonable time to deal with the new allegations and/or addition or substitution of Regulation, including by adjourning the proceedings in an appropriate case.

J A Chairman may appoint a legal and/or scientific assessor to assist a Panel may take advice from such persons and may also be assisted by a Secretary for each enquiry from amongst the persons employed in the Disciplinary Department of the British Horseracing Authority. The Chairman shall ensure that the roles of such assessors and Secretary shall be limited to the provision of advice and assisting in the preparation of reasons consequent to the Panel's findings but such persons shall not participate in the making of the decision itself.

K A Panel may adjourn a hearing for such a period and upon such terms (including as to costs) as it thinks fit.

L Should a person required to attend a hearing fail to do so the Panel may if is satisfied that there are no reasonable grounds for the failure to attend proceed with the hearing in such manner as it considers appropriate including for the avoidance of doubt making its decision concerning any alleged breach by such person and penalty to be imposed upon him.

M Where any fact or matter is required to be established to the satisfaction of the British Horseracing Authority the standard of proof shall be the civil standard which is to say the standard applied in the civil courts of England in a dispute between private persons concerning a matter of comparative seriousness to the subject matter of the enquiry.

N Where the horse is in joint or other multiple ownership only one representative from amongst the owners shall attend. Where an enquiry concerns the possible disqualification of a horse on account of the alleged presence of a prohibited substance the owner, or in the case of a joint or other multiple ownership, the representative shall be given notice of the enquiry and be provided with all statements and documents to be relied upon by the British Horseracing Authority and be permitted to

attend the enquiry with legal representation and to question witnesses and make submissions. In all other cases where the matters being enquired into may result in disqualification, suspension or alteration in the placing of a horse an application may be made on behalf of the Owner seeking permission to be present in person or represented. The Chairman may determine such an application without a hearing upon such terms as to participation and legal representation in the enquiry (including merely as an observer) as the Chairman shall think fit without any requirement to give reasons.

O Notices and communications to persons bound by the Rules of Racing shall be sent first class post or by fax or by e-mail to the address or number as appropriate currently maintained for such person at the British Horseracing Authority Office save that where such person has elected to be legally represented, communication may be made to such representative.

P The British Horseracing Authority will make arrangements for the hearing to be recorded notwithstanding that they may be taking place in private. Any recording so made shall belong to the British Horseracing Authority and no person shall have the right to compel the production of it or a copy or a transcript save as required by law in connection with civil or criminal proceedings and subject to meeting any costs or expenses of the British Horseracing Authority incurred in providing it, except that a person who has commenced an Appeal by lodging a Notice of Appeal will, as soon as practicable thereafter, be provided with a transcript for use in connection with the Appeal. Copies and transcripts of recordings shall at all times remain confidential.

APPENDIX V

LAME OR EXHAUSTED HORSES

The British Horseracing Authority instructs Riders that they must dismount as soon as possible from any lame horse. Riding a lame horse to a finish is unacceptable. Riders should also pull up if their horse is exhausted. Any rider failing to do so will be acting in breach of Regulation 123 (Improper Riding) and Stewards have been requested to exercise fully the powers conferred upon them under Regulations 14 & 15.

No rider may remount after a race has started and ride back to the place appointed for unsaddling unless

1. **He /she has been examined by a Point-to-Point Doctor, and**
2. **His / her horse has been examined by a Veterinary Surgeon.**

Part 1 does not apply if the Senior Point-to-Point Doctor is unable to carry out the examination before completion of the veterinary examination under Part 2.

If the fallen rider appears to have no injury after a comprehensive assessment on the course, and the horse has been assessed by a Veterinary Surgeon and deemed fit to be remounted, the rider may remount and, if on the way to the start, compete in the race. Owners should ensure that they do not issue any instructions to a rider that might conflict with this Appendix.

APPENDIX W

CONTAGIOUS DISEASES

The British Horseracing Authority gives notice that as all forms of ringworm are highly contagious, Owners are warned that under no circumstances should they bring to Point-to-Point courses horses affected with this disease or with any other contagious disease.

If visible evidence of recent skin disease still exists, an Owner should not take the horse on Point-to-Point premises without first obtaining a relevant certificate from a Veterinary Surgeon stating that, following appropriate examination of the horse, he is

satisfied that the condition is not now contagious to other horses. The certificate must be available on request, as evidence to the Stewards.

Stewards have been asked to exercise fully their powers under Regulation 13(xii) (to order the withdrawal from a race of any horse) and Regulation 14 in any case where a horse is found to have been brought onto a racecourse when, in the opinion of the Veterinary Surgeon, it is suffering from a contagious disease and no certificate of non-activity is produced.

APPENDIX X

NASAL DILATORS OR SUPPORTS, BELLS AND EAR PLUGS

The British Horseracing Authority instructs Owners that nasal dilators or supports and bells are not permitted to be worn on any horse in the Parade Ring or in a Race.

The British Horseracing Authority gives notice to Owners & Riders that when any horse runs in a race with ear plugs of any type, such plugs must not be removed during the course of the race.

APPENDIX Y

Regulations for Appeals to an Appeal Board

Chairman of Appeal Boards

1. There shall be a panel ("the Chairman's Panel") of persons being not less than three or more than five in number eligible to serve as chairmen of boards to be known as Appeal Boards to be convened to hear appeals from decisions of the British Horseracing Authority to the extent permitted by these Regulations.

2. No person who has been a licence or permit holder whether as rider or trainer within the previous 5 years or any member of The Jockey Club or the British Horseracing Authority or any person employed by them shall be eligible. Only members or former members of the judiciary, Queen's Counsel, junior barristers or solicitors of more than 10 years post call or admission shall be eligible.

3. There shall be a Chairman and a Deputy Chairman appointed from amongst the membership of the Chairman's Panel. The Deputy Chairman shall exercise all the powers of the Chairman under these Regulations when the latter may be unavailable. The Panel shall make such arrangements for their proceedings including the appointment resignation election or re-election of the Chairman and the Deputy Chairman from time to time as they think fit.

4. Vacancies shall be filled by invitation of the Chairman following consultation with the Deputy Chairman and the Chairman of the British Horseracing Authority who may make recommendations at any time regarding such vacancies and succession issues at any time.

5. Members shall serve for an initial time of 3 years unless they shall resign earlier. A member may be required to resign at the request of a majority of members including the Chairman. At the end of his term as member a person may be re-elected for a further term not exceeding 3 years by a majority of members including the Chairman and following consultation with the Chairman of the British Horseracing Authority. Where a person has been appointed to serve as Chairman of the Appeal Board his eligibility to continue to serve on that Appeal Board and to deal with all matters which may arise in relation to the matters before it shall survive the expiry of his appointed term.

5a. The Chairman may, whenever he considers it is expedient, co-opt to serve as Chairman of an Appeal Board any person who would be qualified under paragraph 2 to be a member of the Chairman's Panel, and such person shall in relation to the particular Appeal Board on which he is co-opt to sit be validly appointed in all respects under these Regulations.

6. The British Horseracing Authority shall make arrangements for the reasonable remuneration and reimbursement of expenses of members of the Chairman's Panel when serving on an Appeal Board.

The Panel

7. There shall be a Panel of persons from amongst those persons who have previously served on the Disciplinary Panel or the Licensing Committee (the "Panel") being not less than five or more than eight in number eligible to sit on Appeal Boards.

8. There shall be a Chairman and a Deputy Chairman. The Deputy Chairman shall exercise all the powers of the Chairman under these Regulations when the latter may be unavailable. The Members Panel shall make such arrangements for their proceedings including the appointment resignation

election or re-election of the Chairman and Deputy Chairman from time to time as they think fit.

9. Vacancies shall be filled by invitation of the Chairman following consultation with the Deputy Chairman and the Chairman of the British Horseracing Authority who may make recommendations at any time regarding such vacancies and succession issues.

10. Members shall serve for an initial term of 3 years unless they shall resign earlier. A member may be required to resign at the request of a majority of members including the Chairman. At the end of a term a person may be re-elected for a further term not exceeding 3 years by a majority of members including the Chairman and following consultation with the Chairman of the British Horseracing Authority. Where a person has been appointed to serve on the Appeal Board and to deal with all matters which may arise in relation to the matters before it shall survive the expiry of his appointed term.

10a. The British Horseracing Authority shall make arrangements for the reasonable remuneration and reimbursement of expenses of members of the Panel when serving on an Appeal Board.

Convening of Appeal Boards

11. Upon notification of receipt by the British Horseracing Authority of a Notice of Appeal as provided below the Chairman of the **Chairman's** Panel shall convene an Appeal Board consisting of himself or another member of the Chairman's Panel to act as Chairman and two persons chosen by him from the Panel following consultation with the Chairman of the Panel.

12. The British Horseracing Authority shall in consultation with the Chairman appoint an employee from within the Jockey Club to provide administrative support to an Appeal Board (the "Secretary").

Rights of Appeal

13. Subject to paragraph 14 below, there shall be a right of appeal to the Appeal Board against:

(i) a decision on an appeal brought and heard under Regulations 146-149;

(ii) a decision of the Licensing Committee;

(iii) an exclusion order made under Regulation 2 (iii).

13A. There shall also be a right to apply to the Appeal Board to stay the implementation of a decision or to vary any conditions upon which a stay may have been granted by the decision maker pending the Appeal Board's own decision on an appeal brought under these Regulations. Such an application must be made within 48 hours of the decision unless a Saturday, Sunday, Bank Holiday, Good Friday or Christmas Day intervenes when it must be lodged on the first day thereafter that the BHA Office is open for business.

13B. There are two grounds for such an application:-

(a) that no application for a stay was made to the decision maker and it is appropriate to grant a stay; or
(b) that the refusal of the decision maker to grant a stay was unfairly made or is otherwise unjust, or the conditions attached to the grant of the stay are unreasonable.

13C. Applications will be determined by an Appeal Board Chairman acting alone and normally only in writing, but in a sufficiently urgent case a Chairman may allow an application for an expedited hearing before him. As much notice as practically possible will be given to the BHA when an application is received. The Chairman may grant a stay subject to such conditions as he thinks fit and in reaching his decision he will take into account:-

(a) whether there is a good arguable case for the substantive appeal succeeding at least to the point where the Appeal Board would be likely to substitute a penalty which would have attracted a stay under Regulation 2(vi) had it been originally imposed by the BHA; and
(b) whether the reason given by the applicant for not applying to the decision maker for a stay is sufficient;
(c) the fairness to the applicant and the interests of racing in the context of the time likely to be taken by the substantive appeal and any other relevant circumstances.

14. Save as provided in this paragraph, appeals shall only be made by a person who has been made subject of a penalty or sanction under the Regulations or has been found to have committed a breach of the Regulations or an offence except that in the case of a decision to disqualify a horse or demote its placing, an appeal may be made by one or more of the trainer, the rider or the owner of the horse. In such a case, and unless the Chairman of the Appeal Board shall decide otherwise, where more than one person wishes to appeal the appeal shall be treated as a joint appeal and the appellants shall choose one representative from

amongst their number and shall only be permitted joint legal representation.

Grounds of Appeal

15. That the reasons given by the Disciplinary Panel are insufficient to support the decision.

16. That the hearing before the Disciplinary Panel was conducted in a way which was substantially unfair and prejudicial to the appellant.

17. That there was insufficient material on the basis of which a reasonable decision maker could have made the decision in question.

18. That the decision maker misconstrued or failed to apply or wrongly applied the Rules of Racing, or Instructions or British Horseracing Authority General Instructions or Regulations relevant to the decision.

19. That the penalty or sanction imposed or other measures taken is so disproportionate that no reasonable decision maker could have decided upon it

20. That there is evidence available for the appeal which, had it been available at the original hearing would have caused the decision maker to reach a materially different decision.

Commencement of Appeal

21. (a) Subject to sub-paragraph 21 (b) below, a person wishing to appeal a decision (the "Appellant") shall lodge a Notice of Appeal addressed to the Secretary to the Appeal Board at The British Horseracing Authority Office within 7 days of the date of notification of the decision appealed against. When reasons are not given at the time of the decision, the date of notification shall be the date upon which written reasons are despatched to the Appellant.

(b) Where the decision in question involves a finding of a breach of Regulations 125, 127 or 128 and the penalty is a fine of £1,000 or more or a suspension of 7 or more days, the period for lodging an appeal shall be 48 hours unless a Saturday, Sunday, Bank Holiday, Good Friday or Christmas Day intervenes when it must be lodged on the first day thereafter that the British Horseracing Authority Office is open for business. In such cases the Notice of Appeal shall comply with sub-paragraphs (a) and (d) of paragraph 22 below but the appellant shall have a further 5 days to comply with the remaining sub-paragraphs (b), (c), (e) and (f).

22. A Notice of Appeal must:-

(a) state the specific decision(s) being appealed;

(b) set out the ground(s) of appeal and substantive injustice of allowing the original decision to stand;

(c) set out the facts upon which the appeal is based;

(d) be accompanied by a deposit of £800. Where a Notice of Appeal is lodged by electronic transmission, the deposit must be received not later than 5.00pm on the third day following the date of electronic transmission (including both the day of despatch and receipt). An Appellant who seeks relief from the requirement to lodge a deposit on account of hardship (which alone shall be the relevant consideration) shall lodge with the Notice of Appeal a statement requesting relief from the deposit requirement and setting out the relevant grounds and facts supporting the claim of hardship. The request for relief shall be considered by the Chairman of the Panel whose decision whether to give no relief or partial or total relief shall be final without any requirement to give reasons;

(e) where appropriate, apply for leave to present new evidence under paragraph 26 below;

(f) state whether the Appellant will wish the Panel to view any video evidence at the hearing of the Appeal.

Appeal Proceedings

23. The British Horseracing Authority shall, within 7 days of receiving a Notice of Appeal, nominate an individual or individuals to represent it and shall notify the Appellant and the Secretary of the person so nominated and of any subsequent change in the nomination. The Appellant may nominate an individual or individuals to represent him and shall notify The British Horseracing Authority (or its representative) and the Secretary of any individual so nominated. Nominations shall state the individual and the address to which communications concerning the appeal proceedings should be made.

24. The British Horseracing Authority shall serve a written reply to the Notice of Appeal (the "Reply") on the Appellant and the Secretary as soon as possible and in any event within 14 days of the lodging of the Notice of Appeal. Where appropriate, the Reply must include any application for leave to present new evidence under paragraph 26 below and state whether The British Horseracing Authority will wish the Appeal Board to view any visual recording at the hearing.

25. The British Horseracing Authority and the Appellant shall be entitled to make oral submissions to the Appeal Board but an appeal shall unless the Appeal Board has otherwise ordered on a prior application be by way of a

review only on documents and video evidence considered at the original hearing where such a request is made only, without oral evidence, except where the Appeal Board gives leave to present new evidence under paragraph 26 below.

26. The Appeal Board shall hear new evidence only where it has given leave that it may be presented. An application for leave to present new evidence must be made in the Notice of Appeal or the Reply, setting out the nature and the relevance of the new evidence, and why it was not presented at the original hearing. Save in exceptional circumstances, the Appeal Board shall not grant leave to present new evidence unless satisfied with the reason given as to why it was not, or could not reasonably have been, obtained and presented at the original hearing and that such evidence is cogent and might reasonably have caused the decision maker to reach a different conclusion relevant. The Appeal Board's decision shall be final.

27. The Chairman of an Appeal Board may upon the application of a party or otherwise, give any instructions considered necessary for the proper conduct of the proceedings, including but not limited to

(1) lengthening or shortening any time limit;
(2) adapting or dispensing with any procedural steps set out in these Regulations;
(3) requiring a record to be made of the proceedings or any part of them;
(4) ordering persons bound by the Rules of Racing to attend a hearing;
(5) holding a preliminary hearing;
(6) requiring the provisions of written submissions.

The decision of the Chairman of the Appeal Board shall be final.

28. The Appeal Board may adjourn a hearing for a period and upon such terms (including as to costs) as it considers appropriate.

29. The Secretary shall prepare five sets of documents identically bundled and paginated, three of which shall be provided to the Appeal Board members and the others to the Appellant and British Horseracing Authority at least seven days before the hearing and which shall comprise the following (or their equivalent):

(a) any documents or other evidence referred to at the original hearing relevant to the Appeal;
(b) any transcript of the original hearing or extract therefrom of matters relevant to the Appeal;

(c) any notice published by The British Horseracing Authority concerning the decision being appealed and any written reasons for the decision not contained in such published notice;
(d) any new evidence;
(e) the Notice of Appeal;
(f) the Reply.

30. Appeal hearings shall be conducted in private, except in relation to those matters which were conducted at the hearing below first considered by the Disciplinary Panel which were conducted in the presence of representatives of the media, but in all other respects how, when and where the Appeal Board considers appropriate. Reasonable notice shall be given by the Appeal Board of the date, time and venue of the appeal. The Appellant and The British Horseracing Authority shall respectively be given the opportunity to respond to the other's submissions in support of their applications, if any, to present new evidence. Subject to the Appeal Board deciding to the contrary, the following procedures will apply at appeal hearings:

(1) the Appellant will summarise his case;

(2) The British Horseracing Authority will summarise its case;

(3) Applications to present new evidence will be dealt with;

(4) the Appellant will present new evidence to the extent permitted;

(5) The British Horseracing Authority will present new evidence to the extent permitted;

(6) where there is a witness, the Appeal Board, The British Horseracing Authority and the Appellant shall have an opportunity to question each witness;

(7) The British Horseracing Authority will make any closing submissions before the Appellant.

31. The Appeal Board may proceed in the absence of the Appellant or The British Horseracing Authority in such manner as it considers appropriate, unless it is satisfied that there are reasonable grounds for failure to attend.

32. The Appeal Board may, in the face of non-compliance with an order, requirement or instruction of the Appeal Board, take any action it considers appropriate, including an award of costs against the offender.

Appeal Board Decisions

33. A decision order, requirement, or instruction of the Appeal Board shall (save where to be made under these Regulations by the Chairman alone) shall be determined by a majority which must include the Chairman. The decision so reached shall be the decision of the Appeal Board and neither the notification of a decision or any written reasons subsequently given shall include any reference to a minority or dissenting view.

34. A decision of the Appeal Board shall be final and binding. For the avoidance of doubt this paragraph does not attempt to, nor is it intended to fetter an appellant's right to pursue further action in relation to the subject matter of any appeal to a judicial hearing. Should an Appeal Board be unable to reach a decision in accordance with paragraph 33 the Chairman shall certify and he (or the Chairman of the Panel if different) shall convene a new Appeal Board consisting of different members who shall proceed to hear the matter as soon as may be convenient at the direction of the new Chairman.

35. The Appeal Board shall have the power to;

(a) exercise any power which the original decision maker could have exercised, provided that the Appeal Board shall have no power to make any interim decisions affecting such penalty, award, order or sanction, or to grant or vary permission;
(b) remit the matter for re-hearing;
(c) order that any deposit be forfeited or returned as it considers appropriate;
(d) where the appeal includes the grounds set out in paragraph 19 to increase or decrease any penalty awarded or sanction originally imposed.
(e) make such further or other order as it considers appropriate, generally or for the purpose of giving effect to its decision.

Costs

36. The Appeal Board shall have the power to make such order as to the costs of the original hearing as the case may be and the Appeal as it considers appropriate provided that not party shall be required to pay or contribute to another party's costs or the costs of the proceedings in excess of £20,000 in the aggregate.

Announcement of Decision

37. The Appeal Board shall announce its decision to the parties as soon as practicable in such a manner as it considers appropriate; and unless it

directs otherwise, its decision shall come into effect the day after the announcement of the decision.

Written Decision

38. As soon as practicable after the hearing, the Appeal Board shall publish a written statement of its decision, which shall state:

(a) the name of the Appellant, the decision(s) appealed against and grounds of appeal;
(b) whether or not the appeal is allowed; and
(c) the order(s) of the Appeal Board.

The written statement shall be signed and dated by the Chairman of the Appeal Board and be the conclusive record of the decision.

39. The Appeal Board shall, upon the request of the Appellant (such request to be received by the Secretary within 5 days of the date of the announcement of the decision), give written reasons for the decision and may publish these in such manner as the Chairman thinks appropriate.

Confidentiality

40. Appellants and persons taking part in any appeal process which is conducted in private shall respect the privacy and confidentiality of the appeal proceedings and of the evidence and of all documents and submissions prepared in connection with them. All evidence and representations shall be privileged even if the hearing is not conducted in private. This provision is not intended in any way to constrain what an Appeal Board may refer to in its written reasons even though these may be released for general publication, nor what any party may seek to rely on should it pursue further action in relation to the subject matter of any appeal to a judicial hearing.

APPENDIX Z

LIP CHAINS

The British Horseracing Authority gives notice that lip chains may not be worn by horses on the racecourse unless approval has been obtained from a Veterinary Officer. Furthermore the British Horseracing Authority has instructed Veterinary Officers that only those lip chains that are lightweight and preferably covered with leather or rubber will be allowed.

The lip chain must be used by an experienced handler in a sensitive manner and the Stewards have been requested to take disciplinary action against any person who uses a lip chain to the contrary.

APPENDIX AA

EMERGENCY OR BYPASSING PROCEDURES AT AN OBSTACLE

If a Rider fails to pull up in the circumstances detailed in Regulation 122(iii) or disregards the requirements of Regulation 122(iv), the horse shall be disqualified unless the Stewards are satisfied that the Rider was justified in doing so due to extraordinary circumstances.

Provided that in all such cases all Riders remaining in the race have taken the same course and, in the opinion of the Stewards, no Rider has obtained an unfair advantage as a result.

Should the provisions of this Appendix be enacted the horse(s) shall not be disqualified upon any objection under Regulation 140(iv), the actions of the Rider(s) may constitute reasonable cause for the purpose of Regulation 122(iv) and, for the avoidance of doubt, the provisions of Regulation 124(i)(b) shall not apply.

APPENDIX BB

CODE OF MEDICATION PRACTICE FOR HORSES IN TRAINING

All treatments must be given in the best health and welfare interests of the horse.

Therefore:

1. Every treatment must be fully justifiable by the medical condition of the horse receiving the treatment;

2. The Owner must obtain advice, from the Veterinary Surgeon prescribing a treatment, on the appropriate level of training during the duration of the treatment;

3. ‘Horses that are not trainable as a result of injury or disease must be taken out of training and given appropriate veterinary treatment. Medication must not be used to enable a horse to be trained;

4. No substance shall be given to any horse on the day of a race by injection, orally or any other method other than normal feed and water by mouth until it has completed the race unless special dispensation has been granted from this requirement by a British Horseracing Authority Veterinary Officer.

NOTES FOR OWNERS AND RIDERS PARTICIPATING IN POINT-TO-POINT STEEPLECHASES

INTRODUCTION

These advisory notes have been prepared to assist those participating in Point-to-Point Steeple Chases. Owners and riders are reminded that they are bound by the British Horseracing Authority Point-to-Point Regulations currently in force and as these notes are only guidelines, it is strongly recommended that direct reference is made to the relevant Regulations. Should there be any matters which require clarification enquiries can be referred to the British Horseracing Authority Point-to-Point Executive at The British Horseracing Authority, **75 High Holborn, London, WC1V 6LS. Telephone: 0207 152 0049.**

POINT-TO-POINT RACES

Notes for Owners

A1

NAMES: Regulation 31

Before its Hunter Certificate can be registered for the purpose of running in a Point-to-Point Steeple Chase, the name of a horse must be registered with the Racing Calendar Office. A naming form can be obtained from the Racing Calendar Office or from the British Horseracing Authority Office.

A2

HUNTER CERTIFICATE: Regulation 32 & 33

A Hunter Certificate can be obtained from the National Point-to-Point website and must then be registered at the Racing Calendar Office with the appropriate registration fee. This is necessary for all Point-to-Point Races, except those confined to the Hunt or Hunts promoting the meeting (i.e. the Hunt Members Race) or those covered in Regulation 32(ii).

On those occasions when owners intend to participate only in the Hunt Members Race they must still obtain a Hunter Certificate, which must accompany their entry for the race.

A3

ELIGIBILITY OF HORSES: Regulations 32, 33 & 34

This is a matter for the individual to check and can best be done by consulting the above mentioned Regulations. Special attention should be paid to Regulation 34 if purchasing a horse privately, out of training, or from the sales.

A4

ENTRIES: Regulations 90 - 92

Entries for Point-to-Point Steeple Chases are made to the Receiver of Entries for the meeting concerned. The British Horseracing Authority Point-to-Point Executive does not examine the qualification of horses for Point-to-Point races but will assist Owners on clarifying any points over which there is any doubt. However, the responsibility for making an entry correctly lies with the person making that entry and onus is on that person to ensure the entry is received by the Entries Secretary before the time that entries close. Owners who wish to keep their horses in separate divisions of races liable to divide at entry must state this request on their entry forms.Owners are asked to ensure they use one entry per horse per form only.

A5

OWNERS

It is not necessary to become a Registered Owner to run horses in Point-to-Point Races. Owners must have attained the age of sixteen.

A6

COLOURS

Colours do not have to be registered for Point-to-Point Racing.

A7

VACCINATIONS AND PASSPORTS: Regulations 35 - 38

Owners are advised that random checks will be made by British Horseracing Authority Veterinary Officers who may examine the passports and vaccination certificates of all intended runners.

In the case of horses which are running in races where no registration of the Hunters' Certificates are necessary, a passport is required with complete vaccination records as required under Regulation 36 (ii).

If a passport is produced which is incorrectly completed by a Veterinary Surgeon the owner will be fined a mandatory £100 and the horse will not be allowed to run, except on the first occasion it applies. The owner must seek to rectify the vaccination records and send the passport to the British Horseracing Authority Point-to-Point Department for endorsement.

If an owner is unable to produce a passport by the time the declarations close he will be fined £100. The horse will, however, be allowed to run providing the owner is prepared to sign a declaration that the horse has been correctly vaccinated. In addition he must send the passport to the British Horseracing Authority Point-to-Point Department, within 72 hours of the meeting taking place. Disciplinary action will be taken against any owner making a false declaration.

If a passport is produced which shows that a horse has received no vaccinations against equine influenza, as required under Regulation 36, or has been vaccinated against equine influenza on the day of the race, or on any of the 6 days prior to the day of the race, the owner will be fined £150 and the horse will not be qualified to start for any race.

In addition he must seek to rectify the vaccination record and send the passport to the British Horseracing Authority Point-to-Point Department for endorsement.If an owner does not hold a passport for any Hunter he owns, and its name is registered, he should apply in writing to the Racing Passport Department at Weatherbys, Wellingborough.

A8

COMPOUND FEEDS

The British Horseracing Authority draws the attention of owners to the necessity for taking all reasonable precautions to ensure that those manufactured compound feeds which they use for their horses are free from Prohibited Substances. This is particularly important in the case of feedstuffs not specifically manufactured for horses racing under the Rules of Racing and Regulations for Point-to-Point Steeplechases, since they may contain substances prohibited under those Rules and Regulations.

A9

BLINKERS

Owners are advised that they are required by Regulation 119 to state at declaration whether their horse is to wear blinkers or any combination of eyeshield, eyecover, sheepskin cheek pieces, hood or visor.

A10

TONGUE STRAPS

Owners are advised that they are required by Regulation 119 to state at declaration whether their horse is to wear a tongue strap and if the tongue strap comes loose on the way to the start and cannot be refitted whether or not they want the horse to run. The owner is also responsible (Appendix J) for showing the horse, with its tongue tied, to the Veterinary Surgeon on duty on the course before it is allowed to proceed to the start.

A11

WRITTEN AUTHORISATION: Regulations 111(i)

Should any person other than the owner of a horse wish to make a declaration, written authorisation by the owner must be given. It is recommended that such authorisation is kept with the horse's passport for such circumstances.

Notes for Riders

B1

RIDERS: Regulations 50 - 55

To ride in Point-to-Point Races riders require a Riders Qualification Certificate (RQC), obtainable upon application to the Secretary of the Hunt of which the rider is a Master, Member, Subscriber, Farmer etc. Riders must obtain from the Secretary of the Hunt a form stating their qualification to ride and follow the instructions on the form. In addition, riders will be issued with a Medical Record Book (MRB) by the British Horseracing Authority. This will contain their medical/injury history as recorded by Racecourse Medical Officers and Point-to-Point Doctors.

B2

RIDER'S QUALIFICATION CERTIFICATE/MEDICAL RECORD BOOK

The British Horseracing Authority wishes to draw the attention of owners and riders to Regulations 50(ii), 55(i), 55(ii), 55(iii), 55(iv) and 111(i). When an owner declares his horse for a race, the RQC and MRB of the rider engaged to ride in the race must accompany the declaration. However, on those occasions when a rider has left his RQC behind the declaration can only be accepted if the rider is prepared to sign a declaration that he is qualified to ride in the race in question, has paid the required premium with regards to the Point-to-Point Riders' Insurance Scheme. The necessary declaration to be signed by the rider will be provided by the Declarations Clerk.

Riders are advised that they can only sign the declaration if they are satisfied that they do hold a current RQC in accordance with the requirements of the British Horseracing Authority Regulations for Point-to-Point Steeple Chases. Disciplinary action will be taken against any rider who signs a false declaration. Any rider who is not able to produce his MRB shall not be permitted to ride.

B3

RIDERS - FITNESS

A rider who has been declared unfit to ride by a Point-to-Point Doctor may not ride in a race until he is passed fit to ride by the British Horseracing Authority Chief Medical Adviser, a Racecourse Medical Officer or a Point-to-Point Doctor. Where a period of time is specified in the declaration a rider may only be passed fit to ride after this time has elapsed. Furthermore, where the injury was a fracture or a dislocation the rider must also provide supporting documentary evidence to the Chief Medical Adviser before he can be passed fit. Where a rider at a Point-to-Point has suffered concussion, he can only be passed fit to ride again by the British Horseracing Authority Chief Medical Adviser.

A rider who has been declared unfit to ride by a Racecourse Medical Officer must be passed fit to ride by a Racecourse Medical Officer, (or a Point-to-Point Doctor, providing the latest injury was not a fracture, dislocation or concussion), or the British Horseracing Authority Chief Medical Adviser. Where a period of time is specified in the declaration a rider may only be passed fit to ride after this time has elapsed.

N.B Any Point-to-Point rider who has been declared unfit to ride due to concussion MUST follow the British Horseracing Authority Concussion Protocol as currently in place.

Any rider who has been declared unfit to ride under Regulation 55 (iii) must have his MRB updated by the Point-to-Point Doctor. Unless there are exceptional circumstances riders will be find **£70** if they fail to report to the Doctor immediately after a fall.

B4

POINT-TO-POINT RIDERS' INSURANCE SCHEME

There is a mandatory Point-to-Point Riders' Insurance Scheme, and any rider participating in any Point-to-Point race, including Hunt Members' Races Across Natural Country has to be insured. The required premium is levied on the RQC.

When a rider applies for his RQC he will pay the required premium. The RQC will be treated as the official receipt for the premium and the full details of the policy are available on request from the Point-to-Point Authority (Tel: 01793 781 990).

Under this mandatory scheme, which has been arranged in liaison with Howden UK Group Limited, London (Tel: 020 7133 1200) weekly benefits for temporary total disablement are provided.

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